# On the Ring Isomorphism \& Automorphism Problems 

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#### Abstract

We study the complexity of the isomorphism and automorphism problems for finite rings with unity.

We show that both integer factorization and graph isomorphism reduce to the problem of counting automorphisms of rings. The problem is shown to be in the complexity class $\mathbf{A M} \cap c o \mathbf{A M}$ and hence is not NP-complete unless the polynomial hierarchy collapses. Integer factorization also reduces to the problem of finding nontrivial automorphism of a ring and to the problem of finding isomorphism between two rings.

We also show that deciding whether a given ring has a non-trivial automorphism can be done in deterministic polynomial time.


## 1 Introduction

A ring consists of a set of elements together with addition and multiplication operations. These structures are fundamental objects of study in mathematics and particularly so in algebra and number theory. It has long been recognized that the group of automorphisms of a ring provides valuable information about the structure of the ring. Galois initiated the study of the group of automorphisms of a field and it was later applied by Abel to prove the celebrated theorem that there does not exist any formula for finding the roots of a quintic (degree 5) polynomial. However, to the best of our knowledge, the computational complexity of the ring isomorphism and automorphism related problems has not been investigated thus far. In this paper, we initiate such a study and show interesting connections to some well known problems.

We will restrict our attention to finite, commutative rings with unity. ${ }^{1}$ We assume that the rings are given in terms of the basis of their additive group and the multiplication table of basis elements. Given two rings in this form, the ring isomorphism problem is to test if the rings are isomorphic. We show that this problem is in $\mathbf{N P} \cap c o \mathbf{A M}$ and is at least as hard as the graph isomorphism problem. Thus, ring isomorphism is a natural algebraic problem whose complexity status is similar to that of graph isomorphism. The search version of the isomorphism problem is to find an isomorphism between two given rings. We show that integer factoring reduces to the search version of the problem.

[^0]Another variant of the problem is to count the number of isomorphisms between two rings. We show that both integer factorization and graph isomorphism reduce to this problem. We also show that this problem is equivalent to that of counting the number of automorphisms in a ring and lies in the class FPAMncoAM. This implies that the problem is not NP-hard unless the polynomial hierarchy collapses to $\boldsymbol{\Sigma}_{\mathbf{2}}^{\mathbf{P}}$ [Sch88].

The ring automorphism problem is to test if a ring has a non-trivial automorphism. We prove that this problem is in $\mathbf{P}$. This is in contrast to the corresponding problem for graphs whose status is still open. On the other hand we show that the problem of finding a nontrivial automorphism of a given ring is equivalent to integer factoring. This implies that the search version of the problem is likely to be strictly harder than the decision version.

The most general problem here is to compute the automorphism group of a given ring, in terms of a small set of generators. It is easy to see that all the above problems reduce to it. Also, the proof of upper bound on counting automorphisms can be adapted to exhibit an AM protocol for it implying that this problem too is not $\mathbf{N P}$-hard unless $\mathrm{PH}=\boldsymbol{\Sigma}_{2}^{\mathrm{P}}$.

## 2 Representation of finite rings

The complexity of the problems involving finite rings depends on the representation used to specify the ring. We will use the following natural representation of a ring:

Definition 2.1. Basis representation of rings: A ring $R$ is given by first describing its additive group in terms of $n$ generators and then specifying multiplication by giving for each pair of generators, their product as an element of the additive group.

$$
(R,+, .):=\left\langle\left(d_{1}, d_{2}, d_{3}, \cdots, d_{n}\right),\left(\left(a_{i j}^{k}\right)\right)_{1 \leq i, j, k \leq n}\right\rangle
$$

where, for all $1 \leq i, j, k \leq n, 0 \leq a_{i j}^{k}<d_{k}$.
This specifies a ring $R$ generated by $n$ elements $e_{1}, e_{2}, \cdots e_{n}$ with each $e_{i}$ having additive order $d_{i}$ and $(R,+)=\left\langle e_{1}\right\rangle \oplus\left\langle e_{2}\right\rangle \cdots \oplus\left\langle e_{n}\right\rangle$. Thus $(R,+)$ has the structure $(R,+) \cong \mathbb{Z}_{d_{1}} \oplus \mathbb{Z}_{d_{1}} \oplus \cdots \oplus \mathbb{Z}_{d_{n}}$. Moreover multiplication in $R$ is specified by specifying the product of each pair of generators as an integer linear combination of the generators: for $1 \leq i, j \leq n, e_{i} \cdot e_{j}=\sum_{k=1}^{n} a_{i j}^{k} e_{k}$.

Definition 2.2. Representation of maps on rings: Suppose $R_{1}$ is a ring given in terms of its additive generators $e_{1}, \ldots, e_{n}$ and ring $R_{2}$ given in terms of $f_{1}, \ldots, f_{n}$. In this paper maps on rings would invariably be homomorphisms on the additive group. Then to specify any map $\phi: R_{1} \rightarrow R_{2}$, it is enough to give the images $\phi\left(e_{1}\right), \ldots, \phi\left(e_{n}\right)$. So we represent $\phi$ by an $n \times n$ matrix of integers $A$, such that for all $1 \leq i \leq n$ :

$$
\phi\left(e_{i}\right)=\sum_{j=1}^{n} A_{i j} f_{j}
$$

and for all $1 \leq i, j \leq n, 0 \leq A_{i j}<$ additive order of $f_{j}$.
Algebraic structures mostly break into simpler objects. In the case of rings we get the following simpler rings.

Definition 2.3. Indecomposable or Local ring: A ring $R$ is said to be indecomposable or local if there do not exist rings $R_{1}, R_{2}$ such that $R \cong R_{1} \otimes R_{2}$, where $\otimes$ denotes the natural composition of two rings with component wise addition and multiplication.

We collect some of the known results about rings. Their proofs can be found in algebra texts for e.g. [McD74].

Proposition 2.4. [Structure theorem for abelian groups] If $R$ is a finite ring then its additive group $(R,+)$ can be uniquely (up to permutations) expressed as:

$$
(R,+) \cong \bigoplus_{i} \mathbb{Z}_{p_{i} \alpha_{i}}
$$

where $p_{i}$ 's are primes (not necessarily distinct) and $\alpha_{i} \in \mathbb{Z} \geq 1$.
Remark. This theorem can be used to check in polynomial time whether for two rings, given in basis form, the additive groups are isomorphic or not.

Proposition 2.5. Given a ring $R$ in terms of generators, all having prime-power additive orders, we can compute the number of automorphisms of the additive group of $R, \# A u t(R,+)$, in polynomial time.

Proof. Refer to appendix.
Proposition 2.6. [Structure theorem for rings] If $R$ is a finite ring with unity then it can be uniquely (up to permutations) decomposed into indecomposable rings $R_{1}, \ldots, R_{s}$ such that

$$
R=R_{1} \otimes \ldots \otimes R_{s}
$$

Remark. It follows immediately from the proof of above proposition that for a commutative ring $R$ its decomposition can be found in polynomial time given oracles to integer and polynomial factorizations.

Let us also define the multiplication operation on ideals which will be useful in the last section.

Definition 2.7. Let $\mathcal{I}, \mathcal{J}$ be two ideals of a ring $R$. We define their product as

$$
\mathcal{I} \cdot \mathcal{J}:=\text { ring generated by the elements }\{i j \mid i \in \mathcal{I}, j \in \mathcal{J}\}
$$

$\mathcal{I}^{t}$, for positive integer $t$, is defined similarly.
It is easy to see that $\mathcal{I} \cdot \mathcal{J}$ is again an ideal of $R$.
Finally, we define the ring isomorphism and related problems that we are going to explore.

- The ring isomorphism problem is to check whether two given rings are isomorphic. The corresponding language we define as
$\mathbf{R I}:=\left\{\left(R_{1}, R_{2}\right) \mid\right.$ commutative rings $R_{1}, R_{2}$ are given in the basis representation and $\left.R_{1} \cong R_{2}\right\}$
- \#RI is defined as the functional problem of computing the number of isomorphisms between two rings given in basis form.
- \#RA is defined as the functional problem of computing the number of automorphisms of a given commutative ring. Its decision version can be viewed as the language

$$
\mathrm{cRA}:=\{(R, k) \mid R \text { is a commutative ring in basis form s.t. } \# A u t(R) \geq k\}
$$

- RA is defined as the problem of determining whether a given commutative ring has a nontrivial ring automorphism. The corresponding language is:

$$
\mathbf{R A}:=\{R \mid R \text { is a commutative ring in basis form s.t. } \# A u t(R)>1\}
$$

## 3 The Complexity of RI

In this section we prove upper and lower bounds on the complexity of Ring Isomorphism problem. Specifically, we show that $\mathbf{R I}$ is in $\mathbf{N P} \cap \operatorname{coAM}$ and the Graph Isomorphism problem reduces to RI.

Theorem 3.1. $R I \in N P \cap c o A M$.
Proof. We start with the easier part,
Claim 3.1.1. $R I \in N P$.
Proof of Claim 3.1.1. Suppose we are given two rings $R$ and $R^{\prime}$ together with a map $\phi: R \rightarrow R^{\prime}$. Let

$$
(R,+)=\mathbb{Z}_{m_{1}} e_{1} \oplus \ldots \oplus \mathbb{Z}_{m_{n}} e_{n}
$$

Here we can assume that $\left(m_{1}, \ldots, m_{n}\right)=\left(d_{1}^{\alpha_{11}}, d_{1}^{\alpha_{12}}, \ldots, d_{2}^{\alpha_{21}}, d_{2}^{\alpha_{22}}, \ldots, d_{t}^{\alpha_{t 1}}, d_{t}^{\alpha_{t 2}}, \ldots\right)$ where $d_{1}, \ldots, d_{t}$ are mutually coprime. For otherwise $\exists i \neq j$ s.t. $\operatorname{gcd}\left(m_{i}, m_{j}\right)=: g>1$ and can be used to break $m_{i}$ or $m_{j}$ into coprime factors $a, b \in \mathbb{Z}^{>1}$, hence, breaking $(R,+)$ further by applying:

$$
\left(\mathbb{Z}_{a b} e_{k},+\right) \cong \mathbb{Z}_{a}\left(b e_{k}\right) \oplus \mathbb{Z}_{b}\left(a e_{k}\right)
$$

If $(R,+) \cong\left(R^{\prime},+\right)$ we can apply this process to get basis representations of both the rings $R$ and $R^{\prime}$ over

$$
\mathbb{Z}_{d_{1}^{\alpha_{11}}} \oplus \mathbb{Z}_{d_{1}^{\alpha_{12}}} \oplus \ldots \oplus \mathbb{Z}_{d_{2}^{\alpha_{21}}} \oplus \ldots \oplus \mathbb{Z}_{d_{t}^{\alpha} t 1} \oplus \ldots, \quad \text { for some coprime } d_{1}, d_{2}, \ldots, d_{t}
$$

Let us define for all $1 \leq i \leq t, R_{i}:=\left\{r \in R \mid r\right.$ has a power-of- $d_{i}$ additive order $\}$ and similarly $R_{i}^{\prime} \mathrm{s}$. Now since the $d_{i}$ 's are mutually coprime it is easy to see that $\phi$ is an isomorphism from $R \rightarrow R^{\prime}$ iff $\forall i \phi$ isomorphically maps $R_{i}$ to $R_{i}^{\prime}$. Thus, wlog we can assume that the additive basis of the rings $R$ and $R^{\prime}$ is given in the form:

$$
\mathbb{Z}_{d^{\alpha_{1}}} \oplus \ldots \oplus \mathbb{Z}_{d^{\alpha_{n}}} \text { where } 1 \leq \alpha_{1} \leq \ldots \leq \alpha_{n}
$$

Now in this case $\phi$ is an isomorphism from $R \rightarrow R^{\prime}$ iff it satisfies the following conditions:

- $\operatorname{det}(A) \in \mathbb{Z}_{d}^{*}$, where $A$ is the $n \times n$ integer matrix describing the map $\phi: R \rightarrow R^{\prime}$.
- for all $1 \leq i \leq n$, additive order of $e_{i}$ is the same as that of $\phi\left(e_{i}\right)$.
- for all $1 \leq i, j \leq n, \phi\left(e_{i}\right) \cdot \phi\left(e_{j}\right)=\sum_{k=1}^{n} a_{i j}^{k} \phi\left(e_{k}\right)$, where $\left(\left(a_{i j}^{k}\right)\right)_{n^{2} \times n}$ is the same matrix as given in the description of $R$.

All these three conditions can be checked in polynomial time.

The AM protocol for ring non-isomorphism is similar to that of graph non-isomorphism.
Claim 3.1.2. $R I \in \operatorname{coA} M$
Proof of Claim 3.1.2. Arthur has two rings $R_{1}, R_{2}$ in basis forms and he wants a proof of their non-isomorphism from Merlin. Arthur checks whether $\left(R_{1},+\right) \cong\left(R_{2},+\right)$ (see remark of prop. 2.4), if not then Arthur already has a proof of non-isomorphism. Now Merlin can provide the descriptions of $\left(R_{1},+\right),\left(R_{2},+\right)$ in the form:

$$
\begin{aligned}
& \left(R_{1},+\right)=\bigoplus_{i=1}^{n} \mathbb{Z}_{p_{i}^{\alpha_{i}}} e_{i} \text { and } \\
& \left(R_{2},+\right)=\bigoplus_{i=1}^{n} \mathbb{Z}_{p_{i}^{\alpha_{i}}} f_{i}, \text { where } p_{i}^{\prime} \text { 's are primes and } \alpha_{i} \in \mathbb{Z}^{\geq 1}
\end{aligned}
$$

Let us also define a set related to the ring $R_{1}$ :
$C\left(R_{1}\right):=\left\{\left\langle\left(\left(a_{i j}^{k}\right)\right)_{n^{2} \times n}, A_{\phi}\right\rangle \mid \exists \pi \in \operatorname{Aut}\left(R_{1},+\right)\right.$ s.t. for all $1 \leq i, j \leq n$,
$\pi\left(e_{i}\right) \cdot \pi\left(e_{j}\right)=\sum_{k=1}^{n} a_{i j}^{k} \pi\left(e_{k}\right)$; for all $1 \leq i, j, k \leq n, 0 \leq a_{i j}^{k}<p_{k}^{\alpha_{k}} ; A_{\phi}$ is an integer matrix describing some $\phi \in \operatorname{Aut}\left(R_{1}\right)$ wrt the additive basis $\left.\left\{\pi\left(e_{i}\right)\right\}_{i=1}^{n}\right\}$
$C\left(R_{2}\right)$ is defined similarly by replacing the $e_{i}$ 's above by the $f_{i}$ 's. (Note that in the case of graph isomorphism we consider all permutations on the vertices, here we consider all automorphisms of the additive group.)

It is not difficult to see that $\# C(R)=\# A u t(R,+)$ which can be computed in polynomial time when $(R,+)$ is given in terms of generators all having prime-power additive orders (see prop. 2.5). Thus, Arthur can compute $s:=\# \operatorname{Aut}\left(R_{1},+\right)=\# \operatorname{Aut}\left(R_{2},+\right)$.

Define $C\left(R_{1}, R_{2}\right):=C\left(R_{1}\right) \cup C\left(R_{2}\right)$. Note that:

$$
\begin{aligned}
& R_{1} \cong R_{2} \Rightarrow C\left(R_{1}\right)=C\left(R_{2}\right) \Rightarrow \# C\left(R_{1}, R_{2}\right)=\# C\left(R_{1}\right)=s \\
& R_{1} \not \approx R_{2} \Rightarrow C\left(R_{1}\right) \cap C\left(R_{2}\right)=\emptyset \Rightarrow \# C\left(R_{1}, R_{2}\right)=\# C\left(R_{1}\right)+\# C\left(R_{2}\right)=2 s
\end{aligned}
$$

Thus, the size of the set $C\left(R_{1}, R_{2}\right)$ has a gap of 2 between the cases of $R_{1} \cong R_{2}$ and $R_{1} \not \not R_{2}$, which can be distinguished by a standard AM protocol.

The two claims show that RI is in NP $\cap$ coAM.
This shows that the ring isomorphism problem cannot be NP-hard (unless polynomial hierarchy collapses to $\boldsymbol{\Sigma}_{2}^{P}$ [Sch88]). The proofs above were all similar in spirit to those for graph isomorphism which hints a connection to graph isomorphism. Indeed, we can lower bound the complexity of RI by graph isomorphism (GI).

Theorem 3.2. $\boldsymbol{G I} \leq_{m}^{P} \boldsymbol{R I}$.
Proof. Given a graph $G$ with $n$ vertices, $m$ edges. Choose an odd prime $p$ and let $l:=\binom{n}{2}$. Let $\left\{a_{k}\right\}_{k}$ be a set of $l$ variables indexed by $k \in\{(i, j) \mid 1 \leq i<j \leq n\}$. Define the following commutative ring:

$$
R(G):=\mathbb{Z}_{p^{3}}\left[v_{1}, \ldots, v_{n}, a_{1}, \ldots, a_{l}\right] / I
$$

where, ideal $I$ has the following relations:

1. for all $1 \leq i \leq n, v_{i}^{2}=0$.
2. for all $1 \leq i<j \leq n, v_{j} v_{i}=v_{i} v_{j}=a_{e}$ where $e=(i, j)$.
3. for all $i, j ; a_{j} v_{i}=v_{i} a_{j}=0, a_{i} a_{j}=0$.
4. for all $1 \leq i<j \leq n$, if $e=(i, j) \in E(G)$ then $p a_{e}=0$ else $p^{2} a_{e}=0$.

The $v_{i}$ 's represent the $n$ vertices and have an additive order of $p^{3}$. The $a_{i}$ 's with additive order $p$ are for the $m$ edges. Finally, the $a_{i}$ 's with additive order $p^{2}$ represent the $(l-m)$ non-edges.

The additive structure of the ring is:

$$
(R(G),+)=\mathbb{Z}_{p^{3}} \oplus \bigoplus_{i=1}^{n} \mathbb{Z}_{p^{3}} v_{i} \oplus \bigoplus_{e \in E(G)} \mathbb{Z}_{p} a_{e} \oplus \bigoplus_{e \notin E(G)} \mathbb{Z}_{p^{2}} a_{e}
$$

Multiplication satisfies the associative law simply because the product of any three variables (in any order) is zero.

Observe that if $G \cong G^{\prime}$ then any graph isomorphism $\phi$ induces a natural isomorphism between rings $R(G)$ and $R\left(G^{\prime}\right)$. So we only have to prove the converse:

Claim 3.2.1. For any two undirected graphs (having no self-loops) $G$ and $G^{\prime}$, if $R(G) \cong R\left(G^{\prime}\right)$ then $G \cong G^{\prime}$.

Proof of Claim 3.2.1. Suppose $\phi$ is an isomorphism from $R(G) \rightarrow R\left(G^{\prime}\right)$. Let
$\phi\left(v_{1}\right)=c_{10}+c_{11} v_{1}^{\prime}+\ldots+c_{1 n} v_{n}^{\prime}+\left(\right.$ linear combination of $\left.a_{i}^{\prime} s\right)$, where all coefficients are in $\mathbb{Z}_{p^{3}}$

Since, $\phi\left(v_{1}\right)^{2}=0$ we get:

$$
c_{10}^{2}+\left(2 c_{10} c_{11}\right) v_{1}^{\prime}+\ldots+\left(2 c_{10} c_{1 n}\right) v_{n}^{\prime}+\left(\text { linear combination of } a_{i}^{\prime} s\right)=0
$$

As $1, v_{i}^{\prime} s$ and $a_{j}^{\prime} s$ form an additive basis of $R\left(G^{\prime}\right)$, we conclude:

$$
c_{10}^{2}=2 c_{10} c_{11}=\ldots=2 c_{10} c_{1 n}=0\left(\bmod p^{3}\right)
$$

Since $p$ is an odd prime, if $c_{10} \neq 0\left(\bmod p^{3}\right)$ then $p \mid c_{10}, c_{11}, \ldots, c_{1 n}$. But then by equation (1), $p^{2} \phi\left(v_{1}\right)=0$ which is a contradiction to the fact that $\phi$ is an isomorphism. Thus, $c_{10}=0\left(\bmod p^{3}\right)$. Now at least one of the $c_{1 i}$ 's has to be a unit (i.e. coprime to $p$ ) otherwise again by equation $(1), p^{2} \phi\left(v_{1}\right)=0$. Say, $c_{1 i_{0}}$ is a unit. From the equation:

$$
\begin{equation*}
0=\phi\left(v_{1}\right)^{2}=\sum_{1 \leq i<j \leq n}\left(2 c_{1 i} c_{1 j}\right) v_{i}^{\prime} v_{j}^{\prime} \tag{2}
\end{equation*}
$$

it follows that if $\left(i_{0}, j\right) \in E(G)$, for some $j \neq i_{0}$, then $p \mid c_{1 j}$ else $p^{2} \mid c_{1 j}$. Thus, we have shown that exactly one of the $c_{11}, \ldots, c_{1 n}$ is a unit. So we can define a map $\pi:[n] \rightarrow[n]$ with $\pi(1)=i_{0}$ and satisfying the following condition for all $1 \leq i \leq n$ :

$$
\begin{equation*}
\phi\left(v_{i}\right)=c_{i \pi(i)} v_{\pi(i)}^{\prime}+p . \sum_{\substack{j=1 \\ j \neq \pi(i)}}^{n} d_{i j} v_{j}^{\prime}+\left(\text { linear combination of } a_{k}^{\prime} s\right) \tag{3}
\end{equation*}
$$

where, all coefficients are in $\mathbb{Z}_{p^{3}}$ and $c_{i \pi(i)}$ is a unit.
Also note that if $\pi(i)=\pi(j)$ then by equation (3) and by the fact that $\phi\left(v_{i}\right)^{2}=\phi\left(v_{j}\right)^{2}=0$ (similar to eqn. 2) we deduce: $0=\phi\left(v_{i}\right) \phi\left(v_{j}\right)=\phi\left(v_{i} v_{j}\right)$ which forces $i=j$. Hence, $\pi$ is a permutation on $[n]$.

We are now almost done, we just have to show that $\pi$ is indeed an isomorphism from $G \rightarrow G^{\prime}$.
Suppose $e=(i, j) \in E(G)$. Thus, (using eqn. 3)

$$
\phi\left(a_{e}\right)=\phi\left(v_{i} v_{j}\right)=\left(c_{i \pi(i)} c_{j \pi(j)}\right) v_{\pi(i)}^{\prime} v_{\pi(j)}^{\prime}+p \cdot\left(\text { linear combination of } a_{k}^{\prime} s\right)
$$

Since, $p \cdot \phi\left(a_{e}\right)=0$ and $c_{i \pi(i)} c_{j \pi(j)}$ is a unit we get:

$$
p \cdot v_{\pi(i)}^{\prime} v_{\pi(j)}^{\prime}=0
$$

Whence we conclude that $v_{\pi(i)}^{\prime} v_{\pi(j)}^{\prime}$ is of additive order $p$ implying, by the definition of $R\left(G^{\prime}\right)$, that $(\pi(i), \pi(j)) \in E\left(G^{\prime}\right)$.

By symmetry this shows that $\pi$ is an isomorphism from $G \rightarrow G^{\prime}$.

The theorem follows from the claim.

Another interesting variant of $\mathbf{R I}$ is its search version- FRI -finding an isomorphism given two rings. FRI is unlikely to be NP hard as it reduces to computing the automorphism group of a ring which we observe later to be in second level of low hierarchy. It turns out that solving FRI would mean solving integer factoring (IF).

## Theorem 3.3. IF $\leq{ }_{T}^{Z P P}$ FRI.

Proof. Suppose $n$ is an odd number to be factored. Pick a random $a \in \mathbb{Z}_{n}^{*}$ and suppose we can find an isomorphism $\phi: \mathbb{Z}_{n}[x] /\left(x^{2}-a^{2}\right) \rightarrow \mathbb{Z}_{n}[x] /\left(x^{2}-1\right)$. Let $\phi(x)=b x+c, b$ should be in $\mathbb{Z}_{n}^{*}$ otherwise there is a $b^{\prime} \neq 0(\bmod n)$ such that $b b^{\prime}=0(\bmod n)$ implying $\phi\left(b^{\prime} x-b^{\prime} c\right)=0$ which contradicts that $\phi$ is an isomorphism. Further,

$$
\begin{aligned}
& a^{2}=\phi(x)^{2}=(b x+c)^{2}\left(\bmod n, x^{2}-1\right) \\
\Rightarrow & 2 b c=0(\bmod n) \text { and } b^{2}+c^{2}-a^{2}=0(\bmod n) \\
\Rightarrow & c=0(\bmod n) \text { and } b^{2}=a^{2}(\bmod n)
\end{aligned}
$$

If $n$ is composite then with probability at least $\frac{1}{2}, b \neq \pm a(\bmod n)$. Thus, we can factor $n$ in expected polynomial time.

A related problem to RI, however, is hard. Suppose we are given two rings $R_{1}, R_{2}$ and we want to find an isomorphism $\phi: R_{1} \rightarrow R_{2}$ such that the corresponding matrix $A$, which transforms basis of $\left(R_{1},+\right) \rightarrow\left(R_{2},+\right)$, has elements smaller than given size bounds. It turns out that this problem is NP-complete.
$\mathbf{R I}_{\text {boundedIso }}:=\left\{\left(R_{1}, R_{2},\left(\left(b_{i j}\right)\right)_{n \times n}\right) \mid R_{1}, R_{2}\right.$ are rings given in basis form, having additive dimension $n$ and there is an integer matrix $A, \forall i, j A_{i j} \leq b_{i j}$, that defines an isomorphism $\}$

Theorem 3.4. $R I_{\text {boundedIso }}$ is $\boldsymbol{N P}$-complete.
Proof. Clearly, $\mathbf{R I}_{\text {boundediso }}$ is in NP by claim 3.1.1.
Suppose we are given $R_{1}:=\mathbb{Z}_{n}[x] /\left(x^{2}-a^{2}\right), R_{2}:=\mathbb{Z}_{n}[x] /\left(x^{2}-1\right), \beta \in \mathbb{Z}$ and we want to find out whether there is an isomorphism $\phi(x)=b x$ s.t. $b \leq \beta$. Now as in the proof of theorem $3.3, b^{2} \equiv a^{2}(\bmod n)$. Thus, the question at hand is equivalent to asking whether the quadratic equation $(\operatorname{in} y): y^{2} \equiv a^{2}(\bmod n)$ has a solution $y \leq \beta$, and this is an NP-complete problem by [MA76].

## 4 The Complexity of \#RA

This section will explore the complexity of the problem of counting ring automorphisms. We will show that this problem is unlikely to be NP-hard but both graph isomorphism and integer factoring reduce to it. There is also a connection between \#RI and \#RA.

We will show that given a ring $R$ there is an AM protocol in which Merlin sends a number $l$ and convinces Arthur that $\# \operatorname{Aut}(R)=l$. The ideas in the proof are basically from [BS84].

Theorem 4.1. \#RA $\in F P^{A M \cap c o A M . ~}{ }^{2}$
Proof. Let $R$ be a ring given in its basis form. We will first show how Merlin can convince Arthur that $\# \operatorname{Aut}(R) \geq k$.

Claim 4.1.1. $c \boldsymbol{R} A \in A M$.

[^1]Proof of Claim 4.1.1. Merlin can give Sylow subgroups $S_{p_{1}}, \ldots, S_{p_{m}}$ of $\operatorname{Aut}(R)$, in terms of generators, to Arthur such that $\left|S_{p_{1}}\right| \cdots,\left|S_{p_{m}}\right| \geq k$ and $p_{1}, \ldots, p_{m}$ are distinct primes. Arthur now has to verify whether for a given Sylow subgroup $S_{p},\left|S_{p}\right|=p^{t}$ or not. So Merlin can further provide the composition series of $S_{p}$ :

$$
S_{p}=G_{t}>G_{t-1}>\ldots>G_{1}>G_{0}=\{i d\} .
$$

Suppose, for the sake of induction, that Arthur is convinced about $\left|G_{i}\right|=p^{i}$. Then to prove $\left|G_{i+1}\right|=p^{i+1}$, Merlin will provide $x_{i+1} \in G_{i+1}$ to Arthur with the claim that $x_{i+1} \notin G_{i}$ but $x_{i+1}^{p} \in G_{i}$. Finally, the only nontrivial thing left for Arthur to verify is whether $x_{i+1} \notin G_{i}$, which can be verified by a standard AM protocol as there is a gap in the size of the set $X:=$ (group generated by $x_{i+1}$ and $G_{i}$ ):

$$
\begin{aligned}
x_{i+1} \notin G_{i} & \Rightarrow \quad \# X=p^{i+1} \\
x_{i+1} \in G_{i} & \Rightarrow \# X=p^{i}
\end{aligned}
$$

To avoid too many rounds, Merlin first provides $x_{0}=i d, x_{1}, \ldots, x_{t} \in \operatorname{Aut}(R)$ with the proof of: for all $1 \leq i \leq t, x_{i}^{p} \in G_{i-1}:=$ (group generated by $x_{0}, \ldots, x_{i-1}$ ) to Arthur and then provides the proof of: for all $1 \leq i \leq t, x_{i} \notin G_{i-1}$ in one go for Arthur to verify.

Now we give the AM protocol that convinces Arthur of $\# \operatorname{Aut}(R) \leq k$.

## Claim 4.1.2. $c \boldsymbol{R} \boldsymbol{A} \in \operatorname{coA} \boldsymbol{M}$.

Proof of Claim 4.1.2. Arthur has a ring $R$ and he wants a proof of $\# \operatorname{Aut}(R) \leq k$. As in the proof of claim 3.1.2, we can assume that $R$ is given in terms of generators having prime-power additive orders. For concreteness let us assume:

$$
(R,+)=\bigoplus_{i=1}^{n} \mathbb{Z}_{p_{i}^{\alpha_{i}}} e_{i}
$$

Merlin sends Arthur a number $l \leq k$ as a candidate value for $\# \operatorname{Aut}(R)$ and also provides some Sylow subgroups, the product of their sizes being equal to $l$, with the AM-proofs for their sizes (as used in claim 4.1.1). Let

$$
\begin{aligned}
& X:=\left\{\left\langle\left(\left(a_{i j}^{k}\right)\right)_{n^{2} \times n}\right\rangle \mid \exists \pi \in \operatorname{Aut}(R,+) \text { s.t } \pi\left(e_{i}\right) \cdot \pi\left(e_{j}\right)=\sum_{k=1}^{n} a_{i j}^{k} \pi\left(e_{k}\right) ;\right. \\
& \text { for all } \left.1 \leq i, j, k \leq n, 0 \leq a_{i j}^{k}<p_{k}^{\alpha_{k}}\right\}
\end{aligned}
$$

Observe that $\# X=\frac{\# \operatorname{Aut}(R,+)}{\# \operatorname{Aut}(R)}$ and $\# A u t(R,+)$ can be computed in polynomial time when $(R,+)$ is given in terms of generators having prime-power additive orders (see prop. 2.5). Thus, Arthur computes $s:=\# \operatorname{Aut}(R,+)$. Arthur is already convinced that $l \mid \# \operatorname{Aut}(R)$ and he now wants a proof for $\# \operatorname{Aut}(R) \leq l$. A proof can be given by utilizing the gap in the size of $X$ in the two cases:

$$
\begin{aligned}
& \# \operatorname{Aut}(R) \leq l \quad \Rightarrow \quad \# X \geq \frac{s}{l} \\
& \# \operatorname{Aut}(R)>l \quad \Rightarrow \quad \# \operatorname{Aut}(R) \geq 2 l \Rightarrow \# X \leq \frac{s}{2 l}
\end{aligned}
$$

The claims above show that $\# \mathbf{R A} \in \mathrm{FP}^{\mathrm{cRA}} \subseteq \mathrm{FP}^{\mathbf{A M} \cap \operatorname{coAM}}$.

Remark. It is easy to see that the same protocol as above works for the problem of computing the automorphism group of a ring (in terms of the generators of Sylow subgroups). Thus, this problem too cannot be NP-hard.

In the case of graphs it is easy to show that graph isomorphism (or counting graph isomorphisms) reduces to counting graph automorphisms. The same result continues to hold for rings with a slightly more involved proof.

Lemma 4.2. $\# \boldsymbol{R I} \equiv_{T}^{P} \# \boldsymbol{R} \boldsymbol{A}$.
Proof. Suppose we are given a ring $R$. Clearly we can compute $\# A u t(R)$ by giving $(R, R)$ as input to the oracle of $\# \mathbf{R I}$.

Conversely, let $R_{1}, R_{2}$ be the two rings given in basis form. Let us assume the following about their decomposability into distinct local rings $S_{1}, \ldots, S_{k}$ :
$R_{1} \cong S_{1} \otimes \ldots \otimes S_{1} \otimes \ldots \otimes S_{k} \otimes \ldots \otimes S_{k}$
where, for all $1 \leq i \leq k$, indecomposable ring $S_{i}$ occurs $a_{i} \geq 0$ times and $\# A u t\left(S_{i}\right)=m_{i}$.

$$
R_{2} \cong S_{1} \otimes \ldots \otimes S_{1} \otimes \ldots \otimes S_{k} \otimes \ldots \otimes S_{k}
$$

where, for all $1 \leq i \leq k$, indecomposable ring $S_{i}$ occurs $b_{i} \geq 0$ times.

The following claim relates the (non)isomorphism of the rings to counting ring automorphisms:
Claim 4.2.1. $R_{1} \neq R_{2} \Rightarrow \# \operatorname{Aut}\left(R_{1} \otimes R_{1}\right) \cdot \# \operatorname{Aut}\left(R_{2} \otimes R_{2}\right)>\left(\# A u t\left(R_{1} \otimes R_{2}\right)\right)^{2}$.
Proof of Claim 4.2.1. Due to the uniqueness of decomposition of a ring into indecomposable rings:

$$
\begin{aligned}
\# A u t\left(R_{1} \otimes R_{2}\right) & =\# A u t\left(S_{1} \otimes \ldots a_{1}+b_{1} \text { times }\right) \cdots \# A u t\left(S_{k} \otimes \ldots a_{k}+b_{k} \text { times }\right) \\
& =\left(a_{1}+b_{1}\right)!m_{1}^{a_{1}+b_{1}} \cdots\left(a_{k}+b_{k}\right)!m_{k}^{a_{k}+b_{k}}
\end{aligned}
$$

Similarly,

$$
\begin{aligned}
\# A u t\left(R_{1} \otimes R_{1}\right) & =\# A u t\left(S_{1} \otimes \ldots 2 a_{1} \text { times }\right) \cdots \# A u t\left(S_{k} \otimes \ldots 2 a_{k} \text { times }\right) \\
& =\left(2 a_{1}\right)!m_{1}^{2 a_{1}} \cdots\left(2 a_{k}\right)!m_{k}^{2 a_{k}} \\
\# A u t\left(R_{2} \otimes R_{2}\right) & =\# A u t\left(S_{1} \otimes \ldots 2 b_{1} \text { times }\right) \cdots \# A u t\left(S_{k} \otimes \ldots 2 b_{k} \text { times }\right) \\
& =\left(2 b_{1}\right)!m_{1}^{2 b_{1}} \cdots\left(2 b_{k}\right)!m_{k}^{2 b_{k}}
\end{aligned}
$$

Notice that $\binom{2 a_{i}+2 b_{i}}{a_{i}+b_{i}} \geq\binom{ 2 a_{i}+2 b_{i}}{2 a_{i}}$ which implies $\left(2 a_{i}\right)!\cdot\left(2 b_{i}\right)!\geq\left(a_{i}+b_{i}\right)!^{2}$. This clearly shows:

$$
\# A u t\left(R_{1} \otimes R_{1}\right) \cdot \# A u t\left(R_{2} \otimes R_{2}\right) \geq\left(\# A u t\left(R_{1} \otimes R_{2}\right)\right)^{2}
$$

Now since $R_{1} \not \neq R_{2}$, there exists an $i_{0} \in[k]$ such that $a_{i_{0}} \neq b_{i_{0}}$ in which case $\left(2 a_{i_{0}}\right)!\cdot\left(2 b_{i_{0}}\right)!\geqslant$ $\left(a_{i_{0}}+b_{i_{0}}\right)!^{2}$. Thus,

$$
\# A u t\left(R_{1} \otimes R_{1}\right) \cdot \# A u t\left(R_{2} \otimes R_{2}\right)>\left(\# A u t\left(R_{1} \otimes R_{2}\right)\right)^{2}
$$

As a corollary of this we get:
Theorem 4.3. Graph Isomorphism $\leq_{T}^{P} \#$ RA.

Proof. Immediate from theorem $3.2 \&$ lemma 4.2 .
Another interesting open problem that reduces to \#RA is integer factorization- IF.
Theorem 4.4. IF $\leq_{T}^{\mathrm{ZPP}} \#$ RA.
Proof. Let $n$ be the odd integer to be factored. Consider the ring

$$
R:=\mathbb{Z}_{n}[x] /\left(x^{2}\right)
$$

We will show that $\# A u t(R)=\phi(n):=\left|\mathbb{Z}_{n}^{*}\right|$. The theorem is then immediate as $n$ can be factored in expected polynomial time if we are given $\phi(n)$, see [Mil76].

Suppose $\psi \in \operatorname{Aut}(R)$ and let $\psi(x)=a x+b$, for some $a, b \in \mathbb{Z}_{n}$. Since $\psi$ is an automorphism; $a, b$ should satisfy the following two conditions:

$$
\begin{gathered}
(a x+b)^{2}=0 \text { in } R \Rightarrow a b=b^{2}=0(\bmod n), \text { and } \\
a \in \mathbb{Z}_{n}^{*} .
\end{gathered}
$$

These two conditions force $b=0$ and any $a \in \mathbb{Z}_{n}^{*}$ will work. Thus, $\# A u t(R)=\left|\mathbb{Z}_{n}^{*}\right|=\phi(n)$.

## 5 The Complexity of RA

This section studies the problem of checking whether a given ring is rigid (i.e. has no nontrivial automorphism) and if not then finding a nontrivial automorphism.

We will show that $\mathbf{R A}$ can be decided in deterministic polynomial time but finding a nontrivial automorphism is as hard as integer factoring.

## Theorem 5.1. $R A \in P$.

Proof. Let $R$ be a commutative ring given in basis form. We first consider the case of odd sized $R$. We intend to give a classification of rigid rings. We will show that indecomposable components of a rigid commutative odd-sized ring $R$ are isomorphic to $\mathbb{Z}_{p^{m}}$, for some odd prime $p$ :

Claim 5.1.1. If $R$ is an indecomposable rigid commutative odd-sized ring then $\exists$ prime $p$ and $m \in \mathbb{N}$ such that, $R \cong \mathbb{Z}_{p^{m}}$.

Proof of Claim 5.1.1. It is known (e.g. see [McD74]) that any indecomposable commutative ring $R$ contains an associated Galois ring $G$ such that:

$$
G=\mathbb{Z}_{p^{m}}[x] /(f(x)) \text { where square-free } f(x) \text { is irreducible over } \mathbb{Z}_{p} \text { and, }
$$

$$
R=G\left[x_{1}, \ldots, x_{k}\right] /\left(x_{1}^{n_{1}}, \ldots, x_{k}^{n_{k}}, g_{1}, \ldots, g_{l}\right) \text { where } g_{i} \text { 's are polynomials in }\left(x_{1}, \ldots, x_{k}\right)
$$

Now if additionally $R$ is rigid then $G$ has to be $\mathbb{Z}_{p^{m}}$ otherwise $G$ would have a nontrivial automorphism ${ }^{3}$ and hence $R$ would have a nontrivial automorphism.

Let $\mathcal{M}:=\left(\right.$ ring generated by $\left.p, x_{1}, \ldots, x_{k}\right)$ be the unique maximal ideal of $R$ and let $t>0$ be the least integer such that $\mathcal{M}^{t}=0$. If $t=1$ then $R=\mathbb{Z}_{p}$, thus, assume that $t>1$. We can assume wlog that $x_{1}$ does not occur as a linear term with unit coefficient in any of

[^2]the relations $g_{1}, \ldots, g_{l}$ (if it does we can eliminate $x_{1}$ by repeated substitutions). Now choose $\alpha \in \mathcal{M}^{t-1} \backslash\left\{0,-x_{1}\right\}$ and it is easy to see that the map
\[

\phi:\left\{$$
\begin{aligned}
x_{1} & \mapsto x_{1}+\alpha \\
x_{2} & \mapsto x_{2} \\
& \vdots \\
x_{k} & \mapsto x_{k}
\end{aligned}
$$\right.
\]

induces a nontrivial automorphism of $R$. This contradiction implies that there can be no variable in $R$ and therefore $R=\mathbb{Z}_{p^{m}}$.

Remark. If $R$ was even sized then $\mathcal{M}^{t-1} \backslash\left\{0,-x_{1}\right\}$ could be empty as in the case of $R:=$ $\mathbb{Z}_{2}[x] /\left(x^{2}\right)$, where $\mathcal{M}=\{0, x\}$.

As a consequence of the above observations we have that any rigid commutative odd-sized ring $R$ looks like:

$$
\begin{equation*}
\bigotimes_{i} \bigotimes_{j} \mathbb{Z}_{p_{i} \alpha_{i j}} \text { where, } p_{i} \text { 's are distinct odd primes and } 1 \leq \alpha_{i 1}<\alpha_{i 2}<\ldots \tag{4}
\end{equation*}
$$

Our algorithm for RA will test whether a given ring $R$ is of the form (4) or not.
As in the proof of claim 3.1.1, we can assume wlog that the input ring $R$ is given as

$$
(R,+)=\mathbb{Z}_{d^{\alpha_{1}}} e_{1} \oplus \ldots \oplus \mathbb{Z}_{d^{\alpha_{n}}} e_{n}
$$

We can also assume that $\alpha_{i}$ 's are distinct (say, $1 \leq \alpha_{1}<\alpha_{2}<\ldots<\alpha_{n}$ ) otherwise $R$ would not be rigid as it would not be of the form (4).

Now we sketch an algorithm to check whether $R$ is isomorphic to:

$$
\begin{equation*}
\mathbb{Z}_{d^{\alpha_{1}}} \otimes \ldots \otimes \mathbb{Z}_{d^{\alpha_{n}}} \tag{5}
\end{equation*}
$$

1) Compute $f(x):=$ minpoly of $e_{1}$ over $\mathbb{Z}_{d^{\alpha_{n}}}$. This can be found out by checking whether $e_{1}^{i}$ can be written as a linear combination of $1, e_{1}, \ldots, e_{1}^{i-1}$ which amounts to doing linear algebra $\left(\bmod d^{\alpha_{n}}\right)$.
2) If $R \cong \mathbb{Z}_{d^{\alpha_{1}}} \otimes \ldots \otimes \mathbb{Z}_{d^{\alpha_{n}}}$ then say $e_{1}=\left(\beta_{1}, \ldots, \beta_{n}\right)$ where $\beta_{i} \in \mathbb{Z}_{d^{\alpha_{i}}}$. Also, since $e_{1}$ has characteristic $d^{\alpha_{1}}$ and $\alpha_{1} \leftrightarrows \alpha_{2}, \ldots, \alpha_{n}$ we can deduce: $\beta_{1}$ is coprime to $d$ and $d \mid \beta_{2}, \ldots, \beta_{n}$. These observations mean that

$$
f(x)=\operatorname{lcm}_{i=1}^{n}\left\{\text { minpoly of } \beta_{i} \text { over } \mathbb{Z}_{d^{\alpha_{i}}}\right\} \equiv\left(x-\beta_{1}\right) x^{l}(\bmod d), \text { for some } l \in \mathbb{Z}^{\geq 0}
$$

or else $R$ is not of the form (5). So we have a non-repeating root $\beta_{1}(\bmod d)$ of $f(x)(\bmod d)$ and we can use Hensel lifting (see $[\operatorname{LN} 86])$ to find a root of $f(x)\left(\bmod d^{\alpha_{1}}\right)$, which gives $\beta_{1}$.
3) Consider $e_{1}-\beta_{1}=\left(0, \beta_{2}-\beta_{1}, \ldots, \beta_{n}-\beta_{1}\right)$. Note that $\beta_{2}-\beta_{1}, \ldots, \beta_{n}-\beta_{1}$ are all coprime to $d$. So if we compute $R_{1}:=\left\{\gamma \in R \mid\left(e_{1}-\beta_{1}\right) \gamma=0\right\}$ then $R_{1} \cong \mathbb{Z}_{d^{\alpha_{1}}}$ or else $R$ is not of the form (5).
4) Let $\hat{e}_{1} \in R$ be the unity of $R_{1}$. Compute $R_{1}^{\perp}:=\left\{\gamma \in R \mid \hat{e}_{1} \gamma=0\right\}$. Check that $R=$ $R_{1} \otimes R_{1}^{\perp}$ otherwise $R$ is not of the form (5).
5) Recursively check whether $R_{1}^{\perp} \cong \mathbb{Z}_{d^{\alpha_{2}}} \otimes \ldots \otimes \mathbb{Z}_{d^{\alpha_{n}}}$ or not.

Let us now take up the case of even sized commutative ring. It is sufficient to consider a ring $R$ whose size is a power of 2 . We will show that $R$ is rigid only if the indecomposable rings that appear in the decomposition of $R$ are isomorphic to either $\mathbb{Z}_{2^{m}}$ or $\mathbb{Z}_{2}[x] /\left(x^{2}\right)$.
Claim 5.1.2. If $R$ is an indecomposable rigid commutative power-of-2 sized ring then $R$ is either $\mathbb{Z}_{2^{m}}$ or $\mathbb{Z}_{2}[x] /\left(x^{2}\right)$.
Proof of Claim 5.1.2. Let $\mathcal{M}$ be the unique maximal ideal of $R$ and $t$ be the least integer such that $\mathcal{M}^{t}=0$. As in the proof of claim 5.1.1, the rigidity of $R$ implies that

$$
R=\mathbb{Z}_{2^{m}}\left[x_{1}, \ldots, x_{k}\right] /\left(x_{1}^{n_{1}}, \ldots, x_{k}^{n_{k}}, g_{1}, \ldots, g_{l}\right) \text { where } g_{i} \text { 's are polynomials in }\left(x_{1}, \ldots, x_{k}\right)
$$

also, either $R$ is $\mathbb{Z}_{2^{m}}$ or $R$ is univariate and $\mathcal{M}^{t-1}=\left\{0,-x_{1}\right\}$. In the latter case $\mathcal{M}=\left\{0,-x_{1}\right\}$ and $\mathcal{M}^{2}=0$, which implies that $R=\mathbb{Z}_{2}\left[x_{1}\right] /\left(x_{1}^{2}\right)$.

It follows from the above claim that a commutative power-of-2 sized ring is rigid iff it is isomorphic to one of the following:

$$
\begin{gathered}
\mathbb{Z}_{2^{\alpha_{1}}} \otimes \ldots \otimes \mathbb{Z}_{2^{\alpha_{n}}} \text { or } \\
\mathbb{Z}_{2}[x] /\left(x^{2}\right) \otimes \mathbb{Z}_{2^{\alpha_{1}}} \otimes \ldots \otimes \mathbb{Z}_{2^{\alpha_{n}}}
\end{gathered}
$$

where, $1 \leq \alpha_{1}<\alpha_{2}<\ldots<\alpha_{n}$.
Since we can factor polynomials over $\mathbb{Z}_{2^{m}}$ we can compute the decomposition of $R$ into indecomposable rings (see remark of prop. 2.6) and check whether they are of the forms: $\mathbb{Z}_{2^{m}}, \mathbb{Z}_{2}[x] /\left(x^{2}\right)$ or not. Hence, we can check the rigidity of even sized rings too in polynomial time.

On the other hand the search version of this problem i.e. finding a nontrivial ring automorphism (FRA) is as hard as integer factoring (IF).

Theorem 5.2. IF $\equiv{ }_{T}^{Z P P} \boldsymbol{F R A}$.
Proof. Let us first see how we can find a nontrivial ring automorphism if we can do integer factoring. Suppose $R$ is the given commutative ring. It can be decomposed into local rings, as remarked in proposition 2.6, in expected polynomial time using randomized methods for polynomial factorization and oracle of integer factorization. Once we have local rings we can output nontrivial automorphisms like $\phi$ in the proof of claim 5.1.1.

Conversely, suppose we can find nontrivial automorphisms of commutative rings and $n$ is a given number. Let us assume for simplicity that input $n$ is a product of two distinct primes $p, q$. Randomly choose a cubic $f(x) \in \mathbb{Z}[x]$. Define $R:=\mathbb{Z}_{p q}[x] /(f(x))$ and suppose we can find a nontrivial automorphism $\phi$ of $R$. It follows from the distribution of irreducible polynomials over finite fields that with probability $\sim \frac{1}{9}: f(\bmod q)$ is irreducible and $f(\bmod p)$ has exactly two irreducible factors $f_{1}, f_{2}$, say $f_{1}$ is linear. Thus,

$$
R \cong \mathbb{Z}_{p} \otimes \mathbb{Z}_{p}[x] /\left(f_{2}(x)\right) \otimes \mathbb{Z}_{q}[x] /(f(x)) .
$$

Note that we can compute $R^{\phi}$, the set of elements of $R$ fixed by $\phi$, using linear algebra (if at any point we cannot invert an element $(\bmod n)$, we get a factor of $n$ ). Let us now see what $R^{\phi}$ can be given that $R^{\phi} \neq R$.

1) If $\phi$ fixes $\mathbb{Z}_{p}[x] /\left(f_{2}(x)\right)$ :

Then $R^{\phi} \cong \mathbb{Z}_{p} \otimes \mathbb{Z}_{p}[x] /\left(f_{2}(x)\right) \otimes \mathbb{Z}_{q}$. Thus, $\left|R^{\phi}\right|=p^{3} q$.
2) If $\phi$ fixes $\mathbb{Z}_{q}[x] /(f(x))$ :

Then $R^{\phi} \cong \mathbb{Z}_{p} \otimes \mathbb{Z}_{p} \otimes \mathbb{Z}_{q}[x] /(f(x))$. Thus, $\left|R^{\phi}\right|=p^{2} q^{3}$.
3) If $\phi$ moves both $\mathbb{Z}_{p}[x] /\left(f_{2}(x)\right)$ and $\mathbb{Z}_{q}[x] /(f(x))$ :

Then $R^{\phi} \cong \mathbb{Z}_{p} \otimes \mathbb{Z}_{p} \otimes \mathbb{Z}_{q}$. Thus, $\left|R^{\phi}\right|=p^{2} q$.
Since, the size of $R^{\phi}$ is in no case of the form $n, n^{2}$ or $n^{3}$, the process of finding $R^{\phi}$ by doing linear algebra $(\bmod n)$ is going to yield a factor of $n$. In particular, this means that if the matrix describing $\phi$ over the natural additive basis $\left\{1, x, x^{2}\right\}$ is:

$$
A:=\left(\begin{array}{ccc}
1 & 0 & 0 \\
a_{0} & a_{1} & a_{2} \\
b_{0} & b_{1} & b_{2}
\end{array}\right)
$$

then the determinant of one of the submatrices of $(A-I)$ will have a nontrivial gcd with $n$.
This idea can be extended to the case of composite $n$ having more prime factors.
Thus, the two problems: finding nontrivial automorphisms of commutative rings and integer factoring have the same complexity.

## 6 Open Problems

Let us recap what we know about the various ring isomorphism related problems. The solid arrows in the following diagram indicate a polynomial time reduction (randomized reduction indicated by RP). A dotted arrow from $\mathcal{C}$ to $\Sigma_{2}$ means that $\Sigma_{2}^{\mathcal{C}}=\Sigma_{2}$, thus indicating that probably $\mathcal{C}$ is not NP hard. ComRA denotes the problem of computing the group of automorphisms of a ring (in terms of generators) together with its size.


Figure 1: Relations among ring isomorphism and automorphism problems.
We would like to ask the following questions:

- We have seen two well-known problems of intermediate complexity reduce to \#RA. Can one reduce some other such problem, e.g., DiscreteLog?
- The ring problems differ from the graph ones in their (in)ability to efficiently "fix" part of the automorphisms. This property allows one to prove the equivalence between computing automorphism groups, counting automorphisms, finding isomorphisms, and testing isomorphisms in the case of graphs. For rings, we cannot prove such equivalence. Does there exist some way of doing such "fixing" for rings which will allow us to prove similar equivalences?
- Is $\# \mathbf{R A} \in \mathbf{B Q P}$ ?
- Consider the ring isomorphism problem over rationals: $\mathbf{R I}_{\mathbb{Q}}$. It is not even clear if this problem is decidable.


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## Appendix

Theorem Given a ring $R$ in terms of additive generators, all having prime-power additive orders, we can compute the $\#$ Aut $(R,+)$ in polynomial time.

Proof. Let $(R,+)$ be given as $\cong \bigoplus_{i=1}^{l} \bigoplus_{j} \mathbb{Z}_{p_{i}}{ }^{\alpha_{i j}}$, where $p_{i}$ 's are distinct primes and $\alpha_{i j} \geq 1$. For $1 \leq i \leq l$ define subrings $R_{i}$ of $R$ as:

$$
R_{i}:=\left\{r \in R \mid r \text { has power-of- } p_{i} \text { additive order }\right\}
$$

Observe that

$$
R \cong \bigotimes_{i=1}^{l} R_{i}
$$

this is because if $r_{i} \in R_{i}$ and $r_{j} \in R_{j}(i \neq j)$ then for some $c_{i}, c_{j} \in \mathbb{Z} \geq 0, p_{i}^{c_{i}} r_{i} r_{j}=p_{j}^{c_{j}} r_{i} r_{j}=0$ which implies that $r_{i} r_{j}=0$ (since $p_{i}, p_{j}$ are coprime) and by a similar argument $r_{1}, \ldots, r_{l}$ are linearly independent.

This decomposition of $R$ gives us:

$$
\# \operatorname{Aut}(R,+)=\prod_{i=1}^{l} \# \operatorname{Aut}\left(R_{i},+\right)
$$

Thus, it suffices to show how to compute $\# \operatorname{Aut}(R,+)$ when $(R,+)$ is given as $\cong \bigoplus_{i=1}^{n} \mathbb{Z}_{p^{\alpha_{i}}}$ where $p$ is a prime and $\alpha_{i} \in \mathbb{Z}^{\geq 1}$.

Suppose we are given $R$ in terms of the following additive basis:

$$
(R,+)=\mathbb{Z}_{p^{\beta_{1}}} e_{11} \oplus \ldots \oplus \mathbb{Z}_{p^{\beta_{1}}} e_{1 n_{1}} \oplus \ldots \oplus \mathbb{Z}_{p^{\beta_{m}}} e_{m 1} \oplus \ldots \oplus \mathbb{Z}_{p^{\beta_{m}}} e_{m n_{m}}
$$

where, $n_{1}+\ldots+n_{m}=n$ and $1 \leq \beta_{1}<\ldots \beta_{m}$.
Observe that $\phi \in \operatorname{Aut}(R,+)$ iff the matrix $A$ describing the map $\phi$ is invertible $(\bmod p)$ and preserves the additive orders of $e_{i j}$ 's. Our intention is to count the number of all such matrices $A$. To do that let us see how $A$ looks like:

$$
A=\left(\begin{array}{cccc}
B_{11} & B_{12} & \ldots & B_{1 m} \\
B_{21} & B_{22} & \ldots & B_{2 m} \\
\vdots & \ldots & \ddots & \vdots \\
B_{m 1} & B_{m 2} & \ldots & B_{m m}
\end{array}\right)_{n \times n}
$$

where the block matrices $B_{i j}$ 's are integer matrices of size $n_{i} \times n_{j}$. The properties of these block matrices which make $A$ describe an automorphism of $(R,+)$ are:

- for $1 \leq j<i \leq m$ : entries in $B_{i j}$ are from $\left\{0, \ldots, p^{\beta_{j}}-1\right\}$.
- for $1 \leq i \leq m$ : entries in $B_{i i}$ are from $\left\{0, \ldots, p^{\beta_{i}}-1\right\}$ and $B_{i i}$ is invertible $(\bmod p)$.
- for $1 \leq i<j \leq m$ : entries in $B_{i j}$ are from $\left\{0, \ldots, p^{\beta_{j}}-1\right\}$ and $B_{i j} \equiv 0\left(\bmod p^{\beta_{j}-\beta_{i}}\right)$.

It is not difficult to see that the number of matrices satisfying these conditions can be found in time polynomial in $\left(n_{1} \beta_{1}+\ldots+n_{m} \beta_{m}\right)(\log p)$, and hence the number of $A$ 's which describe an automorphism of $(R,+)$.

One can also consider a different, exponentially larger, representation for rings: when the rings are given in terms of the addition and multiplication tables of all its elements. We do not know if the ring isomorphism problem under this representation can be solved in time polynomial in the size of the representation. However, the problem is likely to be in $\mathbf{N P} \cap c o \mathbf{N P}$.

Let us give this problem a name:

$$
\mathbf{R I}_{T F}:=\left\{\left(R_{1}, R_{2}\right) \mid R_{1}, R_{2} \text { are given in terms of tables, } \mathrm{R}_{1} \cong \mathrm{R}_{2}\right\}
$$

It is easy to see that $\mathbf{R I}_{T F} \in \mathbf{N P}$. The nontrivial part is to show:

Theorem There exists an NP-machine that decides all but $2^{\log ^{8} n}$ instances of $\overline{\boldsymbol{R I}}_{T F}$ of length $n$.

Proof. The proof is basically one given in [AT04] applied to the case of rings.
We showed in claim 3.1.2 that $\overline{\mathbf{R}}_{T F} \in \mathbf{A M}\left(\log ^{5} n\right)$, where the parameter bounds the number of random bits used by Arthur. Notice that the number of binary strings that define a ring of size at most $n$, in basis form, is no more than $2^{\log ^{3} n} . \therefore$ by probability amplification: the random bits used in the AM protocol would work for all input strings of size $n$ if we use $\log ^{8} n$ many random bits. Since we are using only a "small" number of random bits we can apply techniques of [GW02] to get an NP-machine that fails for at most $2^{\log ^{8} n}$ inputs of size $n$.


[^0]:    *This work was done while the authors were visiting Princeton University, Princeton, NJ, USA in 2003-04. Also partially supported by research funding from Infosys Technologies Limited, Bangalore.
    ${ }^{1}$ The complexity results we prove in this paper continue to hold even if we drop the restriction of commutativity.

[^1]:    ${ }^{2}$ FP refers to functional problems that can be solved in polynomial time.

[^2]:    ${ }^{3}$ If $m=1$ then the map sending $x \mapsto x^{p}$ is an automorphism of $G$, for larger $m$ this automorphism can be lifted using Hensel lifting.

