

# Towards a Proof of the 2-to-1 Games Conjecture?

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## Abstract

We propose a combinatorial hypothesis regarding a subspace vs. subspace agreement test, and prove that if correct it leads to a proof of the 2-to-1 Games Conjecture, albeit with imperfect completeness.

## 1 Introduction

In recent years, the Unique Games Conjecture [15] and its variants received significant attention. These conjectures have numerous applications to hardness of approximation, and connections to several topics in algorithms, computational complexity, and geometry (see [24, 17, 16] for surveys). However, there is still no consensus regarding the validity of these conjectures. Only recently an approach towards proving the Unique Games Conjecture, or rather a weak form of it, was proposed [19]. Building on previous work from [18] this paper presents an approach, quite orthogonal to that in [19], towards proving the related 2-to-1 Games Conjecture (or rather a variant of it with imperfect completeness).

Specifically, we propose a combinatorial conjecture concerning a consistency test on the Grassmann graph, which first appeared in [18], and show that it implies the 2-to-1 Games Conjecture with imperfect completeness. We describe these notions in detail below.

### 1.1 Unique Games Conjecture and $d$ -to-1 Games Conjecture

All conjectures discussed in the paper are related to special types of 2-Prover-1-Round Games, a.k.a Label Cover Problem.

**Definition 1.1** (Label Cover Problem). *A Label Cover instance  $G = (A, B, E, \Pi, \Sigma_A, \Sigma_B)$  is given by bipartite graph  $(A, B, E)$ , two sets of colors  $\Sigma_A$  and  $\Sigma_B$ , and a collection of edge-constraints  $\Pi = \{\pi_{uv}\}_{uv \in E}$  such that each edge  $(u, v)$  is associated with a constraint  $\pi_{uv} \subseteq \Sigma_A \times \Sigma_B$ .*

*The goal is to find an assignment of colors to the vertices  $c : A \cup B \rightarrow \Sigma_A \cup \Sigma_B$  that satisfies the maximum fraction of constraints: A constraint  $\pi_{uv}$  is satisfied if  $(c(u), c(v)) \in \pi_{uv}$ .*

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**Interpreting Label Cover as a game.** Note that a Label Cover instance game can be equivalently viewed as a “game” between two provers and a verifier: the verifier picks a constraint  $(u, v) \in E$  at random, asks the “question”  $u$  to the first prover, the “question”  $v$  to the second prover, receives “answers”  $c(u), c(v)$  respectively from the provers, and accepts if and only if  $(c(u), c(v)) \in \pi_{uv}$ . The maximum acceptance probability of the verifier over all prover “strategies” is then the same as the maximum fraction of the constraints that can be satisfied by a coloring to the Label Cover instance. In the rest of the paper we refer to Label Cover instances as 2-prover-1-round games.

Unique Games and  $d$ -to-1 Games are 2-Prover-1-Round games where the constraints have a specific structure.

**Definition 1.2.** ( *$d$ -to-1 Games*) Let  $G = (A, B, E, \Pi, \Sigma_A, \Sigma_B)$  be a 2-Prover-1-Round game, and let  $d \geq 1$  be an integer. A constraint  $\pi_{uv} \subseteq \Pi$  is said to be  $d$ -to-1 if there is a partition  $S_1, \dots, S_r$  of  $\Sigma_A$  into sets of size  $d$  and an ordering  $b_1, \dots, b_r$  of  $\Sigma_B$  such that

$$\pi_{uv} = \bigcup_{i=1}^r S_i \times \{b_i\}$$

(this also implies that  $|\Sigma_A| = dr$ ,  $|\Sigma_B| = r$ ).

We say that  $G = (A, B, E, \Pi, \Sigma_A, \Sigma_B)$  is a  $d$ -to-1 game if all constraints in  $\Pi$  are  $d$ -to-1. A 1-to-1 game is also called a Unique Game. In the latter case,  $\Sigma_A = \Sigma_B$  and for each edge  $u, v$ , the constraint  $\pi_{uv}$  is a perfect matching on  $\Sigma_A \times \Sigma_B$ .

For this paper, one should consider the number of colors in  $|\Sigma_A \cup \Sigma_B|$  as a constant, possibly large, and the size of the constraint graph as the growing input size. A combination of the PCP Theorem [10, 3, 2] and Raz’s Parallel Repetition Theorem [20] shows that it is hard to approximate the 2-Prover-1-Round Games problem.

**Theorem 1.1** (PCP+Parallel Repetition). *Let  $\delta > 0$  be any positive constant. Then for sufficiently large constants  $d$  and  $|\Sigma_A| = d|\Sigma_B|$ , given an instance  $G = (A, B, E, \Pi, \Sigma_A, \Sigma_B)$  of a 2-Prover-1-Round Game, it is NP-hard to distinguish between*

- YES case: there is a color assignment satisfying all of the constraints of  $G$ .
- NO case: no coloring satisfies more than  $\delta$  fraction of the constraints of  $G$ .

Moreover, the game is a  $d$ -to-1 game and both  $|\Sigma|, d$  are polynomial in  $\frac{1}{\delta}$ .

Theorem 1.1 is used as a canonical hard problem from which numerous hardness of approximation results are proven by reduction, e.g. [1, 5, 12, 13, 11, 8]. However for some problems we do not know how to make similar reductions prove “satisfactory” hardness of approximation results. These include basic problems such as 2-SAT, Vertex Cover, and Max Cut. At a technical level, the difficulty is that the instances of the 2-Prover-1-Round Games problem given by Theorem 1.1 involve  $d$ -to-1 constraints, where  $d$  blows up as the desired “soundness”  $\delta$  approaches 0. It is conceivable that the theorem actually holds with  $\delta \rightarrow 0$  while keeping  $d$  fixed, even with  $d = 2$ , or if one allows “imperfect completeness”, then even with  $d = 1$ . These are precisely the Unique Games Conjecture and the  $d$ -to-1 Games Conjecture proposed in [15].

**Conjecture 1.3** (Unique Games Conjecture). *For every constant  $\delta > 0$  there is a sufficiently large constant  $|\Sigma|$ , such that given an instance  $G = (V, E, \Phi, \Sigma)$  of a Unique Game, it is NP-hard to distinguish between*

- *YES case: there is a coloring satisfying  $1 - \delta$  fraction of the constraints of  $G$ .*
- *NO case: no coloring satisfies more than  $\delta$  fraction of the constraints of  $G$ .*

**Conjecture 1.4** (*d-to-1 Games Conjecture*). *Let  $d \geq 2$  be an integer. For every constant  $\delta > 0$ , for sufficiently large constant  $|\Sigma_A| = d|\Sigma_B|$ , given an instance  $G = (A, B, E, \Pi, \Sigma_A, \Sigma_B)$  of a  $d$ -to-1-Game, it is NP-hard to distinguish between:*

- *YES case: there is a coloring satisfying all the constraints of  $G$ .*
- *NO case: no coloring satisfies more than  $\delta$  fraction of the constraints of  $G$ .*

In a recent paper [18], a subset of the authors made some progress related to the  $d$ -to-1 conjecture. That paper showed a reduction from the 3-Lin problem<sup>1</sup> to the 2-to-2 Games problem, which is a variant of 2-to-1 Games. The reduction used the *Grassmann graph* – the first time it was introduced there in the context of hardness of approximation – as a basis for a certain “2-to-2 linearity agreement test”. They formulated a combinatorial hypothesis concerning the performance of the test, and then showed (a) a “completeness property”: if the 3-Lin instance has an almost satisfying assignment, then so does the 2-to-2 Games instance, and (b) a “non-standard soundness property”: if the 3-Lin instance is far from being satisfiable, then assuming the combinatorial hypothesis, the 2-to-2 Games instance has no “ $(j, \delta)$ -assignment”. The notion of soundness in terms of the so-called  $(j, \delta)$ -assignment is different from the standard notion which appears in the NO case of Conjectures 1.3, 1.4. This non-standard notion is motivated mainly by the intended application in [18] to hardness of approximation of the Vertex Cover and Independent Set problems.

## 1.2 Our Main Result

We build on the work in [18] and propose a reduction from 3LIN to 2-to-1 games. We also propose a combinatorial hypothesis regarding the Grassmann agreement test (Test 1 below), which is based on the constraints of the Grassmann graph from [18].

The agreement test is the following generalization of the Raz-Safra plane-vs.-plane test [21]. For a vector space  $X = \mathbb{F}_2^n$  and a number  $1 \leq \ell < n$  we denote by  $Gr(X, \ell)$  the collection of all  $\ell$ -dimensional linear subspaces of  $X$ . An *assignment* over  $Gr(X, \ell)$  is a table  $F[\cdot]$  that assigns to each subspace  $L$  a linear function  $f : L \rightarrow \mathbb{F}_2$ , namely  $F[L] = f$ . The intention is that there should be some global linear function defined on the space  $X$ ,  $g : X \rightarrow \mathbb{F}_2$ , such that for each  $L$ ,  $F[L] = g|_L$ . One can think of the linear function  $g$  as a Hadamard encoding of some word, and of the assignments  $F[L]$  as an  $\ell$ -dimensional analogue of the planes table from the plane-vs.-plane test, assigning to each  $\ell$ -dimensional subspace the restriction of  $g$  to it.

We consider the following test as a means to verify that the assignment to  $Gr(X, \ell)$  is indeed obtained by restrictions of a global linear function. Note that it is indeed an  $\ell$ -dimensional analogue of the plane-vs.-plane test.

Our hypothesis roughly states that any assignment  $F$  with  $\text{agreement}(F) \geq \delta$ , must be non-trivially consistent with some global function  $f : X \rightarrow \mathbb{F}_2$ , where  $\delta > 0$  is an arbitrarily small constant independent of  $\ell$  and  $n = \dim(X)$ . The hypothesis is stated formally as Hypothesis 3.6. Our main result is that the hypothesis implies soundness of our reduction, thus implying the 2-to-1 Games Conjecture, albeit with

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<sup>1</sup>This is the problem of finding “good” assignments to a system of linear equations over  $\mathbb{F}_2$  with three variables in each equation. A celebrated result of Håstad [13] shows an optimal hardness of approximation result for the problem.

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**Test 1** Grassmann Agreement Test

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Given a table  $F$  that assigns to each  $\ell$ -dimensional space  $L \in Gr(X, \ell)$  a linear function,

- Choose a random  $(\ell - 1)$ -dimensional space,  $L' \subseteq \mathbb{F}_2^n$ , and two  $\ell$ -dimensional spaces  $L_1, L_2 \supseteq L'$  independently.
- Accept if  $F[L_1]|_{L'} = F[L_2]|_{L'}$

Let  $\text{agreement}(F)$  denote the success probability of  $F$  in this test, over the choice of  $L_1, L_2$ .

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imperfect completeness: Instead of being able to satisfy all constraints in the YES case, as in the original conjecture, our reduction generates an instance where not all constraints can be satisfied even in the YES case (although we can get as close to perfect completeness as we want). This is inherent in our construction due to the linearity in the overall reduction.

**Theorem 1.2.** *Assume Hypothesis 3.6. Then for every constant  $\delta > 0$ , for a sufficiently large constant  $|\Sigma_A|$ , given a 2-to-1-Game  $G = (A, B, E, \Pi, \Sigma_A, \Sigma_B)$  it is NP-hard to distinguish between:*

- *YES case: there is a coloring satisfying  $1 - \delta$  fraction of the constraints of  $G$ . Moreover, one can remove a  $\delta$  fraction of the vertices and all of the constraints adjacent to them, such that this coloring satisfies all of the remaining constraints.*<sup>2</sup>
- *NO case: no coloring satisfies more than  $\delta$  fraction of the constraints of  $G$ .*

We note that this theorem also leads to the same conclusions as in [18] regarding NP-hardness of approximating gap independent set and vertex cover. Our reduction, as well as the reduction in [18], highlight the importance of agreement tests and can be considered as a motivation for studying their soundness behavior.

Let us start by describing our reduction, and then move to formulate the hypothesis and how it implies soundness of the reduction.

### 1.3 The Reduction

We sketch the reduction from 3-Lin to 2-to-1 Games problem that proves Theorem 1.2. We omit some technical details, which are fully described in Section 4.2.

**Starting point: Håstad's 3LIN** An instance of the 3-Lin problem is  $(X, \text{Eq})$  where  $X$  is a set of  $n$  variables taking values over  $\mathbb{F}_2$  and  $\text{Eq}$  is a set of linear equations over  $\mathbb{F}_2$  such that every equation depends on three variables in  $X$ . The goal is to find an assignment to the variables so as to maximize the fraction of equations satisfied. Let  $\text{Gap3Lin}(c, s)$  denote the promise gap-problem where the task is to distinguish whether a given 3-Lin instance has an assignment satisfying at least  $c$  fraction of the equations or whether every assignment satisfies at most  $s$  fraction of the equations. A celebrated result of Håstad [13] shows that for every positive constant  $\varepsilon$ ,  $\text{Gap3Lin}(1 - \varepsilon, \frac{1}{2} + \varepsilon)$  is NP-hard.

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<sup>2</sup>As in [18], where this property is necessary towards applications to the inapproximability of the Vertex Cover and Independent Set problems.

**Overview.** Theorem 1.2 is proved via a “PCP reduction” from the 3-Lin problem to the 2-to-1 Games problem. The reduction follows a standard framework of composition of an outer and an inner game. The outer game is a standard (smooth) “clause-vs.-variable” parallel repetition of the 3LIN instance: The first prover receives some  $k$  equations  $(e_1, \dots, e_k)$ . We denote  $U \subseteq [n]$  the set of variables of  $e_1, \dots, e_k$ . The second prover receives  $V$ , which is an appropriately chosen random subset of  $U$ .

The inner game relies on a Grassmann encoding which was introduced in [18], and is discussed further in the next subsection. The prover considers the space

$$X_U = \{x \in \mathbb{F}_2^n \mid x_i = 0 \ \forall i \notin U\}. \quad (1)$$

A given assignment for the variables of  $U$  naturally corresponds to a linear function  $f : X_U \rightarrow \mathbb{F}_2$ , namely the Hadamard encoding of the assignment. For each  $\ell$  dimensional subspace  $L \subseteq X_U$ , the prover supposedly gives the restriction  $f|_L : L \rightarrow \mathbb{F}_2$  which is a linear function defined on  $L$ . As mentioned above, this resembles the lines-table or the planes-table encodings in classical constructions of PCPs, except that in those constructions the low degree extension and planes/lines table is not done at the level of the inner PCP.

The reduction is described shortly below, but first let us briefly discuss folding. We want to make sure that the first prover’s answer encodes an assignment for  $U$  that satisfies the equations  $e_1, \dots, e_k$ . We do this by identifying some spaces  $L$  in the Grassmann encoding in a way that forces  $e_1, \dots, e_k$  to be satisfied. We define

$$H_U = \text{Span} \{x_e \mid e \subseteq U\},$$

where  $x_e \in \mathbb{F}_2^n$  denotes the vector with 1’s in the three entries that correspond to variables of  $e$ , and 0 elsewhere. We shall identify a pair of subspaces  $L_1, L_2 \in \text{Gr}(X_U, \ell)$  if  $L_1 + H_U = L_2 + H_U$ <sup>3</sup>. This makes sense because in the case of an honest prover that answers according to an assignment that satisfies all equations of  $U$ , knowing the value of  $f$  on  $L_1$  is already enough to deduce it on  $L_1 + H_U$  and therefore on  $L_2$ , and vice versa.

**The 2-to-1 Game.** For now it would be easier to describe our 2-to-1 Game as an actual game between a verifier and two provers, rather than as a bipartite constraint graph<sup>4</sup>. The verifier has parameters  $k \gg \ell$  and  $\beta = \log \log k/k > 0$ .

1. The verifier picks at random  $k$  equations  $\{e_1, \dots, e_k\}$ , lets  $U$  be the set of  $3k$  variables that appear in these equations.
2. The verifier picks a subset of variables  $V \subseteq U$  by including in  $V$ , independently for  $1 \leq i \leq k$ , (a) all three variables from the equation  $e_i$  with probability  $1 - \beta$  and (b) one of the three variables chosen at random from the equation  $e_i$  with probability  $\beta$ .
3. The verifier picks an  $(\ell - 1)$ -dimensional subspace  $L' \subseteq X_V$  and an  $\ell$  dimensional subspace  $L$  such that  $L' \subseteq L \subseteq X_U$  (the spaces  $X_U, X_V$  are as in (1)) and such that  $L \cap H_U = \{0\}$ <sup>5</sup>.

The verifier sends  $(V, L')$  to the second prover and  $(U, L + H_U)$  to the first prover.

<sup>3</sup>Formally speaking, we move to  $\text{Gr}(X_U/H_U, \ell)$  instead of  $\text{Gr}(X_U, \ell)$

<sup>4</sup>The formal description in Section 4.2 uses the constraint graph language, although we switch to the game view one more time when we analyse soundness.

<sup>5</sup>This can be ignored as it happens with overwhelmingly large probability.

4. The first prover answers with a linear function  $g_1 : L + H_U \rightarrow \mathbb{F}_2$  and the second prover answers with a linear function  $g_2 : L' \rightarrow \mathbb{F}_2$ . The verifier accepts if

$$(g_1)|_{L'} = g_2 \quad \text{and} \quad g_1(e_i) = b_i, \quad \forall i = 1, \dots, k$$

where  $b_i$  is the right-hand-side of the equation  $e_i$ .

Let us see that the game is indeed a 2-to-1 game. The answer  $g_1$  of the first prover surely determines a unique valid answer  $g_2$  for the second prover. On the other hand, the second prover's answer  $g_2$  extends uniquely to a function  $\tilde{g}_2 : L' + H_U \rightarrow \mathbb{F}_2$  by setting  $\tilde{g}_2(x_{e_i}) = b_i$  for all  $i \in [k]$ . From there it should be clear that there are only two possible linear functions on  $L + H_U \supseteq L' + H_U$  that remain valid for the first prover.

**Folding across blocks.** The two-player game as described above is likely to be both complete and sound, but our analysis is facilitated by some additional folding. The folding amounts to identifying all of the possible questions to the first prover whose answers, if the prover is honest, determine each other. This does not hurt the completeness and makes it tougher for the provers to cheat, so helps the proof of soundness. More explicitly, we identify  $(L_1, U_1)$  with  $(L_2, U_2)$  if  $L_1 + H_{U_1} + H_{U_2} = L_2 + H_{U_1} + H_{U_2}$ . One can directly see that a linear function for  $L_1$  implies, assuming all of the equations in  $U_1 \cup U_2$  hold, the value of the linear function on  $L_2$ , and vice versa.

## 1.4 Agreement tests and our hypothesis

In step 4 of the Game constructed by the reduction that is described above, the verifier tests the agreement between the assignment one prover gives to a linear space and the assignment the other prover gives to its subspace. When we analyse the soundness of the reduction, we reduce the analysis of this test to the understanding of the properties of the Grassmann Agreement Test (Test 1 on page 4). Roughly speaking, we want to deduce a global linear function from the success of the Grassmann agreement test. This is the content of our hypothesis that is introduced below. We rely on the hypothesis to analyse the soundness of our reduction.

**Some background.** The Grassmann encoding and the probabilistic test for it that we described above fall within a more general framework of *agreement* tests. In an agreement test, there is a domain  $X$  and a collection  $\mathcal{S} = \{S \subseteq X\}$  of subsets of  $X$ . A function  $f : X \rightarrow \Sigma$  is encoded by writing down  $f|_S$ , its restriction to the subset  $S$ , for every subset  $S \in \mathcal{S}$ .

A supposed encoding of  $f$  is given by a table  $F[\cdot]$ . Here  $F[\cdot]$  is a table that assigns, to every subset  $S \in \mathcal{S}$ , a partial function  $F[S]$  on it. The intention is that  $F[S] = f|_S$  for all  $S \in \mathcal{S}$  and for some global function  $f : X \rightarrow \Sigma$ . This encoding is clearly redundant and comes with a natural agreement test: choose two intersecting subsets  $S_1 \cap S_2 \neq \emptyset$ , and check that  $F[S_1]$  agrees with  $F[S_2]$  on all points  $x \in S_1 \cap S_2$ .

In the Grassmann case, the domain  $X$  is a vector space,  $X = \mathbb{F}_2^n$ , and  $\mathcal{S}$  is the collection of all possible linear subspaces of  $X$  of dimensions  $\ell$ . When  $\ell = 2, 3$  and the field is  $\mathbb{F}_q$  for larger  $q$  rather than  $\mathbb{F}_2$  this is almost<sup>6</sup> exactly the lines-table or the planes-table representation of  $f$  used in classical PCP constructions.

What kinds of agreement tests have been analyzed before? The two types of agreement tests that have been studied are where the collection  $\mathcal{S}$  consists of all subspaces of a certain dimension (see [21, 4, 14])

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<sup>6</sup>A minor difference is that we are considering linear subspaces and not affine subspaces, but this is an unimportant design choice.

or where  $\mathcal{S}$  consists of all possible  $k$ -element sets (see [7, 6, 14, 9]). In all prior cases, the agreement test compares values of two subsets that have a large intersection but also have a large disjoint part. This seemed to be important for the “expansion” of the test that helps the analysis. However, following [18], we consider an agreement test whose intersection between the two queries is almost maximal, as described above in Test 1. This very large overlap is important for making the constraints of our test gain the desired property of being 2-to-1.

**Zoom-ins and zoom-outs.** Let us make two easy observations. First, note that Test 1 is 2-to-2 : every value for  $F[L_1]$  allows only two possible values for  $F[L_2]$  and vice versa. Next, observe that if  $F[\cdot]$  was an honest table, assigning each  $L$  a function  $f|_L$  for some linear function  $f : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$ , then the test accepts with probability 1.

What is the “soundness guarantee” of the test? One is tempted to speculate that if the test passes with probability  $\delta$ , then<sup>7</sup> the given table  $F[\cdot]$  has a “good” consistency with some global linear function  $f$ , in the sense that  $F[L] = f|_L$  for  $\delta'$  fraction of the  $\ell$ -dimensional subspaces  $L$ , for some  $\delta'$  depending on  $\delta$ . The linear function  $f$  would then serve as a “decoding” of the given table  $F[\cdot]$  and one could even “list-decode”, that is make a list of all linear functions  $f$  that have a good consistency with  $F[\cdot]$ , along with an upper bound on the list-size that depends (only) on  $\delta$ .

The speculation, however, turns out to be false. A counter-example is presented in Section 3.1. In [18], the authors propose to circumvent this counter-example using the idea of a “zoom-in”: speculating that while there may not be a global function that agrees with a constant fraction out of the  $\ell$ -dimensional subspaces, there might be one global  $f$  that agrees with a constant fraction of the  $\ell$ -dimensional subspaces that contain a certain subspace  $Q$  of dimension  $q < \ell$ . But in [18] a limited context was considered, where the acceptance criterion of the test is more restrictive and non-standard (in terms of the so-called  $(j, \delta)$ -consistency).

In the present context it turns out that zoom-in alone does not suffice; a counter-example to this effect is presented in Section 3.2. We therefore introduce another idea that we call “zoom-out” and propose that a combination of both zoom-in and zoom-out is sufficient to derive a reasonable conclusion. Our main combinatorial hypothesis is that (a strengthening is stated later):

**Combinatorial Hypothesis (Informal):** There are integers  $q, r \geq 0$  and constant  $\delta' > 0$  depending only on  $\delta > 0$  such that the following holds. Given a table  $F[\cdot]$  such that  $\text{agreement}(F) \geq \delta$ , that is for  $L_1$  and  $L_2$  chosen as in Test 1,

$$\Pr_{L_1, L_2} [ F[L_1]|_{L_1 \cap L_2} = F[L_2]|_{L_1 \cap L_2} ] \geq \delta,$$

there exists a subspace  $Q$  of dimension  $q$  (the “zoom-in” space), a subspace  $W \supseteq Q$  of co-dimension  $r$  (the “zoom-out” space), and a linear function  $f : W \rightarrow \{0, 1\}$  on  $W$ , such that  $F[L] = f|_L$  for at least  $\delta'$  fraction of  $\ell$ -dimensional subspaces  $L$  such that  $Q \subseteq L \subseteq W$ .

In short, we propose that the speculation above holds if one restricts to subspaces  $L$  such that  $Q \subseteq L \subseteq W$  for some “successful” choice of subspaces  $Q, W$  that have constant dimension and co-dimension respectively. The hypothesis seems plausible and we do not have a counter-example. We intend to use the hypothesis towards the soundness analysis of a proposed reduction from 3-Lin to the 2-to-2 Games problem.

The hypothesis is needed for the soundness analysis of our reduction, where we assume that two provers manage to succeed in the game with high probability and deduce that they can also win in another related

<sup>7</sup>Here  $\delta$  is thought of as a constant,  $\ell$  as a sufficiently large integer after choosing  $\delta$ , and the global dimension  $n$  as a sufficiently large integer after choosing  $\ell$ .

“outer PCP” game. To do that, it is not sufficient that *there exists* a successful pair of zoom-in and zoom-out spaces  $Q$  and  $W$  – for the coordination between the players to succeed with sufficient probability we need to have many successful pairs.

Luckily it turns out that our hypothesis does ensure the existence of many zoom pairs. In the statement of the following corollary of the hypothesis the wording in italics is new :

**Corollary of Combinatorial Hypothesis (Informal):** There are integers  $q, r \geq 0$  and constant  $\delta' > 0$  depending only on  $\delta > 0$  such that the following holds. Given a table  $F[\cdot]$  with  $\text{agreement}(F) \geq \delta$ , *for  $\alpha(\ell)$  fraction of subspaces  $Q$  of dimension  $q$ , there exists a subspace  $W \supseteq Q$  of co-dimension  $r$ , and a linear function  $f : W \rightarrow \{0, 1\}$  on  $W$ , such that  $F[L] = f|_L$  for at least  $\delta'$  fraction of  $\ell$ -dimensional subspaces  $L$  such that  $Q \subseteq L \subseteq W$ .*

Here  $\alpha(\ell)$  is an arbitrary function of  $\ell$  (and  $\delta$ ) and is independent of the global dimension  $n$ . We emphasize the quantifiers on  $Q$  and  $W$ . One is tempted to say “for  $\alpha(\ell)$  fraction of subspaces  $Q$  of dimension  $q$  and  $\alpha(\ell)$  fraction of subspaces  $W \supseteq Q$  of co-dimension  $r$ ”, but this is false as shown by the counterexample in Section 3.2.

**From the inner level to the outer level.** Let us return to the coordination between the analysis at the Inner and Outer PCP levels. Since a constant fraction  $\alpha(\ell)$  of the zoom-in spaces  $Q$  are “successful”, the verifier in the 2-Prover-1-Round Game at the Outer PCP level, can simply send  $Q$  as “shared advice” to both the provers and the hypothesis states that the advice is successful with probability  $\alpha(\ell)$ . This is the way in which the zoom-ins are handled in [18]. Handling zoom-outs is more difficult. In the following, let us fix the zoom-in space  $Q$  and let the zoom-out space  $W$  contain  $Q$ .

To handle the zoom-outs, each prover makes a “list” of all successful zoom-outs  $W_1, \dots, W_M$  from her/his own viewpoint, selects one of these zoom-outs at random, and then hopes to agree with the other prover on a common successful zoom-out. For this to work, firstly, the list needs to be “short”, and secondly, there needs to be a zoom-out space  $W$  that is successful for both the provers simultaneously (and hence appears in the lists for both). The latter issue involves delving into the specifics of the PCP composition and is somehow aided by folding. The former issue, namely upper bounding the list size, will only work as long as the list size is independent of the global dimension  $n$ . Naively, there is no such upper bound, since it could be that *every* zoom-out space  $W$  of co-dimension  $r$  is successful. However, we circumvent this difficulty by showing that if too many zoom-out subspaces are successful, then there is a larger subspace, of smaller codimension, that is also successful. Thus, we bound the number of maximal successful subspaces.

## 2 Preliminaries

### 2.1 Linear subspaces

Let  $X$  be an  $n$ -dimensional vector space. For two subspaces  $L_1, L_2 \subseteq X$  we denote by  $L_1 + L_2 = \text{Span}(L_1, L_2) = \{x_1 + x_2 \mid x_i \in L_i\}$ . Similarly, for a vector  $v$  and a subspace  $L$  let  $v + L$  be the subspace spanned by  $v$  and  $L$ .

A *side condition* is a pair  $(H, h)$  where  $H \subseteq X$  is a subspace and  $h : H \rightarrow \mathbb{F}_2$  is a linear function. A linear function  $f : X \rightarrow \mathbb{F}_2$  is said to *respect the side condition*  $(H, h)$  if  $f|_H = h$ .

**Definition 2.1.** Let  $L, H \subseteq X$  be subspaces. If  $L \cap H = \{0\}$  then any linear function  $f : L \rightarrow \mathbb{F}_2$  extends uniquely to a linear function  $\tilde{f} : L + H \rightarrow \mathbb{F}_2$  that respects the side condition  $(H, h)$ , called the

$(H, h)$ -extension of  $f$ , and defined by

$$\tilde{f}(z) = \tilde{f}(x + y) := f(x) + h(y)$$

where  $z = x + y$  is the unique way to write  $z \in L + H$  as a sum of  $x \in L$  and  $y \in H$ .

## 2.2 The Grassmann graph over $\mathbb{F}_2$

For a vector space  $X = \mathbb{F}_2^n$  and a non-zero integer  $\ell < n$  we denote by  $Gr(X, \ell)$  the collection of all linear subspaces of  $X$  of dimension  $\ell$ . The set  $Gr(X, \ell)$  is called the Grassmann graph, where we connect two subspaces  $L_1, L_2 \in Gr(X, \ell)$  if their intersection is of dimension at least  $(\ell - 1)$ . A table  $F[\cdot]$  is an *assignment* for  $Gr(X, \ell)$  if it assigns a linear function on  $L$  to each  $L \in Gr(X, \ell)$ , namely  $F[L] : L \rightarrow \mathbb{F}_2$ .

We repeat the definition of the agreement of a table  $F$ , which measures the success probability of the Grassmann Agreement Test on it. It is just

$$\text{agreement}(F) := \Pr_{L_1, L_2} [F[L_1]|_{L'} = F[L_2]|_{L'}]$$

where  $L' = L_1 \cap L_2$  is chosen uniformly from  $Gr(X, \ell - 1)$  and then  $L_1, L_2$  are chosen independently from  $Gr(X, \ell)$  conditioned on containing  $L'$ . Note that this random selection process induces a distribution on the edges of the Grassmann graph, making it an edge-weighted graph.

Given a table  $F$  we can also consider other agreement parameters. For any  $t < \ell$  let  $\mathcal{D}_t$  be the distribution that selects a random subspace  $L'$  of dimension  $t$  and then two subspaces  $L_1, L_2 \supseteq L'$  independently and let

$$\text{agreement}_t(F) := \Pr_{(L_1, L_2) \sim \mathcal{D}_t} [F[L_1]|_{L'} = F[L_2]|_{L'}] \quad (2)$$

So  $\text{agreement}(F)$  is shorthand for  $\text{agreement}_{\ell-1}(F)$ .

## 2.3 Quotient vector space

For a  $d$ -dimensional subspace  $Q \subseteq X$ , the quotient space  $X/Q$  is a vector space whose elements are all subspaces  $v + Q$ . It is easy to check that this is an  $\dim(X) - \dim(Q)$ -dimensional vector space. The zero element is the  $d$ -dimensional space  $0 + Q = Q$  and all non-zero elements are  $d + 1$ -dimensional spaces  $v + Q$ , where  $v \notin Q$ .

For a given  $q$ -dimensional subspace  $Q \subseteq X$  there is a canonical mapping  $\varphi : X \rightarrow X/Q$  sending  $x \in X$  to  $x + Q$ . The mapping extends to subspaces by mapping the points  $x \in L$  to the subspace  $\{x + Q \mid x \in L\} = L + Q$ . This naturally partitions the spaces in  $Gr(X, \ell)$  into equivalence classes where the class of  $K \in Gr(X/Q, \ell - q)$  consists of all spaces  $L$  such that  $L + Q = K$ . Moreover,

**Claim 2.2.** *Let  $Q \subseteq X$  be a  $q$ -dimensional space,  $q < \ell$ , and let  $\mathcal{L}_Q = \{L \in Gr(X, \ell) \mid L \supseteq Q\}$ . There is a bijection  $\varphi : Gr(X/Q, \ell - q) \rightarrow \mathcal{L}_Q$ .  $\square$*

*Proof.* Every element in  $Gr(X/Q, \ell - q)$  is by definition, a subspace that is spanned by  $\ell - q$  elements of  $X/Q$ , e.g.  $v_1 + Q, v_2 + Q, \dots, v_{\ell-q} + Q$ . We map it to  $L := \text{Span}(v_1, \dots, v_{\ell-q}, Q) \subseteq X$ . Clearly  $\dim(L) = \ell$  and  $L \supseteq Q$ . The mapping is clearly independent of the choice of basis, and is injective. To see that it is onto, for every subspace  $L \supseteq Q$  of dimension  $\ell$  let us choose some basis  $b_1, \dots, b_q, v_1, \dots, v_{\ell-q}$  so that  $Q = \text{Span}(b_1, \dots, b_q)$ . We map it to the space spanned by  $v_1 + Q, \dots, v_{\ell-q} + Q$  that belongs to  $Gr(X/Q, \ell - q)$ . This is indeed true because any linear dependence among the  $v_i + Q$  would translate to a linear dependence in  $L + Q \subseteq X$ .  $\square$

We will later have to focus on a certain subset of the spaces in  $Gr(X, \ell)$ , which we call a zoom, and is as follows. Let  $Q \subseteq W \subseteq X$  be subspaces, such that  $\dim(Q) \leq \ell \leq \dim(W)$ . Define

$$\text{Zoom}[Q, W] = \{L \in Gr(X, \ell) \mid Q \subseteq L \subseteq W\}$$

It follows from the above that

**Claim 2.3.** *There is a bijection between  $\text{Zoom}[Q, W]$  and  $Gr(W/Q, \ell)$ .*

**Claim 2.4.** *Let  $f : X \rightarrow \mathbb{F}_2$  be a linear function such that for each  $x \in Q$ ,  $f(x) = 0$ . Then there is a unique function  $\tilde{f} : X/Q \rightarrow \mathbb{F}_2$  so that*

$$\forall x \in X, \quad \tilde{f}(x + Q) = f(x)$$

*Proof.* For each  $y \in X/Q$  choose some  $x \in X$  such that  $\varphi(x) = y$  and define  $\tilde{f}(y) = f(x)$ . The definition doesn't depend on the choice of  $x$  because if  $x_1 + Q = y = x_2 + Q$  then  $x_1 + x_2 \in Q$ , so by linearity of  $f$ ,  $0 = f(x_1 + x_2) = f(x_1) + f(x_2)$ .  $\square$

### 3 The Grassmann agreement test

In this section we describe the hypothesis regarding the Grassmann agreement test, together with some examples which motivate it.

Let  $X$  be an  $n$ -dimensional vector space over  $\mathbb{F}_2$ , let  $1 \leq \ell < n$ , and let  $F[\cdot]$  be an assignment over  $Gr(X, \ell)$ . We now must introduce several parameters: we have parameters,  $\delta, \delta', q, r$  and  $C$  that are all regarded as constants. There is  $\ell$ , the dimension of subspaces that is thought of as a large enough integer given the previous parameters, and  $n$ , the dimension of  $X$ , should be sufficiently large given the other constants and  $\ell$ .

Given a linear function  $f : X \rightarrow \mathbb{F}_2$ , the construction leads to an encoding of  $f$  that writes down for each  $L \in Gr(X, \ell)$  the restriction  $f|_L$  of  $f$  to  $L$ . In other words,  $f$  is encoded by a table  $F$  such that  $F[L] = f|_L$  for each  $L$ . Since  $f|_L$  is a linear function on  $L$  and there are precisely  $2^\ell$  linear functions on  $L$ , one can describe  $f|_L$  using a symbol from the alphabet  $[2^\ell] = \{1, \dots, 2^\ell\}$ . This encoding scheme  $f \mapsto F$  has a relative distance  $\approx 1 - 2^{-\ell}$ . The Grassmann agreement test (Test 1) is a natural attempt to check whether a supposed encoding is indeed a valid one.

Let us start with two easy but important observations

- The test is clearly 2-to-2: for any assignment for  $L_1$ , which is a linear function  $f_1 : L_1 \rightarrow \mathbb{F}_2$ , let  $f' = (f_1)|_{L'}$  be its restriction to  $L' = L_1 \cap L_2$ . Let  $f_2 : L_2 \rightarrow \mathbb{F}_2$  be the assignment for  $L_2$ . For the test to accept, it must be that  $f_2|_{L'} = f'$ . Since this determines  $f_2$  on a subspace of co-dimension 1, there are exactly two possible values for  $f_2$  that cause the test to accept.
- The test has “perfect completeness”, namely if  $F[\cdot]$  is a valid encoding of some (global) linear function  $f : X \rightarrow \mathbb{F}_2$ ,  $F[L] = f|_L$  for all  $L$ , then the test accepts with probability 1.

A natural inverse question arises from the “soundness” point of view: given a table  $F[\cdot]$  such that  $\text{agreement}(F) \geq \delta$  for some constant  $\delta > 0$ , is it necessarily the case that  $F[\cdot]$  is “globally consistent” with some linear function  $f : X \rightarrow \mathbb{F}_2$ ? Indeed, we quote below a nice (and useful) inverse theorem for an agreement test with smaller intersection size, namely when  $\dim(L_1 \cap L_2) = \ell/10$ , which is analogous to the “line versus point” and “plane versus plane” low degree tests studied in the literature [23, 4, 22]. Recall from (2) that  $\text{agreement}_t(F)$  is the probability that two subspaces that intersect on a  $t$ -dimensional space agree on their intersection.

**Lemma 3.1** (Agreement test with intersection size  $t = \ell/10$ ). *Let  $F[\cdot]$  be an assignment for  $Gr(X, \ell)$ , and let  $t = \ell/10$ . If  $\text{agreement}_t(F) \geq \delta$  then there is a global linear function  $g : X \rightarrow \mathbb{F}_2$  such that  $\Pr_L [F[L] = g|_L] \geq \delta' = \frac{\delta^3}{300}$ .*

This lemma was proven in [18, Theorem D.1] and earlier (for assignments that are not necessarily linear) in [14]. Here is a formal derivation of this lemma from [18], who considered the very similar  $\ell$ -space versus  $t$ -space agreement test,

*Proof.* We can define another table  $A[\cdot]$  that assigns, to every  $t$ -dimensional subspace  $B$ , a linear function  $A[B]$  on it, by letting  $A[B] = F[L]|_B$  for a randomly chosen  $\ell$ -dimensional subspace  $L$  containing  $B$ . It follows that, for a random pair  $B \subseteq L$  of  $t$ -dimensional and  $\ell$ -dimensional subspaces respectively,  $A[B]$  and  $F[L]$  are consistent on  $B$  with probability at least  $\beta$ . In other words, the tables  $A[\cdot], F[\cdot]$  pass the “ $\ell$ -space versus  $t$ -space” test, as defined in [18, Section D], with probability at least  $\beta$ . By [18, Theorem D.1], there is a global linear function  $g : U \rightarrow \{0, 1\}$  that agrees with  $F[\cdot]$  on  $\frac{\beta^3}{300}$  fraction of  $\ell$ -dimensional subspaces.  $\square$

In analogy, one is tempted to speculate that a similar theorem holds also for our test,

**Speculation 3.2** (False). *For every constant  $\delta > 0$ , there exists a constant  $\delta' > 0$ , such that for any assignment  $F$  for  $Gr(X, \ell)$ , if  $\text{agreement}(F) > \delta$  then there exists a global linear function  $f : X \rightarrow \mathbb{F}_2$ , such that  $F[L] = f|_L$  for  $\delta'$  fraction of the subspaces  $L \in Gr(X, \ell)$ .*

It turns out that the speculation above is false, as shown by the examples below. We propose to “salvage” the speculation using the idea of “zoom-in” and “zoom-out”, leading to our main combinatorial hypothesis.

### 3.1 Zooming-in

#### First Counter Example

Here is a counter-example to Speculation 3.2.

For each  $x \in X$  let  $f_x : X \rightarrow \mathbb{F}_2$  be a distinct linear function. Randomly order the elements of  $X - \{0\}$ , and for each  $L$  assign  $F[L] = f_x|_L$  where  $x \in L$  is the smallest (according to this order) element in  $L$ .

Clearly there is no single linear function that agrees with  $\delta > 0$  fraction of the spaces  $L$ , for  $\delta \gg 2^{-\ell}$ . However, we claim that  $\text{agreement}(F) \geq \Omega(1)$ . Indeed, on choosing  $L'$  and then  $L_1, L_2 \supseteq L'$ , there is constant probability that the smallest element  $x \in L'$  is also smallest in both  $L_1$  and  $L_2$ , in which case both  $F[L_1]$  and  $F[L_2]$  agree with  $f_x$  and the test accepts.

**Remark 3.3.** *There are several known variants on this example, one of which is presented in [18]. This example was already described earlier in [6] in the analogous setting of “direct product” tests, which is a similar agreement testing question, except that the global function is not linear, and it is given via restrictions to  $\ell$ -element sets and not  $\ell$ -dimensional subspaces. Works on direct product or agreement testing [14, 9] resolved this issue using an idea which we describe next. This is called local structure versus global structure in [14, 9] and a zoom-in in [18].*

#### Zooming-in

In [18], the authors circumvent the counter-example above by moving to a localized part of the space, which is called a “zoom-in”. Since we will propose another idea called a “zoom-out”, let us introduce a piece of notation that handles both.

**Definition 3.4** (Zooming). *For subspaces  $Q \subseteq W$ , thought of as having a constant dimension and co-dimension respectively inside the global space  $X$ , let*

$$\text{Zoom}[Q, W] = \{L \mid L \subseteq X, \dim(L) = \ell, Q \subseteq L \subseteq W\}$$

*denote the set of  $\ell$ -dimensional subspaces that are between  $Q$  and  $W$ .<sup>8</sup>*

The “zoomed-in” set of all  $\ell$ -dimensional subspaces containing  $Q$  is then  $\text{Zoom}[Q, X]$  whereas the set of all  $\ell$ -dimensional subspaces, namely  $Gr(X, \ell)$ , is  $\text{Zoom}[\{0\}, X]$ . We note that when zooming in on a space  $Q$  of dimension smaller than  $\ell$ , then while the resulting set  $\text{Zoom}[Q, X]$  is localized in some sense, it is still global in another: the  $\ell$ -dimensional spaces contained in it cover all of  $X$ . This will not be the case when one applies a zoom-out, discussed below.

In the counter-example above,  $F[\cdot]$  is an assignment for which  $\text{agreement}(F) = \Omega(1)$ , but there is no global linear function that is  $\Omega(1)$ -consistent with  $F[\cdot]$ . However suppose we fix some  $z^* \in Z, z^* \neq 0$ , and focus on the set  $\text{Zoom}[\text{Span}(z^*), X]$  consisting of all  $\ell$ -dimensional subspaces containing  $z^*$ .

- It is not difficult to see that if  $z^*$  is in the first  $\approx 2^{n-\ell}$  elements in the linear order then the set of spaces in which  $z^*$  is the minimal element has constant density inside the “focus set”  $\text{Zoom}[\text{Span}(z^*), X]$ .
- The assignment  $F[\cdot]$  on spaces in which  $z^*$  is minimal is precisely the restriction of the global linear function  $f_{z^*}$ . That is after zooming in, the assignment  $F[\cdot]$  does have good consistency with the global linear function  $f_{z^*}$ . The zoom-in space  $\text{Span}(z^*)$  is said to be “successful” in this sense.
- The fraction of successful zoom-ins  $z^* \neq 0$  is  $\approx 2^{-\ell}$  fraction of all the points  $z^* \in X$ .

These observations lead to the speculation below stating that an assignment  $F[\cdot]$  with  $\text{agreement}(F) \geq \delta$  does have good consistency with a global linear function after zooming into some constant dimensional subspace and moreover a “reasonable” fraction (that may depend on  $\ell$ ) of the zoom-ins are successful (the global linear function may depend on the choice of the zoom-in space).

**Speculation 3.5** (False). *For every constant  $\delta > 0$ , there is an integer  $q \geq 0$ , a constant  $\delta' > 0$ , and a function  $\alpha(\cdot) > 0$  of an integer parameter, such that the following holds. Given an assignment  $F$  for  $Gr(X, \ell)$  with  $\text{agreement}(F) \geq \delta$ , for  $\alpha(\ell)$  fraction of  $q$ -dimensional subspaces  $Q$ , there exists a global linear function  $f_Q: X \rightarrow \{0, 1\}$ , such that  $F[L] = f_Q|_L$  for  $\delta'$  fraction of the vertices in  $\text{Zoom}[Q, X]$ .*

## 3.2 Hyperplane Example and Zooming-Out

We now present a counter-example to Speculation 3.5 and then propose a “fix” using the idea of a “zoom-out”.

### Hyperplane Example

We assume that the dimension of the global space  $X$  is  $\gg 2^\ell$ . Let  $m = \gamma 2^\ell$  for a small constant  $\gamma$  ( $\gamma = \frac{1}{20}$  works). Let  $W_1, \dots, W_m$  be hyperplanes (= subspaces of co-dimension one) in  $X$  that are in general position, meaning the intersection of any  $k$  of them, for  $1 \leq k \leq m$ , has co-dimension  $k$ . Let

<sup>8</sup>It is easily seen that the subgraph of the Grassmann graph induced on the subset of vertices  $\text{Zoom}[Q, W]$  is isomorphic to a lower order Grassmann graph  $G(X', \ell')$  where  $X' = W/Q$  is the quotient space,  $\dim(X') = \dim(W) - \dim(Q)$ , and  $\ell' = \ell - \dim(Q)$ .

$f_i: W_i \rightarrow \{0, 1\}$  be linear functions on these hyperplanes such that for  $1 \leq i \neq j \leq m$ ,  $f_i$  and  $f_j$  are different on  $W_i \cap W_j$  (random functions will satisfy this w.h.p.). We define the assignment  $F$  for  $Gr(X, \ell)$  by letting  $F[L] = (f_i)|_L$  if  $i$  is the only index such that  $L \subseteq W_i$ . If  $L$  is not contained in any  $W_i$ , or it is contained in more than one, choose  $F[L]$  at random.

Let  $L_1, L_2$  be chosen according to the test distribution, namely  $L_1, L_2$  are random  $\ell$ -spaces that intersect on an  $\ell - 1$  dimensional subspace. These subspaces can be chosen by first choosing a random space  $R$  of dimension  $\ell + 1$  and then choosing two  $\ell$ -spaces inside  $R$ <sup>9</sup>. Let  $E_i$  be the event that  $R$  is contained in  $W_i$ , let  $S_i \subseteq E_i$  be the event that  $R$  is in  $W_i$  and not in any other  $W_j$ , and let  $S = \bigcup_i S_i$ . Clearly,

$$\text{agreement}(F) \geq \Pr[S]$$

because in this case  $F[L_1]$  and  $F[L_2]$  are both consistent with the same  $f_i$ . We claim that  $\Pr[S] \geq \frac{\gamma}{2} = \Omega(1)$ . Indeed,  $\Pr[E_i] \approx 2^{-\ell-1}$  and  $\Pr[E_i \wedge E_j] \approx 2^{-2(\ell+1)}$ . By inclusion-exclusion principle, the probability that  $R$  is contained in precisely one of the  $W_i$ ,  $1 \leq i \leq m$ , is at least

$$\Pr[S] \geq m \cdot 2^{-\ell-1} - \binom{m}{2} \cdot 2^{-2(\ell+1)} \geq \gamma/2 - \frac{\gamma^2}{8} \geq \frac{\gamma}{4}.$$

Finally, it is clear that there is no single linear function that agrees with  $F$  on more than  $\exp(-\ell)$  fraction of the  $\ell$ -dimensional subspaces. Moreover, we next show that zooming in won't work, exhibiting a counter-example to Speculation 3.5: There is no constant  $q$  and a  $q$ -dimensional subspace  $Q$ , for which  $F[\cdot]$  is consistent with some global linear function on  $\Omega(1)$  fraction of  $\ell$ -dimensional subspaces containing  $Q$ .

**Zoom-in not sufficient for consistency.** Fix any  $q$ -dimensional subspace  $Q$  and let  $\text{Zoom}[Q, X]$  be the set of all  $\ell$ -dimensional subspaces containing  $Q$ . These will be the only subspaces under consideration henceforth. Let  $f: X \rightarrow \mathbb{F}_2$  be any global linear function. We look at the consistency between  $f$  and  $F[\cdot]$  on the subspaces in  $\text{Zoom}[Q, X]$ . Let  $\mathcal{L}_i$  be the set of those  $L \in \text{Zoom}[Q, X]$  that are contained in  $W_i$ . Clearly, if  $Q \not\subseteq W_i$ , then  $\mathcal{L}_i = \emptyset$  and otherwise,  $\mathcal{L}_i$  has some fixed size depending on  $q, \ell, \dim(X)$ . Let  $\mathcal{T}_i \subseteq \mathcal{L}_i$  be the set of those  $L \in \text{Zoom}[Q, X]$  that are contained in  $W_i$  but not in any other  $W_j, j \neq i$ .

Firstly, since  $F[\cdot]$  is defined randomly outside  $\bigcup_{i=1}^m \mathcal{T}_i$ , non-trivial consistency between  $f$  and  $F[\cdot]$ , if any, has to be on  $\bigcup_{i=1}^m \mathcal{T}_i$ . Secondly, since  $F[\cdot]$  is defined according to distinct functions  $f_i, f_j$  on  $\mathcal{T}_i, \mathcal{T}_j$  respectively, the consistency between  $f$  and  $F[\cdot]$  is non-negligible on at most one  $\mathcal{T}_i$ . Suppose that  $Q$  is contained in exactly  $k$  of the  $W_i$ 's, say  $W_1, \dots, W_k$ . We consider two cases depending on how large  $k$  is, and show that the consistency between  $f$  and  $F[\cdot]$  is at most  $\approx 2^{-\frac{\ell}{2}}$  in both the cases, exhibiting the counter-example.

- (Case when  $k \leq 2^{\frac{\ell}{2}}$ ). We note that w.l.o.g.  $\mathcal{L}_{k+1} = \dots = \mathcal{L}_m = \emptyset$  and the density of  $\bigcup_{i=1}^k \mathcal{L}_i$  inside  $\text{Zoom}[Q, X]$  is at most  $k \cdot 2^{q-\ell} \leq 2^{q-\frac{\ell}{2}}$ . Since other than approximately  $2^{-\ell}$  fraction of consistency that emerges from the random assignment, the consistency between  $f$  and  $F[\cdot]$  has to be subspaces in  $\bigcup_{i=1}^k \mathcal{T}_i \subseteq \bigcup_{i=1}^k \mathcal{L}_i$ , the consistency is upper bounded by  $2^{q-\frac{\ell}{2}} + O(2^{-\ell}) = O(2^{-\frac{\ell}{2}})$ .
- (Case when  $k \geq 2^{\frac{\ell}{2}}$ ). Since by symmetry, all  $\mathcal{T}_i, i = 1, \dots, k$ , have the same size and are pairwise disjoint, and the consistency is non-negligible on at most one  $\mathcal{T}_i$ , the consistency is upper bounded by  $\frac{1}{k} + O(2^{-\ell}) \leq O(2^{-\frac{\ell}{2}})$ .

<sup>9</sup>This distribution gives a slightly larger probability for  $L_1 = L_2$  than the test distribution, but this difference is negligible so we ignore it.

## Zooming-out

We propose to circumvent the counter-example above using an idea of a “zoom-out” wherein one focusses on  $\ell$ -dimensional subspaces that are contained in a subspace of constant co-dimension. Indeed, in the example above, we can choose any of the hyperplanes  $W_i$  and focus on  $\text{Zoom}[\{0\}, W_i]$ , the subset of those  $L$  that are contained in  $W_i$ . By definition, there does exist a global linear function, namely  $f_i$ , that is consistent with  $F[\cdot]$  on the subspaces in  $\text{Zoom}[\{0\}, W_i]$  which are not contained in any other  $W_j$ . As noted, this has density at least  $\frac{\gamma}{2}$  inside  $\text{Zoom}[\{0\}, W_i]$ , and hence  $f_i$  is  $\frac{\gamma}{2}$ -consistent with  $F[\cdot]$  on  $\text{Zoom}[\{0\}, W_i]$ . We observe in addition that only the hyperplanes  $W_1, \dots, W_m$  (and further subspaces of them of constant co-dimension if one wishes) furnish a “successful” zoom-out.

### 3.3 Our Main Hypothesis

It is certainly possible to combine the counter-examples in Section 3.1 and Section 3.2 so that both the zoom-in and the zoom-out are needed to circumvent the combined example. Our main hypothesis, stated next, proposes that there always exist a zoom-in and a zoom-out on subspaces of constant dimension and co-dimension respectively that together are successful.

**Hypothesis 3.6.** *For every constant  $\delta > 0$ , there exist integers  $r, q \geq 0$ , a constant  $C > 0$ , such that for all sufficiently large integers  $\ell$ , for all sufficiently large integers  $n$ , the following holds. Let  $F[\cdot]$  be an assignment for  $\text{Gr}(X, \ell)$ ,  $\dim(X) = n$ , such that  $\text{agreement}(F) \geq \delta$ . Then there exist subspaces  $Q \subseteq W \subseteq X$  such that  $\dim(Q) = q$  and  $\dim(W) = n - r$ , and a global linear function  $g_{Q,W} : W \rightarrow \mathbb{F}_2$  such that (note the conditional probability)*

$$\Pr_{L \in \text{Gr}(X, \ell)} [g_{Q,W}|_L = F[L] \mid Q \subseteq L \subseteq W] \geq C. \quad (3)$$

In the reduction below we have a 2-Prover-1-Round game, where players use a zoom combination as in Hypothesis 3.6 to choose a global function, which they return as answer. There are some issues with this that we can already explain at this point: first, the hypothesis provides a linear function over  $W$  instead of over the entire space. This is handled by noting that because  $W$  has constant co-dimension, a linear function defined on it cannot have too many extensions over the entire space. The second issue is that, as it turns out, for the players to have large-enough success probability there must be a constant fraction of spaces  $Q$  for which a  $W$  exists such that  $Q$  and  $W$  are a successful zoom pair. The second issue is solved by the following lemma, which shows that Hypothesis 3.6 implies a non-negligible amount of good Zoom-in<sup>10</sup>.

**Lemma 3.7.** *Assume Hypothesis 3.6. For every constant  $\delta > 0$ , there exist integers  $r, q \geq 0$ , a constant  $C > 0$ , such that for all sufficiently large integers  $\ell$ , for all sufficiently large integers  $n$ , the following holds. Let  $F[\cdot]$  be an assignment for  $\text{Gr}(X, \ell)$  with  $\text{agreement}(F) \geq \delta$ . Then for at least  $\alpha(\ell)$  fraction of the  $q$ -dimensional subspaces  $Q \subseteq V$ , there exists a subspace  $W$ ,  $Q \subseteq W \subseteq V$  of co-dimension  $r$ , and a global linear function  $g_{Q,W} : W \rightarrow \mathbb{F}_2$  such that (note the conditional probability)*

$$\Pr_{L \in \text{Gr}(X, \ell)} [g_{Q,W}|_L = F[L] \mid Q \subseteq L \subseteq W] \geq C.$$

*Proof.* The proof strategy is as follows: apply the Hypothesis sequentially, finding a single  $Q$  at a time. Each time a  $Q$  is found, we “erase” the assignment on  $L \supseteq Q$  by assigning a random linear function for  $F[L]$  on every  $L \supseteq Q$  and continue. The two main points are (a) each newly found  $Q$  is only contained in a

<sup>10</sup>A similar Hypothesis to the above could have been made in [18]. The proof for the equivalence between the two is even simpler.

small fraction of the  $L$ 's, hence as long as we have not found enough  $Q$ , the erased spaces  $L$  do not decrease agreement( $F$ ), and (b) on the other hand the values assigned at random function essentially like erasure, in that they cannot contribute much to the  $Q$ 's that are found in subsequent steps.

Let  $r, q, C$  be from Hypothesis 3.6 for  $\delta/2, \tilde{F} = F$ . We prove the lemma for parameters  $r, q, C/2$  and  $\alpha(\ell) = \delta 2^{-\ell^2-2}$ .

Denote by  $\mathcal{Q}$  the set of  $Q$  found so far,  $N = |\mathcal{Q}|$ , and by  $\mathcal{L}$  the set of spaces  $L$  that contain some  $Q \in \mathcal{Q}$ , namely whose assignment was rerandomized. At each step, as long as agreement( $\tilde{F}$ )  $\geq \delta/2$ , we apply Hypothesis 3.6 to obtain  $Q, W$  and  $g_{Q,W}$  such that Equation (3) holds. We then define

$$\mathcal{L} \leftarrow \mathcal{L} \cup \{L \mid Q \subseteq L, \dim(L) = \ell\},$$

and reassign the spaces of  $\mathcal{L}$  on  $\tilde{F}$  in a manner to be described later. Notation: For any  $i \leq n$  let  $\binom{n}{i} = |Gr(\mathbb{F}_2^n, i)|$  denote the number of  $i$ -dimensional subspaces in an  $n$  dimensional space.

**Claim 3.8.** *At the end of the process,  $N \geq \delta 2^{-\ell^2-2} \binom{n}{q}$ .*

*Proof.* Note each  $Q$  causes at most

$$\frac{\binom{n}{\ell-q}}{\binom{n}{\ell}} \leq \frac{2^{n(\ell-q)}}{2^{\ell(n-\ell)}} = 2^{\ell^2-qn}$$

fraction of the  $L$  spaces to be added to  $\mathcal{L}$ . Therefore overall during the process,  $\mathcal{L}$  contains at most  $N \cdot 2^{\ell^2-qn}$  fraction of the spaces in  $Gr(X, \ell)$ . Hence with probability at most  $2N \cdot 2^{\ell^2-qn}$  one of the subspaces  $L_1, L_2$  considered in the test is reassigned. When the process is stuck, agreement( $\tilde{F}$ )  $< \delta/2$ , and so it must be the case that

$$\begin{aligned} 2N \cdot 2^{\ell^2-qn} &\geq \delta/2 \\ \implies N &\geq \delta 2^{qn-\ell^2-2} \geq \delta 2^{-\ell^2-2} \binom{n}{q}. \end{aligned}$$

□

Next we argue that each newly found  $Q$ , has  $W, g_{Q,W}$  for which Equation (3) holds for  $F$ , albeit with  $C/2$ . This is achieved by claiming that at most  $2^{1-\ell}$  of the consistency with  $\tilde{F}$  comes from spaces that were reassigned. The latter is shown by considering two cases: if at most  $2^{-\ell}$  fraction of  $Q \subseteq L \subseteq W$  are in  $\mathcal{L}$ , it is obvious. Otherwise

**Claim 3.9.** *With probability  $1 - o_n(1)$ , for every  $Q$  of dimension  $q$ ,  $W$  of dimension  $r$  such that at least  $2^{-\ell}$  fraction of  $\{L \mid Q \subseteq L \subseteq W\}$  is in  $\mathcal{L}$ , for every linear function  $g_{Q,W} : W \rightarrow \mathbb{F}_2$ ,*

$$\Pr_{\substack{L \in_R \mathcal{L} \\ Q \subseteq L \subseteq W}} [g_{Q,W}|_L \equiv \tilde{F}[L]] \leq 2^{1-\ell}$$

*Proof.* Fix such  $Q, W, g_{Q,W}$ , and denote  $A = \{L \mid Q \subseteq L \subseteq W\} \cap \mathcal{L}$ . For each  $L \in A$  define the indicator random variable  $Z_L$  which is 1 iff  $g_{Q,W}|_L \equiv \tilde{F}[L]$ . Then its expectation is  $2^{-\ell}$ , and, using Chernoff bound, the required probability is bounded by

$$\Pr_{L \in_R A} \left[ \frac{1}{|A|} \sum_{L \in A} Z_L \geq 2^{1-\ell} \right] \leq \Pr_{L \in_R A} \left[ \left| \frac{1}{|A|} \sum_{L \in A} Z_L - 2^{-\ell} \right| \geq 2^{-\ell} \right] \leq 2^{-\frac{1}{3} 2^{-2\ell} |A|}.$$

Note that  $|A| \geq 2^{-\ell} |\{L \mid Q \subseteq L \subseteq W\}| \geq 2^{-\ell} 2^{(\ell-q)(n-r-\ell)}$ . Therefore, using a union bound over  $Q, W, g_{Q,W}$ , the probability there exists such bad triplet is at most

$$2^{qn} 2^{rn} 2^{n-r} 2^{-\frac{1}{3} 2^{-2\ell} 2^{(\ell-q)(n-r-\ell)}} = o_n(1).$$

□

In particular, the previous claim implies there exist a reassignment (and we will pick such one in the reassignment phase) of vertices on  $\mathcal{L}$ , such that on each newly found  $Q, W$ , at most  $2^{1-\ell}$  of the agreement with  $\tilde{F}$  comes from  $\mathcal{L}$ . Hence at least  $C - 2^{1-\ell} \geq C/2$  of the agreement comes outside  $\mathcal{L}$ , i.e. Equation (3) holds with  $C/2$ . □

### 3.4 A List Decoding Bound

In this section we bound the number of successful zoom-outs: This bound will later be used for showing that the provers can coordinate their answer with non-negligible probability.

Fix  $X = \mathbb{F}_2^n$ ,  $r \ll \ell \ll n$ , and suppose  $F[\cdot]$  is a table that assigns to every vertex  $L \in Gr(X, \ell)$  a linear function  $F[L]$  on  $L$ . We would like to have different thresholds for when a subspace  $W$  used for zoom-out is considered successful, depending on the co-dimension of  $W$ .

**Definition 3.10.** Fix numbers  $\tau_0, \tau_1, \dots, \tau_r \geq 0$  and let  $\vec{\tau} = (\tau_0, \dots, \tau_r)$ . For a subspace  $W \subseteq X$  and a linear function  $g : W \rightarrow \mathbb{F}_2$ , we say that the pair  $(g, W)$   $\vec{\tau}$ -occurs in  $F$  if, let  $i = \dim(X) - \dim(W) \leq r$ ,

$$\Pr_{L \in Gr(W, \ell)} [F[L] = g|_L] \geq \tau_i.$$

Furthermore, the pair  $(g, W)$  is maximal if there is no  $W' \supsetneq W$  and linear function  $g' : W' \rightarrow \mathbb{F}_2$  such that  $(g')|_W = g$  such that  $(g', W')$   $\vec{\tau}$ -occurs in  $F$ .

**Definition 3.11** (List of Maximal Pairs). For an assignment  $F$  for  $Gr(X, \ell)$  and a set of parameters  $\vec{\tau} = (\tau_0, \dots, \tau_r)$  define  $\text{LIST}^{\vec{\tau}}(F)$  to be the collection of maximal pairs  $\vec{\tau}$ -occurring in  $F$ ,

$$\text{LIST}^{\vec{\tau}}(F) = \{(g, W) \mid (g, W) \text{ is a maximal pair for } F\}.$$

The following is the main lemma of this section,

**Lemma 3.12** (List Size Bound). For every  $F$ ,  $\tau_r > 0$  and  $r \in \mathbb{N}$  there are numbers  $\tau_i = 10^{-9}(\tau_{i+1})^{12}$ ,  $0 \leq i < r$ , such that the set of maximal pairs

$$\text{LIST} = \{(g, W) \mid (g, W) \text{ is a maximal pair}\}$$

has size bounded by  $2^{8r^2\ell} / (\tau_r)^{\exp(r)}$ .

The proof of Lemma 3.12 relies on a sunflower type statement, and on an analysis of an agreement test with smaller intersection size, similar to Lemma 3.1.

**Lemma 3.13** (Sunflower Lemma for linear spaces). Let  $\mathcal{Y} \subseteq Gr(X, r)$  be a collection of  $N$  subspaces, and let  $m$  be an integer such that  $(m \cdot 2^r)^r \leq N$ . Then there exist  $m$  subspaces  $Y_1, \dots, Y_m \in \mathcal{Y}$  that form a sunflower, namely denoting  $Y = \bigcap_{i=1}^m Y_i$ , we have  $Y_i \cap Y_j = Y$  for all  $1 \leq i \neq j \leq m$ . □

The proof of Lemma 3.13 is similar to the proof of the usual sunflower lemma (if one settles for worse parameters, it would follow immediately from the usual lemma). As we are currently not aware of a reference for it, we include it here.

*Proof.* We apply induction over  $r$ . The base case,  $r = 1$ , follows since the intersection of any two different 1-dimensional subspace is  $\{0\}$ , and thus any  $m$  distinct such subspaces form a sunflower.

Now assume the lemma holds for  $r - 1$ , and let  $\mathcal{Y} \subseteq Gr(X, r)$  be as in the lemma. Take  $\mathcal{Z} = \{Z_1, \dots, Z_k\} \subseteq \mathcal{Y}$  to be a maximal set of subspaces such that the intersection between any two of them is  $\{0\}$ . Note that if  $k \geq m$  then  $Z_1, \dots, Z_m$  form a sunflower as we desire, so assume from now on that  $k \leq (m - 1)$ . Denoting  $S = \cup_{i=1}^k Z_i \setminus 0$ , we thus have that  $|S| < 2^r(m - 1)$ . Moreover, because of the maximality of  $\mathcal{Z}$  we have that  $Y \cap S \neq \emptyset$  for any  $Y \in \mathcal{Y} \setminus \mathcal{Z}$ . It follows by a simple pigeonhole argument that there is a point  $x \in S$  which is contained by at least

$$\frac{N - m + 1}{2^r(m - 1)} \geq \frac{(m \cdot 2^r)^r - m + 1}{2^r(m - 1)} \geq (m \cdot 2^{r-1})^{r-1} \quad (4)$$

subspaces in  $\mathcal{Y}$ .

Now fix  $P : X \rightarrow X$  to be any linear projection which satisfies  $Ker(P) = Span(x)$ , and note that for a subspace  $Y \in Gr(X, r)$  which contains  $x$  we have  $PY \in Gr(PX, r - 1)$ , and also  $P^{-1}(PY) = Y$ . Hence different  $r$ -dimensional subspaces which contain  $x$  are mapped by  $P$  to distinct  $(r - 1)$ -dimensional subspaces. Since by the bound in (4) there are at least  $(m \cdot 2^{r-1})^{r-1}$  subspaces  $Y \in \mathcal{Y}$  that contain  $x$ , we thus have that the set  $\mathcal{Y}' = \{PY \mid x \in Y \in \mathcal{Y}\}$  contains at least  $(m \cdot 2^{r-1})^{r-1}$  distinct elements from  $Gr(PX, r - 1)$ .

Applying the inductive hypothesis to  $\mathcal{Y}'$ , we obtain a sunflower  $Y'_1, \dots, Y'_m$  in  $\mathcal{Y}'$ . Letting  $Y_i = P^{-1}(Y'_i)$  for  $i = 1, \dots, m$ , one easily verifies that  $Y_1, \dots, Y_m$  is a sunflower in  $\mathcal{Y}$  as required.  $\square$

**Corollary 3.14.** *Let  $W_1, \dots, W_N \subseteq X$  be distinct subspaces of co-dimension  $r$ . Let  $m$  be an integer such that  $(m \cdot 2^r)^r \leq N$ . Then there is some subspace  $W \subseteq X$  of co-dimension  $r - s < r$  such that  $W$  contains  $m$  of the  $W_i$ 's, say  $W_1, \dots, W_m$ , and such that for all  $1 \leq i \neq j \leq m$ ,  $W_i \cap W_j$  has co-dimension  $2s$  in  $W$ .*

*Proof of Corollary 3.14.* Let  $W_1, \dots, W_N$  be (distinct) subspaces of  $X$  of co-dimension  $r$ . Let us write  $W_i = (Y_i)^\perp = \{w \in X \mid \langle w, y \rangle = 0, \forall y \in Y_i\}$  for appropriate  $r$ -dimensional subspaces  $Y_i$  and note that the  $Y_i$  are distinct since the  $W_i$  are distinct. From the sunflower lemma for linear spaces we obtain the subsequence  $Y_1, \dots, Y_m$  such that for  $Y = \cap Y_i$  and all  $i \neq j$  we have  $Y_i \cap Y_j = Y$ . Let  $W = Y^\perp$  and denote  $s = r - \dim(Y)$  so that  $r - s = \text{codim}(W)$ . Then clearly  $W_i \subseteq W$  and  $W_i \cap W_j = (Y_i + Y_j)^\perp$ . The subspace  $(Y_i + Y_j)^\perp$  has co-dimension  $\dim(Y_i + Y_j) = 2r - (r - s) = r + s$  in  $X$  and co-dimension  $r + s - (r - s) = 2s$  in  $W$ .  $\square$

**Lemma 3.15** (List size bound). *Let  $F[\cdot]$  be a table that assigns, to every  $\ell$ -dimensional subspace  $L$  of an  $n$ -dimensional space  $V$ , a linear function  $F[L]$  on  $L$ . Suppose  $\ell$  is a sufficiently large integer,  $b = \frac{\ell}{10}$  and  $n \geq 2\ell$ . Let  $g_1, \dots, g_m$  be the list of all global linear functions on  $V$  that have  $\beta$ -agreement with  $F[\cdot]$ , namely for every  $1 \leq i \leq m$ ,  $F[L] = g_i|_L$  for at least  $\beta$  fraction of subspaces  $L \subseteq V$  and moreover every such global linear function appears in the list. Then  $m \leq \frac{\beta}{\beta^2 - 2^{-\ell}}$  and the probability*

$$\Pr_{\substack{L, L' \\ \dim(L \cap L') = b}} [F[L]|_{L \cap L'} = F[L']|_{L \cap L'} \wedge F[L] \notin \{g_1|_L, \dots, g_m|_L\} \wedge F[L'] \notin \{g_1|_{L'}, \dots, g_m|_{L'}\}]$$

is at most  $10\sqrt[3]{\beta}$ .

*Proof.* The upper bound on  $m$  is as in [18, Theorem 2.6]. Now assume, on the contrary, that the probability in the statement of the lemma is at least  $10\sqrt[3]{\beta}$ . We define another table  $F^*[\cdot]$  where  $F^*[L] = F[L]$  if  $F[L] \notin \{g_1|_L, \dots, g_m|_L\}$  (let  $\mathcal{L}^*$  denote the set of such  $L$ ) and otherwise  $F^*[L]$  is defined as a random linear function on  $L$ . The assumption implies that for  $10\sqrt[3]{\beta}$  fraction of pairs  $(L, L')$ ,  $\dim(L \cap L') = b$ ,  $F^*[L], F^*[L']$  are consistent on  $L \cap L'$ . By Lemma 3.15, there exists a global linear function  $g : V \rightarrow \{0, 1\}$  that agrees with  $F^*[\cdot]$  on at least  $3\beta$  fraction of subspaces  $L \subseteq V$ . Since  $F^*[\cdot]$  is defined at random outside  $\mathcal{L}^*$ , this agreement must essentially be on  $\mathcal{L}^*$  (one could have used a Chernoff bound and taken a union bound over all global linear functions beforehand). However,  $F[\cdot]$  and  $F^*[\cdot]$  agree on  $\mathcal{L}^*$  and hence  $g$  agrees with  $F[\cdot]$  at  $\beta$  fraction of  $L \subseteq V$ . This is a contradiction since  $g$  is distinct from  $g_1, \dots, g_m$ ; indeed for any  $L \in \mathcal{L}^*$  such that  $F[L] = g|_L$ , we have  $g|_L \notin \{g_1|_L, \dots, g_m|_L\}$ .  $\square$

*Proof of Lemma 3.12.* Note that  $\tau_0 \geq (\tau_r)^{\exp(r)}$ . Assume, towards a contradiction, that there are more than  $(r+1)2^{8r^2\ell}/\tau_0$  maximal pairs. Then there is some  $0 < r' \leq r$  where  $\text{LIST}' = \{(g, W) \in \text{LIST} \mid \text{codim}(W) = r'\}$  has size at least  $2^{8r^2\ell}/\tau_0$ . Set  $\tau = \tau_{r'}$ .

Note that it could happen that both  $(W, g)$  and  $(W, g')$  belong to  $\text{LIST}'$  for  $g \neq g'$ . However, this can happen for at most  $O(1/\tau) \leq O(1/\tau_0)$  distinct linear functions due to Lemma 3.15. So there are at least  $N := 2^{8r^2\ell}$  distinct subspaces in  $\text{LIST}'$  which we number  $W_1, \dots, W_N$  (ignoring the rest).

Applying Corollary 3.14, there exists a subspace  $W \subseteq X$  of co-dimension  $r' - s$ ,  $1 \leq s < r'$ , that contains (by re-indexing) subspaces  $W_1, \dots, W_m$  such that for all  $1 \leq i \neq j \leq m$ ,  $W_i$  has co-dimension  $s$  inside  $W$  and  $W_i \cap W_j$  has co-dimension  $2s$  inside  $W$ . Corollary 3.14 gives a lower bound  $m \geq \frac{N^{\frac{1}{r}}}{2^r} \geq 2^{4r\ell}$ . We assume that  $m = \gamma \cdot 2^{s(2\ell-b)}$  (ignoring the rest) where  $\gamma = \frac{\tau^2}{2}$ . We will prove that there is a linear function  $f$  such that

$$\Pr_{L \in Gr(W, \ell)} [F[L] = f|_L] \geq \tau^{12}/2000 \quad (5)$$

Moreover, we will prove that

$$\exists (g_i, W_i) \in \text{LIST}', i \in [m], \quad f|_{W_i} = g_i \quad (6)$$

Let us first show how (5) and (6) together imply the lemma. From (5) we deduce that  $(f, W)$  occurs in  $F$ . Indeed the co-dimension of  $W$  is some  $i < r'$ , so  $\Pr_{L \in Gr(W, \ell)} [F[L] = f|_L] \geq \tau^{12}/2000 > \tau_i$ . This means that either  $(f, W) \in \text{LIST}$  or there is some  $(f', W') \in \text{LIST}$  such that  $W' \supseteq W$  and  $(f')|_W = f$ . Either way this contradicts the fact that  $(W_i, g_i) \in \text{LIST}$  is maximal (since  $W' \supseteq W \supseteq W_i$  and  $(f')|_{W_i} = f|_{W_i} = g_i$ ).

To prove (5) we will first show that  $F$  restricted to  $Gr(W, \ell)$  passes a linearity agreement test with sufficient probability. Fix  $b = \ell/10$ . Let  $L, L'$  be chosen uniformly at random conditioned on their intersection having dimension  $b$ . We will prove that

$$\Pr_{L, L'} [F[L]|_{L \cap L'} = F[L']|_{L \cap L'}] \geq \tau^4/4 \quad (7)$$

which, using Lemma 3.1, implies (5).

To prove (7) we note that the pair  $(L, L')$  can be chosen by first choosing a random  $(2\ell - b)$ -dimensional subspace  $R$  and then choosing random  $\ell$ -dimensional subspaces  $L, L' \subseteq R$  with  $b$ -dimensional intersection. The choice of  $L, L'$  after choosing  $R$  is essentially independent; choosing them independently, it does hold that  $\dim(L \cap L') = b$  except with probability  $2^{-\Omega(b)}$ . Fix an index  $1 \leq i \leq m$ . It will be convenient to define events  $\mathcal{E}_i, \mathcal{P}_i, \mathcal{S}_i$  such that  $\mathcal{P}_i \subseteq \mathcal{E}_i$ ,  $\mathcal{S}_i \subseteq \mathcal{E}_i$  as follows.

- Let  $\mathcal{E}_i$  be the event that both  $L, L' \subseteq W_i$ . Then

$$\Pr[\mathcal{E}_i] = \Pr[R \subseteq W_i] = 2^{-s(2\ell-b)}.$$

- Let  $\mathcal{P}_i$  be the event that both  $L, L' \subseteq W_i$ , but for  $1 \leq j \neq i \leq m$ ,  $W_j$  does not contain both  $L, L'$  (i.e. the pair  $(L, L')$  is *private* to  $W_i$ ). Then

$$\Pr[\mathcal{E}_i \wedge \neg \mathcal{P}_i] \leq \sum_{j \neq i} \Pr[\mathcal{E}_i \cap \mathcal{E}_j] \leq (m-1)2^{-2s(2\ell-b)} \leq \gamma 2^{-s(2\ell-b)}.$$

where we have estimated  $\Pr[\mathcal{E}_i \cap \mathcal{E}_j] = \Pr[R \subseteq W_i \cap W_j] \approx 2^{-2s(2\ell-b)}$ . We note that the events  $\mathcal{P}_i, 1 \leq i \leq m$ , are disjoint.

- Let  $\mathcal{S}_i$  be the event that both  $L, L' \subseteq W_i$  and moreover that  $F[L] = g_i|_L, F[L'] = g_i|_{L'}$  (and in particular  $F[L], F[L']$  are consistent on  $L \cap L'$ ). We noted that  $R \subseteq W_i$  with probability  $2^{-s(2\ell-b)}$  and then denoting by  $p(R)$ , the fraction of  $\ell$ -dimensional subspaces  $L \subseteq R$  for which  $F[L] = g_i|_L$ ,

$$\Pr[\mathcal{S}_i] = 2^{-s(2\ell-b)} \cdot \mathbb{E}_{R \subseteq W_i} [p(R)^2] \geq 2^{-s(2\ell-b)} \cdot \mathbb{E}_{R \subseteq W_i} [p(R)]^2 \geq 2^{-s(2\ell-b)} \cdot \tau^2.$$

- Combining the above,

$$\begin{aligned} \Pr[\mathcal{S}_i \wedge \mathcal{P}_i] &= \Pr[\mathcal{S}_i - (\mathcal{S}_i \wedge \neg \mathcal{P}_i)] \\ &\geq \Pr[\mathcal{S}_i] - \Pr[\mathcal{E}_i \wedge \neg \mathcal{P}_i] \\ &\geq (\tau^2 - \gamma) \cdot 2^{-s(2\ell-b)} \geq \frac{\tau^2}{2} \cdot 2^{-s(2\ell-b)} = \frac{\tau^2}{2} \cdot \Pr[\mathcal{E}_i]. \end{aligned}$$

The probability that  $F[L], F[L']$  are consistent on  $L \cap L'$  is at least ( $\mathcal{S}_i \wedge \mathcal{P}_i$  are disjoint)

$$\Pr[\bigvee_{i=1}^m \mathcal{S}_i \wedge \mathcal{P}_i] = \sum_{i=1}^m \Pr[\mathcal{S}_i \wedge \mathcal{P}_i] \geq m \cdot \frac{\tau^2}{2} \cdot 2^{-s(2\ell-b)} = \gamma \cdot \frac{\tau^2}{2} = \frac{\tau^4}{4} \quad (8)$$

thus establishing (7). By Lemma 3.1, there is a global linear function  $f : W \rightarrow \{0, 1\}$  that agrees with  $F[\cdot]$  on  $\frac{\tau^{12}}{2000}$  fraction of  $\ell$ -dimensional subspaces, thus implying (5).

We now proceed to show (6), namely that for some  $i \in [m]$ ,  $f|_{W_i} = g_i$ . This will conclude the proof of the lemma.

Let  $f_1, \dots, f_k$  be the list of all global linear functions on  $W$  that have  $10^{-9}\tau^{12}$  agreement with  $F[\cdot]$  (So the pairs  $(W, f_j)$  occur in  $F$  for all  $j = 1, \dots, k$ ). So far, we have established that this list is non-empty. We note that the event  $\bigvee_{i=1}^m \mathcal{S}_i \wedge \mathcal{P}_i$  implies the event that  $F[L], F[L']$  are consistent on  $L \cap L'$ . By Lemma 3.15,  $k \leq \frac{2 \cdot 10^9}{\tau^{12}}$  and

$$\Pr[(\bigvee_{i=1}^m \mathcal{S}_i \wedge \mathcal{P}_i) \wedge (F[L] \notin \{f_1|_L, \dots, f_k|_L\}) \wedge (F[L'] \notin \{f_1|_{L'}, \dots, f_k|_{L'}\})] \leq \frac{\tau^4}{100}. \quad (9)$$

From Equations (8), (9) and noting that the roles of  $L, L'$  are symmetric,

$$\Pr[(\bigvee_{i=1}^m \mathcal{S}_i \wedge \mathcal{P}_i) \wedge (F[L] \in \{f_1|_L, \dots, f_k|_L\})] \geq \frac{\tau^4}{16}.$$

Noting again that  $\mathcal{S}_i \wedge \mathcal{P}_i$  are disjoint, the above equation implies

$$\sum_{i=1}^m \Pr [\mathcal{S}_i \wedge \mathcal{P}_i \wedge (F[L] \in \{f_1|_L, \dots, f_k|_L\})] \geq \frac{\tau^4}{16}.$$

Replacing the event  $\mathcal{S}_i \wedge \mathcal{P}_i$  by its implication  $\mathcal{E}_i \wedge (F[L] = g_i|_L)$  and further relaxing to implication  $g_i|_L \in \{f_1|_L, \dots, f_k|_L\}$ , we have

$$\sum_{i=1}^m \Pr [\mathcal{E}_i \wedge (g_i|_L \in \{f_1|_L, \dots, f_k|_L\})] \geq \frac{\tau^4}{16}.$$

Noting that  $m = \gamma \cdot 2^{s(2\ell-b)}$  and  $\Pr [\mathcal{E}_i] = 2^{-s(2\ell-b)}$ , we rewrite as

$$\frac{1}{m} \sum_{i=1}^m \Pr [g_i|_L \in \{f_1|_L, \dots, f_k|_L\} \mid \mathcal{E}_i] \geq \frac{1}{\gamma} \cdot \frac{\tau^4}{16} = \frac{\tau^2}{8}.$$

In the above inequality,  $\mathcal{E}_i$  is the event that both  $L, L' \subseteq W_i$ , but  $L'$  has no role, so we can rewrite as

$$\frac{1}{m} \sum_{i=1}^m \Pr [g_i|_L \in \{f_1|_L, \dots, f_k|_L\} \mid L \subseteq W_i] \geq \frac{\tau^2}{8}.$$

Now we can finish the proof. By an averaging argument, at least one of the indices  $i \in [m]$  is above average, so

$$\Pr [g_i|_L \in \{f_1|_L, \dots, f_k|_L\} \mid L \subseteq W_i] \geq \frac{\tau^2}{8}.$$

Clearly, it must then be the case that  $g_i$  is identically equal to one of the functions  $f_1|_{W_i}, \dots, f_k|_{W_i}$ , since otherwise  $g_i$  would agree with any  $f_j|_{W_i}$  for at most  $2^{-\ell}$  fraction of  $L \subseteq W_i$  and then one could take a union bound over  $1 \leq j \leq k$ . This shows (6) and completes the proof.  $\square$

### 3.5 Main Lemmas

In this section we collect all that we have proved, into two lemmas that will be used in the proof of soundness.

**Definition 3.16 (Q - List).** *Let  $F$  be an assignment for  $Gr(X, \ell)$ , and let  $\vec{\tau}$  be a parameter vector. For a subspace  $Q \in Gr(X, q)$ , let us say that the pair  $(f, W)$   $\vec{\tau}$ -occurs with respect to  $Q$  in  $F$  if  $\text{codim}(W) = i$  and*

$$\Pr_{Q \subseteq L \subseteq W} [F[L] = f|_L] \geq \tau_i$$

*and let us say the pair is maximal if there is no  $(f', W')$  that  $\tau$ -occurs w.r.t.  $Q$  in  $F$  such that  $W' \supseteq W$  and  $f'|_W = f$ . Let the  $Q$ -list of  $F$  be*

$$\text{LIST}_{\vec{\tau}, Q}(F) = \{(f, W) \mid (f, W) \text{ is maximal}\}.$$

**Lemma 3.17.** *Assume Hypothesis 3.6. For all  $\delta > 0$  there are constants  $C > 0$  and  $q, r \in \mathbb{N}$ , a function  $\alpha(\cdot) > 0$ , and set  $\tau_r = C$  and  $\tau_i = 10^{-9}(\tau_{i+1})^{12}$ , for  $0 \leq i < r$  such that for all sufficiently large  $\ell > 0$ , for all sufficiently large  $n$ , the following holds. Let  $X$  be an  $n$ -dimensional vector space over  $\mathbb{F}_2$  and let  $F$  be an assignment over  $Gr(X, \ell)$ .*

- If  $\text{agreement}(F) \geq \delta$  then  $\Pr_{Q \in \text{Gr}(X, q)} \left[ \text{LIST}_{\vec{Q}}^{\vec{\tau}}(F) \neq \phi \right] \geq \alpha(\ell)$ .
- For all  $Q$ ,  $\left| \text{LIST}_{\vec{Q}}^{\vec{\tau}}(F) \right| \leq 2^q \cdot 2^{8r^2\ell} / C^{\text{exp}(r)}$ .

Before proving the lemma, we next show that if the given assignment  $F[\cdot]$  is invariant (defined shortly below) with respect to a subspace  $H$  and a linear function  $h : H \rightarrow \mathbb{F}_2$  then for any  $Q$  and any  $(f, W)$  in the  $Q$ -list of  $F$ , it must be that  $f_H = h$ .

**Definition 3.18** (Side Condition). *A side condition is a pair  $(H, h)$  where  $H \subseteq X$  and  $h : H \rightarrow \mathbb{F}_2$  is a linear function. For a subspace  $H \subseteq Y \subseteq X$ , a linear function  $f : Y \rightarrow \mathbb{F}_2$  is said to respect the side condition  $(H, h)$  if  $f|_H = h$ . We will assume that  $\dim(H) \leq \frac{n}{2}$ .*

**Definition 3.19** (Invariant Assignment). *Let  $F$  be an assignment over  $\text{Gr}(X, \ell)$  and let  $(H, h)$  be a side condition.  $F$  is  $(H, h)$ -invariant if for every space  $K = L + H$ , where  $L \in \text{Gr}(X, \ell)$  and  $H \cap L = \phi$ , there is a linear function  $f : K \rightarrow \mathbb{F}_2$  such that  $f|_H = h$  and for every  $L'$  such that  $L' + H = K$ ,  $f|_{L'} = F[L']$ .*

We can think of an invariant assignment as follows. Partition the set of subspaces  $\text{Gr}(X, \ell)$  into equivalence classes according to the value of  $L + H$ . Each equivalence class  $K = L + H \in \text{Gr}(X/H, \ell)$  is assigned a function  $\tilde{F}[K] : K \rightarrow \mathbb{F}_2$ , and then to compute  $F[L]$  one computes  $K = L + H$ , looks up the function  $\tilde{F}[K]$  and outputs its restriction to  $L \subseteq K$ .

**Lemma 3.20.** *Suppose  $F$  is an assignment for  $\text{Gr}(X, \ell)$ , that is  $(H, h)$ -invariant. Then for any  $Q$  and any  $(g, W) \in \text{LIST}_{\vec{Q}}^{\vec{\tau}}(F)$ , it must be that  $W \supseteq H$  and  $f_H = h$ .*

Next we turn to proving the lemmas.

*Proof of Lemma 3.17.* The first item follows immediately from Lemma 3.7, which relies on the main hypothesis, since  $\tau_i \geq C$  for all  $0 \leq i \leq r$ . The second item essentially follows from Lemma 3.12, but first we must set it up. Let  $\varphi$  be the canonical bijection from  $\{L \in \text{Gr}(X, \ell) \mid L \supseteq Q\}$  to  $\text{Gr}(X/Q, \ell - q)$  which maps every  $L \supseteq Q$  to  $L/Q$ . Define an assignment  $F_Q$  for  $\text{Gr}(X/Q, \ell - q)$  from  $F$  as follows.

For every  $L$  such that  $F[L]|_Q = 0$  we define  $F_Q(L/Q) = g$  where  $g = \widetilde{F[L]} : L/Q \rightarrow \mathbb{F}_2$  is the function such that  $g(x + Q) = F[L](x)$  for all  $x \in L$ , guaranteed by Claim 2.4.

For spaces  $L$  with  $F[L]|_Q \neq 0$ , we shift it as follows. Let  $p_1, \dots, p_{2^q} : X \rightarrow \mathbb{F}_2$  be arbitrary linear functions that are distinct on  $Q$  (i.e. their restriction to  $Q$  attains all possible linear functions on  $Q$ ). For  $L$  such that  $F[L]|_Q = p_j$  define  $F'[L] = F[L] + p_j|_L$ .  $F'$  now has the property that for every  $L \supseteq Q$ ,  $F'[L]|_Q = 0$ , and we set  $F_Q[L] := \widetilde{F'[L]}$  for all  $L \supseteq Q$ .

Let  $(f, W) \in \text{LIST}_{\vec{Q}}^{\vec{\tau}}(F)$ , and let  $p_j$  be such that  $(f + p_j)|_Q = 0$ . Let  $\widetilde{f + p_j} : X/Q \rightarrow \mathbb{F}_2$  be the linear function guaranteed in Claim 2.4 to obey

$$\forall x \in X, \quad \widetilde{f + p_j}(\varphi(x)) = (f + p_j)(x).$$

For every  $Q \subseteq L \subseteq W$  such that  $F[L] = f|_L$  we get  $F_Q[L] = \widetilde{F'[L]} = \widetilde{f + p_j}|_{L/Q}$ , so we conclude that  $(\widetilde{f + p_j}, W) \in \text{LIST}_{\vec{Q}}^{\vec{\tau}}(F_Q)$ .

On the other hand, each  $(g, W) \in \text{LIST}_{\vec{Q}}^{\vec{\tau}}(F_Q)$  can come from at most  $2^q$  different pairs  $(f_j, W)$ , one per restriction to  $Q$ . So we conclude that

$$\left| \text{LIST}_{\vec{Q}}^{\vec{\tau}}(F) \right| \leq 2^q \cdot \left| \text{LIST}_{\vec{Q}}^{\vec{\tau}}(F_Q) \right|$$

and the right hand side is bounded by Lemma 3.12. □

*Proof of Lemma 3.20.* By assumption, for  $i = \text{codim}(W)$ ,

$$\Pr_{Q \subseteq L \subseteq W} [F[L] = g|_L] \geq \tau_i \quad (10)$$

Let  $H' = W \cap H$ . We show first that  $g|_{H'} = h|_{H'}$ , namely  $g$  respects the side condition  $(H', h|_{H'})$ . Assume on the contrary that  $g|_{H'} \neq h|_{H'}$ . Partition the set of  $\ell$ -spaces  $L$  such that  $Q \subseteq L \subseteq W$  into classes (almost all of them satisfy  $L \cap H' = \{0\}$ , so assume as such) into equivalence classes by the mapping  $\varphi : Gr(X, \ell) \rightarrow Gr(X/H', \ell)$  through  $\varphi(L) = L + H'$ .

Fix some class  $\tilde{L}$ , let  $L_1 \in \varphi^{-1}(\tilde{L})$  and let  $Y = L_1 + H' \subseteq L + H$ . Since  $F$  is  $(H, h)$ -invariant, there is a function  $f : Y \rightarrow \mathbb{F}_2$  such that  $f|_{H'} = h|_{H'}$  and for any  $L' \in \varphi^{-1}(\tilde{L})$  also  $F[L'] = f|_{L'}$ . Since  $g_{Q,W}|_{H'} \neq h$  it must be that  $g_{Q,W}|_Y \neq f$ .

Therefore, for a random  $L$  such that  $L + H' = Y$ , one could have the agreement  $g_{Q,W}|_L = f|_L = F[L]$  with probability at most  $2^{a-\ell}$ . Since  $\ell$  could have been chosen large enough, one contradicts Equation (10).

It is clear that  $g_{Q,W}$  can be extended uniquely to  $g : W' + H \rightarrow \mathbb{F}_2$  so that  $g|_H = h$  and  $g|_{W'} = g_{Q,W}$ . This is a function that satisfies the full side condition. Clearly the partition of subspaces  $L$  into classes  $L + H' \in Gr(X/H', \ell)$  is a refinement of the partition into classes  $L + H \in Gr(X/H, \ell)$ . For every class  $L + H$ , the  $(H, h)$  invariance of  $F$  implies that there is a single function  $f$  such that  $f|_L = F[L]$  for all  $L$  in the class. This implies that for all of the sub-classes  $L' + H' \subseteq L + H$  the same must hold. So the agreement on each class is again either negligible or perfect. Hence Equation (10) implies that (the same statement with  $W$  replaced by  $\tilde{W} = W + H$  and  $\text{codim}(\tilde{W}) < i$ )

$$\Pr_{Q \subseteq L \subseteq \tilde{W}} [g|_L = F[L]] \geq \tau_i/2 > \tau_{i-1} \quad (11)$$

This contradicts the maximality of  $(g, W)$  in  $\text{LIST}_{\tilde{Q}}^{\tau}(F)$ , so it must have been that  $W \supseteq H$  in the first place.  $\square$

## 4 The Reduction

In this section we elaborate on the reduction from 3-Lin to 2-to-1 Games problem that proves Theorem 1.2. The reduction is sketched in the introduction as a two prover game. The full reduction is described here formally in Section 4.2 with careful description of the folding. We then discuss some properties of the construction that are important for proving completeness and soundness.

### 4.1 Starting Point: The Gap3Lin Problem

An instance of the 3-Lin problem is  $(X, \text{Eq})$  where  $X$  is a set of variables taking values over  $\mathbb{F}_2$  and  $\text{Eq}$  is a set of linear equations over  $\mathbb{F}_2$  such that every equation depends on three variables in  $X$ . The goal is to find an assignment to the variables so as to maximize the fraction of equations satisfied. Let  $\text{Gap3Lin}(c, s)$  denote the promise gap-problem where the task is to distinguish whether a given 3-Lin instance has an assignment satisfying at least  $c$  fraction of the equations or whether every assignment satisfies at most  $s$  fraction of the equations. A celebrated result of Håstad [13] shows that for every positive constant  $\varepsilon$ ,  $\text{Gap3Lin}(1 - \varepsilon, \frac{1}{2} + \varepsilon)$  is NP-hard. For our purposes, it is convenient to work with a 3-Lin instance that is regular, i.e. every equation contains three distinct variables, every variable appears in exactly, say 5, equations, and two distinct equations share at most one variable. Starting with Håstad's result, it is a routine exercise to show that:

**Theorem 4.1.** *There is an absolute constant  $s^* < 1$  such that for every constant  $\varepsilon > 0$ ,  $\text{Gap3Lin}(1 - \varepsilon, s^*)$  is NP-hard on regular instances.*

## 4.2 The Full Reduction

In this section we construct the instance of the 2-to-1 game  $G_{\text{folded}}$ . The construction follows the reduction described in the introduction, but is written formally as a constraint graph and not a two player game.

We first describe a constraint graph that is not folded,  $G_{\text{unfolded}} = (A, B, E, \Pi, \Sigma_A, \Sigma_B)$ , and then change it into the final instance  $G_{\text{folded}} = (\tilde{A}, B, \tilde{E}, \tilde{\Pi}, \Sigma_A, \Sigma_B)$  by identifying sets of vertices in  $A$ . Details follow.

**The vertices.** Let  $\mathcal{U}$  be the set of all  $k$ -tuples of equations  $U = (e_1, \dots, e_k)$  from the regular  $\text{Gap3Lin}$  instance  $(X, \text{eq})$  that are “legitimate”, namely such that (a) the equations  $e_1, \dots, e_k$  are distinct and do not share variables and (b) for any pair of variables  $x \in e_i$  and  $y \in e_j$ ,  $i \neq j$ ,  $x, y$  do not appear together in any equation in the instance  $(X, \text{eq})$ . Due to regularity of the instance  $(X, \text{eq})$ , every variable appears in a constant number of equations and hence the fraction of  $U$  that are not legitimate is negligible, i.e.  $O(\frac{k^2}{|X|})$ , and dropping these does not affect our analysis.

For every  $U \in \mathcal{U}$  we denote by  $X_U \subseteq \mathbb{F}_2^n$  the linear subspace whose elements have support in  $U$ . Similarly, let  $\mathcal{V}$  be the collection of all sets  $V$  of up to  $3k$  variables. Let  $X_V \subseteq \mathbb{F}_2^n$  the linear subspace whose elements have support in  $V$ . For each  $U \in \mathcal{U}$  let

$$H_U = \text{Span}(x_e : e \in U)$$

where  $x_e \in \mathbb{F}_2^n$  is the vector that is zero on all but three coordinates, corresponding to the variables participating in the equation  $e$ . For each  $U \in \mathcal{U}$  we will have a block of vertices corresponding to the elements of  $\text{Gr}(X_U, \ell)$  and let

$$A = \{(U, L) \mid U \in \mathcal{U}, L \in \text{Gr}(X_U, \ell), L \cap H_U = \{0\}\}$$

and similarly

$$B = \{(V, L') \mid V \in \mathcal{V}, L' \in \text{Gr}(X_V, \ell - 1)\}.$$

**The edges.** The edges are described through a random process that outputs a pair  $(U, L), (V, L')$ . The probability of outputting a certain pair is the weight on the corresponding edge.

1. Choose a  $k$ -tuple  $U = (e_1, \dots, e_k) \in \mathcal{U}$  uniformly at random and then construct a  $k$ -tuple  $V$  such that independently for  $1 \leq i \leq k$ , the  $i^{\text{th}}$  element of  $V$  is the equation  $e_i$  with probability  $1 - \beta$  and is a variable in the equation  $e_i$  with probability  $\beta$ .
2. Choose a random  $L' \in \text{Gr}(X_V, \ell - 1)$  and a random  $L \in \text{Gr}(X_U, \ell)$  such that  $L' \subseteq L$ .
3. Output  $(U, L), (V, L')$ .

**Constraints.** We let  $\Sigma_A = \{0, 1\}^\ell$  and  $\Sigma_B = \{0, 1\}^{\ell-1}$ .  $\sigma \in \Sigma_A$  is interpreted as a linear function  $\sigma : L \rightarrow \mathbb{F}_2$  and  $\sigma \in \Sigma_B$  is interpreted as a linear function  $\sigma : L' \rightarrow \mathbb{F}_2$ . This can be done, say, by fixing an arbitrary basis for each subspace  $L, L'$ . The constraint between  $(U, L)$  and  $(V, L')$  accepts pairs  $(\sigma, \sigma')$  iff  $\sigma|_{L'} = \sigma'$ . It is clear that this is a  $2 : 1$  constraint.

This completes the construction of  $G_{\text{unfolded}}$ . At this point the construction does not yet make sense because it does not take into account the constraints imposed by the equations in the 3LIN instance at all. This will be achieved next, by folding.

**Folding.** We now turn to define  $G_{\text{folded}} = (\tilde{A}, B, \tilde{E}, \tilde{\Pi}, \Sigma_A, \Sigma_B)$ . We partition the set  $A$  into equivalence classes,  $A = \mathcal{C}_1 \sqcup \mathcal{C}_2 \sqcup \dots$ . This is done in a way that ensures that a linear function  $\sigma$  assigned to any  $(U_0, L_0) \in \mathcal{C}$  uniquely determines a linear function assigned to every other  $(U, L) \in \mathcal{C}$ . For each  $U \in \mathcal{U}$  define the linear function

$$h_U : H_U \rightarrow \mathbb{F}_2, \quad h_U(x_e) = b_e, \quad \forall e \in U \quad (12)$$

where  $b_e \in \{0, 1\}$  is the RHS of the equation  $e$ . Given  $(U_0, L_0) \in A$ , we define  $\mathcal{C}(U_0, L_0)$  by

$$\mathcal{C}(U_0, L_0) = \{(U, L) \in A \mid L + H_U + H_{U_0} = L_0 + H_U + H_{U_0}\}$$

The following lemma implies that each  $\mathcal{C}$  is an equivalence class,

**Lemma 4.1.** *For  $(U, L)$  there is an  $\ell$ -dimensional subspace  $R \subseteq \{0, 1\}^n$  such that*

$$\mathcal{C}(U, L) = \{(U', L') \in A \mid L' + H_{U'} = R + H_{U'}\}.$$

Furthermore, for any two vertices  $(U_1, L_1), (U_2, L_2) \in A$ , either  $\mathcal{C}(U_1, L_1) = \mathcal{C}(U_2, L_2)$  or  $\mathcal{C}(U_1, L_1) \cap \mathcal{C}(U_2, L_2) = \phi$ .

We defer the proof of the lemma to Subsection 4.3, after we've established some properties of our folding. Now define

$$\tilde{A} = \{\mathcal{C}(U, L) \mid (U, L) \in A\}.$$

We further define the set of edges  $\tilde{E}$  by a random process: choose a random edge  $((U, L), (V, L')) \in E$  and then output  $(\mathcal{C}(U, L), (V, L')) \in \tilde{A} \times B$ .

An assignment for  $\mathcal{C}$  will be interpreted as follows. For each  $\mathcal{C}$  we fix some  $R$  as guaranteed by Lemma 4.1 (there may be more than one  $R$  per a given class and we fix one arbitrarily for each  $\mathcal{C}$ ), and then the assignment  $\sigma$  is interpreted as a linear function over  $R$ ,  $\sigma : R \rightarrow \mathbb{F}_2$ . In order to define the constraint on the edge between  $\mathcal{C}$  and  $(V, L')$  we explain how an assignment to  $\tilde{A}$  is unfolded into an assignment for  $A$ .

Recall from Definition 2.1 that if  $L \cap H = \phi$  then any linear function  $f : L \rightarrow \mathbb{F}_2$  has a unique  $(H, h)$ -extension,  $\tilde{f} : L + H \rightarrow \mathbb{F}_2$ , where  $\tilde{f}(z) = f(x) + h(y)$  and where  $z = x + y$  is the unique way to write  $z$  as a sum of  $x \in L$  and  $y \in H$ . This allows us to make the following definition,

**Definition 4.2 (Unfolding).** *Let  $R$  be the representative of an equivalence class  $\mathcal{C}$ . An assignment for  $\mathcal{C}$  is a linear function  $\sigma : R \rightarrow \mathbb{F}_2$ . For every  $(U, L) \in \mathcal{C}$  we unfold  $\sigma = \tilde{\mathcal{A}}(\mathcal{C})$  by defining,  $\mathcal{A}(U, L) = \tilde{\sigma}_U|_L$ , where  $\tilde{\sigma}_U$  is the  $(H_U, h_U)$  extension of  $\sigma$ , and where  $h_U : H_U \rightarrow \mathbb{F}_2$  is the function from (12).*

The constraints in  $G_{\text{folded}}$  are defined to be the aggregate of the constraints in  $G_{\text{unfolded}}$ : a constraint between  $\mathcal{C}$  and  $(V, L')$  accepts a pair of assignments  $(\sigma, \sigma')$  if the unfolding of  $\sigma$  satisfies *all* of the constraints between members of  $\mathcal{C}$  and the assignment  $\sigma'$  for  $(V, L')$ . The weights are summed up as well. By definition there is at least one  $(U, L) \in \mathcal{C}$  such that  $G_{\text{unfolded}}$  has an edge between  $(U, L)$  and  $(V, L')$ , but there could be more than one.

It is clear that the constraints are *at most* 2 : 1 but it might seem that some or many of the constraints are completely unsatisfiable. The following claim implies that this is not the case.

**Claim 4.3.** *Let  $\mathcal{C} \in \tilde{A}$ , let  $\sigma = \tilde{\mathcal{A}}(\mathcal{C})$ , and for each  $(U, L) \in \mathcal{C}$  let  $\mathcal{A}(U, L) = \tilde{\sigma}_U|_L$  be its unfolding. Then for any subspace  $D$  and for any  $(U_1, L_1), (U_2, L_2) \in \mathcal{C}$  such that  $D \subseteq L_1 \cap L_2$ ,  $\mathcal{A}(U_1, L_1)|_D = \mathcal{A}(U_2, L_2)|_D$ .*

*Proof.* The claim would follow if we show for every  $U_1, U_2$ , denoting  $H = H_{U_1} + H_{U_2}$ , there is a unique linear function  $h : H \rightarrow \mathbb{F}_2$  such that  $h|_{H_{U_1}} = h_{U_1}$  and  $h|_{H_{U_2}} = h_{U_2}$ .

This is due to the following. Let  $g : R + H \rightarrow \mathbb{F}_2$  be the  $(H, h)$  extension of  $\sigma : R \rightarrow \mathbb{F}_2$  ( $g$  exists since  $R \cap H = \{0\}$ ) and observe that  $g|_{L_i} = A(U_i, L_i)$  for  $i = 1, 2$ , so they must coincide on  $D = L_1 \cap L_2$ .

Returning to the existence of  $h$ , we must check there are no contradictions between the requirements. This follows by inspecting the intersection structure of  $U_1, U_2$ . Suppose  $U_1$  is the set of variables of equations  $e_1, \dots, e_k$  and  $U_2$  is the set of variables of  $e'_1, \dots, e'_k$ . The equations can be reordered so that for all  $i \neq j$ ,  $e_i \cap e'_j = \emptyset$ , and for all  $i$ , either  $e_i = e'_i$ , or  $|e_i \cap e'_i| = 1$  or  $e_i \cap e'_i = \emptyset$ . This means that the collection  $\{x_e \mid e = e_i \text{ or } e = e'_i\}$  consists of linearly independent vectors (some repeating) and the function  $h$  is well defined.  $\square$

### 4.3 Properties of the construction due to folding

In this subsection we observe some important properties of the construction that come from the folding, leading up to a proof of Lemma 4.1.

**Definition 4.4** (Singled-Out Coordinates). *Fix  $(U, L) \in A$ . For each  $i \in U$  there is a single equation containing  $i$  whose variables are contained in  $U$ . For each point  $x \in L$  let*

$$I_U(x) = \{i \in [n] \mid x_i \neq x_j = x_k, \text{ where } \{i, j, k\} \text{ are the variables of the unique equation containing } i\}$$

*Further, we consider all the coordinates that are singled out in a class,*

$$I_U(L) = \bigcup_{x \in L} I_U(x), \quad \text{and} \quad I(\mathcal{C}) = \bigcup_{(U, L) \in \mathcal{C}} I_U(L). \quad (13)$$

**Claim 4.5.** *If  $(U_1, L_1), (U_2, L_2) \in \mathcal{C}$  then  $I_{U_1}(L_1) = I_{U_2}(L_2)$ .*

The claim implies that  $I(\mathcal{C}) = I_U(L)$  for any  $(U, L) \in \mathcal{C}$  (making the union in (13) degenerate).

*Proof.* We show that if  $i \in I_{U_1}(L_1)$  then  $i \in I_{U_2}(L_2)$ , and the claim will follow from symmetry. So let  $i \in I_{U_1}(L_1)$ , let  $e = \{i, j, k\}$  be the equation in  $U_1$  that contains it, and let  $x \in L_1$  be such that  $i \in I_{U_1}(x)$ , that is  $x_i \neq x_j = x_k$ . By assumption  $x \in L_1 + H_1 \subseteq L_1 + H_1 + H_2 = L_2 + H_1 + H_2$  so we can write  $x = x^1 + x^2$  where  $x^1 \in H_1$  and  $x^2 \in L_2 + H_2$ . Since  $x^1 \in H_1$ , we know that  $x^1_i = x^1_j = x^1_k$  (since  $ijk \in U_1$  and all equations are disjoint). This means, since  $x^2 = x - x^1$ , that  $(x^2)_i \neq (x^2)_j = (x^2)_k$ . We will show that  $i \in I_{U_2}(x^2) \subseteq I_{U_2}(L_2)$ . If  $\{ijk\} \subseteq U_2$  then this holds. If not, then it must be that  $(x^2)_{i,j,k} = 100$  (the option of  $(x^2)_{i,j,k} = 011$  is ruled out because  $U_2$  cannot have equations containing  $j$  and  $k$  without containing  $i, j, k$ . This is because we dropped the illegitimate  $k$ -tuples of equations.). Since  $(x^2)_i = 1$ , there must be some equation  $\{i, j', k'\}$  that is contained in  $U_2$ , such that  $j', k' \notin \{i, j, k\}$ . It remains to observe that  $(x^2)_{j', k'} = 00$ . This is because  $j', k' \notin U_1$  implies that  $x_{j', k'} = 00 = (x^1)_{j', k'}$ . Therefore,  $(x^2)_{j', k'} = 00$  so  $(x^2)_i \neq (x^2)_{j'} = (x^2)_{k'}$  and thus  $i \in I_{U_2}(x^2)$ .  $\square$

**Definition 4.6.** *A vector  $z \in L \subseteq X_U$  is called reduced w.r.t.  $\mathcal{C} = \mathcal{C}(U, L)$  if in every equation  $e \subseteq U$  with variables  $i, j, k$  such that  $e \not\subseteq I(\mathcal{C})$ ,  $z_{i,j,k}$  has at most one 1 coordinate.*

Clearly for every  $x \in X_U$  there is some  $y \in H_U$  such that  $r = x - y$  is reduced. Moreover,

**Claim 4.7.** *For every  $(U, L) \in A$  there is some  $(U, R) \in \mathcal{C}(U, L)$  such that  $R$  is a subspace all of whose vectors are reduced.*

*Proof.* Let  $b_1, \dots, b_\ell$  be a basis for  $L$ , and let  $r_i = b_i + y$  for  $y \in H_U$  and  $r_i$  reduced. Let  $R = \text{Span}(r_1, \dots, r_\ell)$ . Since  $L \cap H = 0$  we get  $\dim(R) = \dim(L)$ . Finally, note also that if  $r, r'$  are reduced, then  $r + r'$  is reduced as well: let  $e$  be an equation with variables indexed  $i, j, k$  in  $U$ . Since  $r$  and  $r'$  have at most one 1 coordinate in  $\{i, j, k\}$ ,  $r + r'$  has at most two 1 coordinates in  $\{i, j, k\}$ . But if it has two, the variable with 0 coordinate is singled out in  $r + r'$ , while the two other variables are singled out in  $r$  and  $r'$ , which implies that  $e \subseteq I$ .  $\square$

We can now prove Lemma 4.1.

*Proof.* Fix  $(U, L)$  and let  $\mathcal{C} = \mathcal{C}(U, L)$ . By definition  $\mathcal{C}(U, L) = \{(U', L') \mid L + H_U + H_{U'} = L' + H_U + H_{U'}\}$ . Let  $R'$  be a reduced space such that  $(U, R) \in \mathcal{C}(U, L)$ , namely  $R + H_U = L + H_U$ . Such a space  $R$  exists by the previous claim. We must prove

$$\mathcal{C}(U, L) = \{(U', L') \in \mathcal{A} \mid L' + H_{U'} = R + H_{U'}\}.$$

The  $\supseteq$  direction is clear because  $L' + H_{U'} = R + H_{U'}$  implies

$$L' + H_{U'} + H_U = R + H_{U'} + H_U = L + H_{U'} + H_U.$$

To prove  $\subseteq$  we will show that every  $(U', L') \in \mathcal{C}(U, L)$  indeed satisfies that  $L' + H_{U'} = R + H_{U'}$ . Let  $R'$  be a reduced space such that  $R' + H_{U'} = L' + H_{U'}$ . We first claim that  $R + H_{\mathcal{C}} = R' + H_{\mathcal{C}}$ , where  $H_{\mathcal{C}} = \text{Span}(x_e : e \subseteq I(\mathcal{C}))$ . Let  $H = H_U + H_{U'}$ . We know

$$R + H = L + H = L' + H = R' + H$$

So for any vector  $r \in R$  we can write  $r = r' + y$  where  $y \in H$ . Since both  $r, r'$  are reduced, we claim that  $y$  in fact must be in  $H_{\mathcal{C}} = \text{Span}(x_e : e \subseteq I(\mathcal{C}))$ . Since  $I(\mathcal{C}) = I_U(L) = I_{U'}(L')$  by Claim 4.5, clearly  $H_{\mathcal{C}} \subseteq H_U \cap H_{U'}$ . Moreover, let  $e = \{i, j, k\} \subseteq U \cup U'$  be an equation such that  $y_{ijk} \neq 0$ , so  $y$  must have at least two 1's in coordinates  $ijk$  (because if  $\{ijk\} \subseteq U$  there can be at most one equation from  $U'$  that intersects  $\{ijk\}$  non-trivially, and this equation must have exactly one of  $i, j$ , or  $k$ . Similarly if  $\{ijk\} \subseteq U'$ ). Since  $r, r'$  are reduced, if  $y = r + r'$  has weight more than 1 on  $\{ijk\}$  it means that they single out two distinct indices from  $\{ijk\}$  so  $\{ijk\} \subseteq I(\mathcal{C})$ . Thus  $R \subseteq R' + H_{\mathcal{C}}$  which proves, by symmetry, that  $R + H_{\mathcal{C}} = R' + H_{\mathcal{C}}$ .

This proves the first part of the lemma because  $L' + H_{U'} = R' + H_{U'} = R + H_{U'}$ .

For the ‘‘furthermore’’ part, suppose that for  $i = 1, 2$ ,  $\mathcal{C}_i$  is a class with a reduced space  $R_i$ . We show that if  $\mathcal{C}_1 \cap \mathcal{C}_2 \neq \emptyset$ , then  $\mathcal{C}_1 = \mathcal{C}_2$ . So let  $(U, L) \in \mathcal{C}_1 \cap \mathcal{C}_2$ . Then  $R_1 + H_U = L + H_U = R_2 + H_U$ . We see that  $(U, R_2) \in \mathcal{C}_1$  and by Claim 4.5  $I(\mathcal{C}_1) = I_U(R_1) = I_U(R_2) = I(\mathcal{C}_2)$ . By arguments identical to those in the first part of the proof, this implies that  $R_1 + H_{12} = R_2 + H_{12}$  where  $H_{12} = \text{Span}(x_e : e \subseteq I(\mathcal{C}_1) = I(\mathcal{C}_2))$ . Now every  $(U_1, L_1) \in \mathcal{C}_1$  obeys  $L_1 + H_{U_1} = R_1 + H_{U_1}$  and since  $H_{12} \subseteq H_{U_1}$ , we can plug in  $R_1 + H_{12} + H_{U_1} = R_2 + H_{12} + H_{U_1} = R_2 + H_{U_1}$  showing that  $(U_1, L_1) \in \mathcal{C}_2$ , so  $\mathcal{C}_1 \subseteq \mathcal{C}_2$  and by symmetry  $\mathcal{C}_1 = \mathcal{C}_2$ .  $\square$

#### 4.4 Covering Property

We state the covering property as described in [18] and a further strengthening of it. The covering property shows that two distributions over  $\ell$ -dimensional subspaces of  $X_U$  are close in statistical distance.

**Definition 4.8.** Let  $U \in \mathcal{U}$ . Let  $\ell \geq 1$  be an integer. Let  $\mathcal{L}, \mathcal{L}'$  be distributions over  $\ell$ -dimensional subspaces of  $X_U$  sampled as follows.

- $\mathcal{L}$ : Choose a uniformly random  $\ell$ -dimensional subspace of  $X_U$ .
- $\mathcal{L}'$ : Choose a random  $V \subseteq U$  as in the edge distribution of  $G_{\text{unfolded}}$ , and then choose a uniformly random  $\ell$ -dimensional subspace of  $X_V$ .

**Lemma 4.9.** *Suppose  $2^\ell \beta \leq \frac{1}{8}$ . Let  $\mathcal{L}, \mathcal{L}'$  be distributions over  $\ell$ -dimensional subspaces over  $X_U$  sampled as in Definition 4.8. Then the statistical distance between  $\mathcal{L}, \mathcal{L}'$  is bounded as*

$$\text{SD}(\mathcal{L}, \mathcal{L}') \leq \beta \sqrt{k} \cdot 2^{\ell+4}.$$

**Lemma 4.10.** *Let  $0 \leq q \leq \ell - 1$  be an integer. Let  $Q$  be  $q$ -dimensional subspace of  $X_U$ . Let  $\mathcal{L}_Q$  and  $\mathcal{L}'_Q$  be the distributions  $\mathcal{L}$  and  $\mathcal{L}'$  conditioned on the event that a sampled  $\ell$ -subspace  $L$  contains  $Q$ . Suppose  $2^\ell \beta \leq \frac{1}{8}$ . Then for at least  $1 - \sqrt{\beta} k^{\frac{1}{4}}$  fraction of  $Q$ ,*

$$\text{SD}(\mathcal{L}_Q, \mathcal{L}'_Q) \leq \sqrt{\beta} k^{\frac{1}{4}} \cdot 2^{\ell+5}. \quad (14)$$

We call such subspaces  $Q$  smooth.

Lemmas above appear as [18, Lemma 4.6, 4.7]. We need a further strengthening as stated below. Noting that  $\mathcal{L}_Q$  is a uniform distribution on  $\text{Zoom}[Q, \{0, 1\}^U]$ , that  $\mathcal{L}_{Q,W}$  is a uniform distribution on  $\text{Zoom}[Q, W]$ , and for subspace  $W$  with co-dimension  $r$ ,  $\text{Zoom}[Q, W]$  has cardinality essentially  $2^{-r(\ell-q)}$  times that of  $\text{Zoom}[Q, \{0, 1\}^U]$ , the lemma below follows immediately from Lemma 4.10 above. We skip the self-evident proof.

**Lemma 4.11.** *Let the notation and parameters be as in the statement of Lemmas 4.9, 4.10 above. Let  $Q$  denote a smooth subspace. In addition, let  $r \geq 0$  be an integer and  $W \subseteq \{0, 1\}^U$  be a subspace of co-dimension  $r$  that contains  $Q$ . Let  $\mathcal{L}_{Q,W}$  and  $\mathcal{L}'_{Q,W}$  be the distributions  $\mathcal{L}$  and  $\mathcal{L}'$  conditioned on the event that a sampled  $\ell$ -subspace  $L$  contains  $Q$  and is contained in  $W$ . Then*

$$\text{SD}(\mathcal{L}_{Q,W}, \mathcal{L}'_{Q,W}) \leq \sqrt{\beta} k^{\frac{1}{4}} \cdot 2^{\ell+5} \cdot 2^{r(\ell-q)+5}. \quad (15)$$

## 5 Completeness and Soundness of the Construction

### 5.1 Completeness

Let us now prove the completeness of the construction, namely

**Lemma 5.1** (Completeness). *Suppose there is an assignment for the variables of the 3LIN instance,  $(X, \text{Eq})$ , satisfying  $1 - \varepsilon$  of the equations. Then there is an assignment to at least  $1 - k\varepsilon$  fraction of the vertices in  $G_{\text{folded}}$  such that all constraints induced on these variables are satisfied. This assignment satisfies  $1 - k\varepsilon$  fraction of the constraints.*

We prove the lemma in the remaining of this section. We first (easily) define an assignment for  $G_{\text{unfolded}}$ ,  $\mathcal{A} : A \rightarrow \{0, 1\}^\ell$  and  $\mathcal{B} : B \rightarrow \{0, 1\}^{\ell-1}$  that satisfies  $1 - k\varepsilon$  of the constraints. We will then convert  $\mathcal{A}$  to  $\tilde{\mathcal{A}}$ , so that  $\tilde{\mathcal{A}}, \mathcal{B}$  becomes an assignment for  $G_{\text{folded}}$ , and show that it satisfies many of the constraints of  $G_{\text{folded}}$ . This part involves some unusually non trivial arguments.

Let  $a : X \rightarrow \mathbb{F}_2$  be the assignment for  $(X, \text{Eq})$  promised in the lemma, and let  $\text{Eq}' \subseteq \text{Eq}$  be the set of equations that are *not* satisfied by  $a$ . We will also view  $a$  as a linear function  $a : \mathbb{F}_2^n \rightarrow \mathbb{F}_2$  by setting  $a(z_1, \dots, z_n) := \langle a, z \rangle$  (here  $\langle \cdot, \cdot \rangle$  denotes inner product over  $\mathbb{F}_2$ ).

For every  $U$ , if all of the equations in  $U$  are not in  $\text{Eq}'$ , then we assign  $\mathcal{A}(U, L) := a|_L$ . If  $U$  involves some unsatisfied equation, it seems tempting to leave it unassigned since these amount to a  $k\varepsilon$  fraction of  $\mathcal{U}$ , at most. This would be fine for  $G_{\text{unfolded}}$  however when we move to  $G_{\text{folded}}$ , it turns out likely that nearly every equivalence class  $\mathcal{C}$  contains some  $U$  that has an equation in  $\text{Eq}'$ . So we cannot afford to ignore these. Instead, we assign all  $(U, L)$  except those for which  $I_U(L)$  contains some equation from  $\text{Eq}'$ . Similarly we assign all  $(V, L')$  except those for which  $I_V(L')$  contains some equation from  $\text{Eq}'$ .

Let  $(U, L)$  be such that  $I_U(L)$  contains no equation from  $\text{Eq}'$ . Every  $x \in L$  can be written uniquely as a sum  $x = x_I + y$  where

$$x_I \in \text{Span} \{x_i \mid i \in I(\mathcal{C})\} \quad \text{and} \quad y \in \text{Span} \{x_e \mid e \subseteq U, e \not\subseteq I(\mathcal{C})\} \subseteq H_U$$

(Uniqueness is because these spaces intersect at  $\{0\}$ : the equations  $e \in U$  are pairwise disjoint and for each  $e \not\subseteq I(\mathcal{C})$  there can be at most a single  $i \in I \cap e$ .)

We define  $\sigma : L \rightarrow \mathbb{F}_2$  by setting for each  $x \in L$ ,

$$\sigma(x) := \langle a, x_I \rangle + h(y)$$

where  $h : H \rightarrow \mathbb{F}_2$  is the linear function defined by the RHS of the equations in  $U$ , that is  $h(x_e) = b_e$ . We define  $\mathcal{A}(U, L) = \sigma$ . The assignment to  $(V, L')$  is defined similarly. This completes the description of the assignment  $(\mathcal{A}, \mathcal{B})$  for  $G_{\text{unfolded}}$ .

**Claim 5.2.** *For  $(U, L)$  for which  $\mathcal{A}(U, L)$  is defined, all of the constraints of  $G_{\text{unfolded}}$  involving  $(U, L)$  are satisfied.*

*Proof.* Note that if  $(U, L)$  is assigned then so is  $(V, L')$  since  $V \subseteq U$  and  $L' \subseteq L$  implies that  $I_U(L) \supseteq I_V(L')$ . It follows directly from the definition that the assignments are consistent.  $\square$

We convert  $\mathcal{A}$  to  $\tilde{\mathcal{A}}$  using the assignment for the representatives: If  $\mathcal{C} \in \tilde{\mathcal{A}}$  is such that  $I(\mathcal{C})$  contains all three variables from some  $e \in \text{Eq}'$  we will *not* assign it. For every other  $\mathcal{C}$ , we let  $\tilde{\mathcal{A}}(\mathcal{C}) := \mathcal{A}(U_0, L_0)$  where  $(U_0, L_0)$  is the representative of  $\mathcal{C}$ . It remains to check two things,

1. For each assigned  $\mathcal{C}$ , the unfolding of  $\tilde{\mathcal{A}}(\mathcal{C})$  coincides with  $\mathcal{A}$  for every  $(U, L) \in \mathcal{C}$ . This implies, together with Claim 5.2, that for every assigned  $\mathcal{C}$ , all of the constraints incident on it are satisfied.
2. When choosing a random edge in  $G_{\text{unfolded}}$ , the probability that both endpoints are assigned is at least  $1 - k\varepsilon$ .

To see the first item, fix  $\mathcal{C}$  and a representative  $(U_0, L_0)$  and let us unfold  $\sigma : L_0 \rightarrow \mathbb{F}_2$  to some  $(U, L)$ . To do this we look at  $g_U : L_0 + H_{U_0} + H_U \rightarrow \mathbb{F}_2$  the unique linear function that extends  $\sigma$ . Tracing the definitions we see that the restriction of  $g_U$  to  $L$  is equal to  $\mathcal{A}(U, L)$ .

To see the second item, we observe that a random  $(U, L)$  is assigned as long as  $I_U(L)$  contains no equation from  $\text{Eq}'$ , but  $I_U(L) \subseteq U$  and  $U$  itself contains no equation from  $\text{Eq}'$  with probability at least  $1 - k\varepsilon$ .

## 5.2 Soundness

**Lemma 5.3** (Soundness). *Assume Hypothesis 3.6. For every  $\delta > 0$  there exists large enough  $\ell \ll k$ , such that given an assignment  $\tilde{\mathcal{A}}, \mathcal{B}$  for  $G_{\text{folded}}$  that satisfies  $\delta$  fraction of the constraints, there is an assignment for the 3LIN instance  $(X, \text{Eq})$  that satisfies more than  $s^*$  fraction of the equations.*

*Proof.* Let  $\tilde{\mathcal{A}}, \tilde{\mathcal{B}}$  be assignments that satisfy at least  $\delta$  fraction of the constraints. Let  $\mathcal{A}$  be the unfolding of  $\tilde{\mathcal{A}}$ , and from now on we consider the assignment  $\mathcal{A}, \mathcal{B}$  for  $G_{\text{unfolded}}$ .

For each  $U \in \mathcal{U}$ , let  $F_U[\cdot]$  be an assignment for  $Gr(X_U, \ell)$  defined by  $F_U[L] = \mathcal{A}(U, L)$ . Let  $h_U : H_U \rightarrow \mathbb{F}_2$  be the function defined by  $h(x_e) = b_e$  for each  $e \in U$ . It is clear by unpacking the definitions that the table  $F_U[\cdot]$  is invariant under  $(H_U, h_U)$  (explicitly: If  $L_1 + H_U = L_2 + H_U$  then both are equal to  $R + H_U$  and  $\tilde{\sigma} : R + H_U \rightarrow \mathbb{F}_2$  must equal the  $(H_U, h_U)$  extension of  $\mathcal{A}(U, L_1)$  as well as the  $(H_U, h_U)$  extension of  $\mathcal{A}(U, L_2)$ ).

We also define, for each  $V$ , an assignment  $F_V[\cdot]$  for  $Gr(X_V, \ell)$  as follows. For each  $L \in Gr(X_V, \ell)$ , we define  $F_V[L] := \mathcal{A}(U, L)$  where  $U \supseteq V$  can be chosen arbitrarily: From Claim 4.3 we see that this definition does not depend on the choice of  $U$  because  $\mathcal{A}$  is folded.

Let  $C > 0, q$ , and  $r$  be the numbers promised in Lemma 3.17 for agreement  $\delta^2/2$ . Set  $\tau_r = C$  and  $\tau_i = 10^{-9}(\tau_{i+1})^{12}$ , for  $0 \leq i < r$ . Furthermore, set  $\eta_r = \tau_0/2$  and  $\eta_i = 10^{-9}(\eta_{i+1})^{12}$ . Let  $\vec{\tau} = (\tau_0, \dots, \tau_r)$  and let  $\vec{\eta} = (\eta_0, \dots, \eta_r)$ .

For every  $U$  (resp.  $V$ ) and every subspace  $Q \subseteq X_U$  (resp.  $Q \subseteq X_V$ ) let  $\text{LIST}_{\vec{\tau}}^Q(F_U)$  (resp.  $M_{\vec{\eta}}^Q(F_V)$ ) be the list of maximal pairs, as per Definition 3.16. We know from Lemma 3.12 that this list has size at most  $2^{8r^2\ell}/C^{\text{exp}(r)}$ . Lemma 3.20 implies that every function in  $\text{LIST}_Q(F_U)$  must be invariant under  $(H_U, h_U)$ .

**Outer PCP game.** We prove soundness, following [18], by going through an outer PCP game as follows. Consider the following two player game that is based on the initial 3LIN instance  $(X, \text{Eq})$ .

- The verifier chooses  $U, V$  as in the edge distribution of  $G_{\text{unfolded}}$ . That is  $U$  is selected uniformly in  $\mathcal{U}$  and  $V$  comes from replacing each equation with probability  $\beta$  by a single variable.
- The verifier chooses  $Q \in Gr(X_V, q)$  and sends  $(U, Q)$  to the first player, and  $(V, Q)$  to the second player.
- Player 1 answers with  $a \in \{0, 1\}^U$  and player 2 answers with  $b \in \{0, 1\}^V$ . The verifier accepts iff  $a|_V = b$  and  $a$  satisfies all of the linear equations on  $U$ .

This game is essentially a parallel repetition of the 3LIN instance. If the players were not given  $Q$  then clearly if  $(X, \text{Eq})$  is far from satisfiable, then the players cannot succeed with probability more than  $\exp(-k)$ . The subspace  $Q$  does reveal some information to the players, but not too much (essentially,  $Q$  reveals less than  $(1 - \exp(-q\ell))$  fraction of the question-pairs, and this leaves sufficiently many hard question pairs).

**Lemma 5.4** (Soundness of Outer PCP, [18]). *If every assignment for  $(X, \text{Eq})$  satisfies at most  $s^*$  fraction of the equations, then the players have no strategy that succeeds with probability better than  $\varepsilon = \exp(-k/\text{exp}(q\ell))$ .*  $\square$

Our proof of soundness will proceed by extracting from  $\tilde{\mathcal{A}}, \tilde{\mathcal{B}}$  a strategy for the players in this game that succeeds with probability greater than an  $\varepsilon$ .

**Strategies of the players.** The first player, upon getting question  $(U, Q)$ , chooses a random element of  $(f, W) \in \text{LIST}_{\vec{\tau}}^Q(F_U)$ , where  $f : W \rightarrow \mathbb{F}_2$  is a linear function and  $Q \subseteq W \subseteq X_U$  has co-dimension at most  $r$ . The player chooses  $r$  bits to randomly extend  $f$  to a linear function on all of  $X_U$ , and outputs that as answer. More accurately, the player outputs a Boolean assignment for  $U$  that corresponds to this linear function. If  $\text{LIST}_Q(F_U) = \emptyset$  the player outputs a random assignment for  $U$  that satisfies the equations of  $U$ .

The second player does the same: upon getting question  $(V, Q)$  it randomly selects an element from  $\text{LIST}_{\vec{Q}}^{\vec{\eta}}(F_V)$ , randomly extends it to  $X_V$  and outputs that as the answer. If  $\text{LIST}_{\vec{Q}}^{\vec{\eta}}(F_V) = \phi$  the player outputs a random assignment for  $V$ .

**Analyzing the success probability of the provers.** We consider the following events that depend on the choice of  $U$  and  $Q$ :

1. Let  $E_1$  be the event that  $\text{agreement}(F_U) \geq \delta^2/4$ . Then  $\Pr[E_1] \geq \delta/2$ .
2. Let  $E_2$  be the event  $Q \in \text{Gr}(X_U, q)$  is smooth, namely (14) holds for  $Q$ . Then for every  $U$ ,  $\Pr[E_2] \geq 1 - \sqrt{\beta}k^{1/4}2^{\ell+5} \gg 1 - \alpha(\ell)/2$ .
3. Let  $E_3$  be the event that  $\text{LIST}_{\vec{Q}}^{\vec{\eta}}(F_U) \neq \phi$ . Then  $\Pr[E_3|E_1] \geq \alpha(\ell)$ .

Altogether this implies that  $\Pr[E_1 \wedge E_3] \geq \delta/2 \cdot \alpha(\ell)$ , and  $\Pr[E_1 \wedge \neg E_2] \leq \delta/2 \cdot \alpha(\ell)/2 \leq \delta\alpha(\ell)/4$  so

$$\Pr_{U, Q}[E_1 \wedge E_2 \wedge E_3] \geq \Pr[E_1 \wedge E_3] - \Pr[E_1 \wedge \neg E_2] \geq \delta\alpha(\ell)/4. \quad (16)$$

Before we prove the three items leading to (16) let us see how it implies soundness. Assume that  $E_1 \wedge E_2 \wedge E_3$  holds and suppose the first player chooses  $(f, W) \in \text{LIST}_{\vec{Q}}^{\vec{\eta}}(F_U)$  and answers according to it. (Recall that the player also tosses  $i = \text{codim}(W)$  additional random coins to complete  $f$  to a function on all of  $X_U$ ). By Lemma 3.20,  $W \supseteq H_U$  and  $f|_{H_U} = h_U$  (i.e.  $f$  satisfies the side condition), so regardless of the  $i \leq r$  coin tosses, the answer of the first player satisfies all of the equations of  $U$ . We now prove that there is a good chance that the second player's answer is consistent with  $f$ . Since  $(f, W) \in \text{LIST}_{\vec{Q}}^{\vec{\eta}}(F_U)$  we know, for  $i = \text{codim}(W)$ , that

$$\Pr_{Q \subseteq L \subseteq W}[F_U[L] = f|_L] \geq \tau_i.$$

By the covering property, Lemma 4.11, and since  $E_2$  holds, this is essentially the same, up to a negligible statistical distance, as the expectation over  $V$  conditioned on  $U$  of the probability

$$p(V) := \Pr_{Q \subseteq L \subseteq W \cap X_V}[F_V[L] = f|_L]$$

So for at least  $\tau_i/2$  of the neighbors  $V$  of  $U$ , we get that

$$\Pr_{Q \subseteq L \subseteq W \cap X_V}[F_V[L] = f|_L] \geq \tau_i/2.$$

Therefore  $(f|_{W \cap X_V}, W \cap X_V)$   $\vec{\eta}$ -occurs w.r.t.  $Q$  in  $F_V$  for the parameter vector  $\vec{\eta}$  as chosen above. In particular, there is some  $(f_1, W_1) \in \text{LIST}_{\vec{Q}}^{\vec{\eta}}(F_V)$  such that  $W_1 \supseteq W \cap X_V$  and  $f_1|_{W \cap X_V} = f|_{W \cap X_V}$ . The second player chooses  $(f_1, W_1)$  with probability at least  $1/M$  where  $M = \left| \text{LIST}_{\vec{Q}}^{\vec{\eta}}(F_V) \right| \leq 2^{8r^2\ell} 1/C^{\exp(r)}$ , and if this happens then with probability at least  $2^{-i} \geq 2^{-r}$  over the  $i$  random choices of the first player, the players win. We have shown that

$$\Pr[\text{The players win} | E_1 \wedge E_2 \wedge E_3] \geq 2^{-r} \cdot 2^{8r^2\ell} 1/\eta_r^{\exp(r)} = 1/\exp(\exp(r)).$$

where all hidden constants may depend on  $\delta$  and  $\ell$  but are independent of  $k$ . So for large enough  $k$  this contradicts the soundness of Lemma 5.4.

It remains to prove the three items leading to (16).

**Proof of items 1,2 and 3** Let  $p(U)$  denote the fraction of constraints satisfied by  $\mathcal{A}, \mathcal{B}$  after picking  $U$ . So  $\mathbb{E}_U [p(U)] \geq \delta$ . By an averaging argument, for at least  $\frac{\delta}{2}$  fraction of the tuples  $U$ , we have  $p(U) \geq \frac{\delta}{2}$ . We will show

**Claim 5.5.**  $\text{agreement}(F_U) \geq p(U)^2$ .

So with probability  $\geq \delta/2$  over the choice of  $U$  we have  $p(U) \geq \delta/2$ , which implies that  $E_1$  holds. If  $E_1$  holds, then by Lemma 3.17 there is probability at least  $\alpha(\ell)$  over the choice of  $Q \in \text{Gr}(X_U, q)$  that  $\text{LIST}_{\bar{Q}}(F_U) \neq \phi$ . Also, for every  $U$ , there is very high probability that  $E_2$  holds, by Lemma 4.9, so altogether this establishes (16).

*Proof of Claim 5.5.* For a fixed  $U$ , consider the distribution over  $(V, L') \in B$ , conditioned on  $U$ . The probability  $p(U)$  is equal to the probability that we choose  $V \subseteq U$  and then  $L'$  a subspace of  $V$ , and then a random space  $L \supseteq L'$  such that  $L \subseteq X_U$ . By the covering property (Lemma 4.9), if  $Q$  is smooth (which is implied by  $E_2$ ) then  $L'$  is distributed nearly uniformly in  $\text{Gr}(X_U, \ell - 1)$  and we will pretend it is exactly uniformly. Define a randomized assignment for  $L'$  by selecting a random  $V \subseteq U$  conditioned on  $L' \subseteq X_V$ , and setting  $F'_U[L']$  to be  $\mathcal{B}(V, L')$ .

Let  $L, L'$  be chosen by first choosing a random  $L \in \text{Gr}(X_U, \ell)$  and then a random  $L' \subseteq L$  of dimension  $\ell - 1$ .

$$\Pr_{L \supseteq L'} [F_U[L]|_{L'} = F'_U[L']] \geq p(U). \quad (17)$$

Let  $\mathcal{D}$  be the distribution on  $L, L', V$  of choosing a random edge in  $G_{\text{unfolded}}$  conditioned on  $U$ . Then we know that  $p(U) = \Pr_{\mathcal{D}} [F_U(L) = \mathcal{B}(V, L')]$ . Let  $\mathcal{D}'$  be the distribution where we first choose  $L' \in \text{Gr}(X_U, \ell - 1)$  and then  $L \supseteq L'$  and finally  $V$  such that  $V \subseteq U$  and  $X_V \supseteq L'$ . The covering property implies that  $\mathcal{D} \approx \mathcal{D}'$  and the error is negligible so we ignore it. Also, the answer  $\mathcal{B}(V, L')$  when  $(L, L') \sim \mathcal{D}'$  is distributed exactly as in the definition of  $F'_U[L']$ . So we have

$$p(U) = \Pr_{\mathcal{D}} [F_U(L) = \mathcal{B}(V, L')] \approx \Pr_{\mathcal{D}'} [F_U(L) = \mathcal{B}(V, L')] = \Pr_{\mathcal{D}'} [F_U(L) = F'_U[L']]$$

proving (17).

For each  $L' \in \text{Gr}(X_U, \ell - 1)$  let  $p(L') = \Pr_{L \supseteq L'} [F_U[L]|_{L'} = F'_U[L']]$  be the probability that the two-function test succeeds conditioned on  $L'$ . Also let  $q(L') = \Pr_{L_1, L_2 \supseteq L'} [F_U[L_1]|_{L'} = F_U[L_2]|_{L'}]$ , Clearly  $q(L') \geq p(L')^2$  because whenever two spaces  $L_1, L_2 \supseteq L'$  agree with  $F[L']$  they agree with each other. So the probability that the agreement test passes equals,

$$\mathbb{E}_{L'} [q(L')] \geq \mathbb{E}_{L'} [p(L')^2] \geq [\mathbb{E}_{L'} p(L')]^2 = p(U)^2$$

□

□

### 5.3 Proof of (Main) Theorem 1.2

Fix  $\delta > 0$ . For this  $\delta$  Hypothesis 3.6 guarantees a global linear function as long as  $\ell$  and  $k$  are large enough and with constants  $r, q \in \mathbb{N}$  and  $C > 0$ . We choose  $k$  large enough so that soundness holds, and then choose the completeness parameter  $\varepsilon$  in the initial 3LIN instance small enough so that  $1 - k\varepsilon \geq 1 - \delta$ , so completeness holds as well. The reduction from the 3LIN instance to  $G_{\text{folded}}$  together with the completeness and soundness lemmas (Lemmas 5.1 and 5.3) prove Theorem 1.2.

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