

# Quantified derandomization of linear threshold circuits

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#### Abstract

One of the prominent current challenges in complexity theory is the attempt to prove lower bounds for  $\mathcal{TC}^0$ , the class of constant-depth, polynomial-size circuits with majority gates. Relying on the results of Williams (2013), an appealing approach to prove such lower bounds is to construct a non-trivial derandomization algorithm for  $\mathcal{TC}^0$ . In this work we take a first step towards the latter goal, by proving the first positive results regarding the derandomization of  $\mathcal{TC}^0$  circuits of depth d > 2.

Our first main result is a *quantified derandomization* algorithm for  $\mathcal{TC}^0$  circuits with a super-linear number of wires. Specifically, we construct an algorithm that gets as input a  $\mathcal{TC}^0$  circuit *C* over *n* input bits with depth *d* and  $n^{1+\exp(-d)}$  wires, runs in almost-polynomial-time, and distinguishes between the case that *C* rejects at most  $2^{n^{1-1/5d}}$  inputs and the case that *C* accepts at most  $2^{n^{1-1/5d}}$  inputs. In fact, our algorithm works even when the circuit *C* is a linear threshold circuit, rather than just a  $\mathcal{TC}^0$  circuit (i.e., *C* is a circuit with linear threshold gates, which are stronger than majority gates).

Our second main result is that even a *modest improvement* of our quantified derandomization algorithm would yield a non-trivial algorithm for *standard derandomization* of all of  $\mathcal{TC}^0$ , and would consequently imply that  $\mathcal{NEXP} \not\subseteq \mathcal{TC}^0$ . Specifically, if there exists a quantified derandomization algorithm that gets as input a  $\mathcal{TC}^0$  circuit with depth *d* and  $n^{1+O(1/d)}$  wires (rather than  $n^{1+\exp(-d)}$  wires), runs in time at most  $2^{n^{\exp(-d)}}$ , and distinguishes between the case that *C* rejects at most  $2^{n^{1-1/5d}}$  inputs and the case that *C* accepts at most  $2^{n^{1-1/5d}}$  inputs, then there exists an algorithm with running time  $2^{n^{1-\Omega(1)}}$  for *standard derandomization* of  $\mathcal{TC}^0$ .

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## 1 Introduction

The standard problem of *derandomization of a circuit class* C is the following: Given a circuit  $C \in C$ , deterministically distinguish between the case that the acceptance probability of C is at least 2/3 and the case that the acceptance probability of C is at most 1/3. When  $C = \mathcal{P}/\text{poly}$ , this problem can be solved in polynomial time if and only if *promise-BPP* = *promise-P*. However, at the moment we do not know how to solve the problem in polynomial time even if C is the class of polynomial-sized CNFs.

The derandomization problem for a circuit class C is tightly related to lower bounds for C. Relying on the classic hardness-randomness paradigm [Yao82, BM84, NW94], sufficiently strong lower bounds for a class C imply the existence of pseudorandom generators with short seed for C (which allow to derandomize C; see, e.g., [AB09, Chp. 20], [Gol08, Chp. 8.3]). On the other hand, the existence of a non-trivial derandomization algorithm for a circuit class C typically implies weak lower bounds for C. Specifically, for many specific classes C (e.g., C = P/poly), the existence of a derandomization algorithm for C running in time  $2^n/n^{\omega(1)}$  implies that  $\mathcal{NEXP} \not\subseteq C$  (see [Wil13, SW13], which build on [IW98, IKW02]); and for essentially any class C of polynomial-sized circuits, the existence of such a derandomization algorithm implies that  $\mathcal{ENP} \not\subseteq C$  (see, e.g., [BV14, Thm. 1.4]).

Following Williams' proof that ACC does not contain NEXP [Wil11], one of the prominent current challenges in complexity theory is the attempt to prove similar lower bounds for the complexity class  $TC^0$  (i.e., the class of constant-depth, polynomial-sized circuits with majority gates, which extends ACC). Even after extensive efforts during the last few decades (and with renewed vigor recently), the best-known lower bounds for  $TC^0$  are for functions that require a *slightly super-linear* number of wires (e.g., the parity function), or a *linear* number of gates (see Section 2 for further details).

Since derandomization algorithms imply lower bounds in general, an appealing approach to prove lower bounds for  $\mathcal{TC}^0$  is to construct a non-trivial derandomization algorithm for this class. Moreover, Santhanam and Williams [SW13] proved that non-trivial derandomization of  $\mathcal{TC}^0$  would separate  $\mathcal{TC}^0$  from  $\mathcal{NEXP}$  (and not just from  $\mathcal{E}^{\mathcal{NP}}$ ). Accordingly, the problem of derandomizing  $\mathcal{TC}^0$  was recently suggested as a central open problem both by Williams [Wil14] and by Aaronson [Aar17].<sup>1</sup>

A first step towards tackling this problem was recently undertaken by Servedio and Tan [ST17], who considered the problem of derandomizing  $\mathcal{TC}^0$  circuits of *depth two*; we describe their results in Section 2.2. In this work we take one step further, by proving the *first positive results regarding the derandomization of*  $\mathcal{TC}^0$  *circuits of any constant depth*  $d \ge 2$ . As far as we know, the current work is the first to study the problem of derandomizing  $\mathcal{TC}^0$  circuits of depth larger than two.

#### 1.1 Our results

Our two main results lie within the framework of *quantified derandomization*. Quantified derandomization, which was introduced by Goldreich and Wigderson [GW14], is the relaxed derandomization problem of distinguishing between a circuit that accepts 1 - o(1) of its inputs and a circuit that rejects 1 - o(1) of its inputs (where the 1 - o(1) term replaces the original 2/3 term in standard derandomization).

On the one hand, this relaxation potentially allows to construct more efficient derandomization algorithms. But on the other hand, the standard derandomization problem

<sup>&</sup>lt;sup>1</sup>See the first open problem in the Conclusions section in [Aar17], and Section 4.2 in [Wil14].

can be *reduced to quantified derandomization*, by applying strong error-reduction within the relevant circuit class (such that a circuit with acceptance probability 2/3 is transformed to a circuit with acceptance probability 1 - o(1)). Of course, a main goal underlying this approach is to reduce standard derandomization to a parameter setting for which we are able to construct a corresponding algorithm for quantified derandomization.

#### 1.1.1 A quantified derandomization algorithm

Our first result is a *quantified derandomization algorithm* for  $\mathcal{TC}^0$  circuits with a slightly superlinear number of wires. Loosely speaking, we construct an algorithm that gets as input a  $\mathcal{TC}^0$  circuit *C* over *n* input bits of depth *d* with  $n^{1+\exp(-d)}$  wires, runs in almost-polynomialtime, and distinguishes between the case that *C* accepts all but  $B(n) = 2^{n^{1-1/O(d)}}$  of its inputs and the case that *C* rejects all but B(n) of its inputs.

Our quantified derandomization algorithm works not only for  $\mathcal{TC}^0$ , but also for the class of linear threshold circuits. While in  $\mathcal{TC}^0$  circuits each gate computes the majority function, in linear threshold circuits each gate computes a linear threshold function (i.e., a function of the form  $g(x) = \text{sgn}(\sum_{i \in [n]} w_i \cdot x_i - \theta)$ , for  $w \in \mathbb{R}^n$  and  $\theta \in \mathbb{R}$ ; see Section 4.2 for definitions). Towards stating our first result, denote by  $C_{n,d,w}$  the class of linear threshold circuits over *n* input bits of depth *d* and with at most *w* wires.

**Theorem 1.1** (quantified derandomization of linear threshold circuits). There exists a deterministic algorithm that, when given as input a circuit  $C \in C_{n,d,n^{1+2-10d}}$ , runs in time  $n^{O(\log \log(n))^2}$ , and satisfies the following:

- 1. If C accepts all but at most  $B(n) = 2^{n^{1-1/5d}}$  of its inputs, then the algorithm accepts C.
- 2. If C rejects all but at most  $B(n) = 2^{n^{1-1/5d}}$  of its inputs, then the algorithm rejects C.

Observe that as *d* grows larger, the algorithm in Theorem 1.1 solves a more difficult derandomization task (since B(n) is larger), but only has to handle circuits with fewer wires (i.e.,  $n^{1+\exp(-d)}$ ). Also note that the algorithm in Theorem 1.1 is "*whitebox*": That is, the algorithm gets as input an *explicit description* of a *specific* linear threshold circuit *C*, and uses this description when estimating the acceptance probability of *C*. <sup>2</sup> The actual algorithm that we construct works for a more general parameter regime, which exhibits a trade-off between the number  $B(n) = 2^{n^{1-\delta}}$  of exceptional inputs for *C* and the number  $n^{1+\delta \cdot \exp(-d)}$  of wires of *C* (see Theorem 5.1 for a precise statement).

The limitation on the number of wires of *C* in Theorem 1.1 (i.e.,  $n^{1+\exp(-d)}$ ) essentially *matches the best-known lower bounds for linear threshold circuits* (see Section 2.1 for details). This is no coincidence: Our algorithm construction follows a common theme in the design of circuit-analysis algorithms (e.g., derandomization algorithms or algorithms for satisfiability), which is the conversion of techniques that underlie lower bound proofs into algorithmic techniques (see, e.g., [Wil14]). In this case, we observe that certain proof techniques for *correlation bounds* for a circuit class *C* can yield algorithmic techniques for *quantified derandomization* of *C* (see Section 3.1.1). In particular, to construct the algorithm in Theorem 1.1, we leverage the techniques underlying the recent proof of Chen, Santhanam, and Srinivasan [CSS16] of correlation bounds for linear threshold circuits. A high-level description of our algorithm appears in Section 3.1.

<sup>&</sup>lt;sup>2</sup>The algorithm in Theorem 1.1 works in any reasonable model of explicitly representing linear threshold circuits; see Section 4.2 for a brief discussion.

#### **1.1.2** A reduction of standard derandomization to quantified derandomization

Our second result reduces the *standard* derandomization problem of  $\mathcal{TC}^0$  to the *quantified* derandomization problem of  $\mathcal{TC}^0$  circuits with a *super-linear number* of wires. In fact, we show that even a modest improvement of Theorem 1.1 would yield a non-trivial algorithm for standard derandomization of all of  $\mathcal{TC}^0$ .

**Theorem 1.2** (a reduction of standard derandomization to quantified derandomization). Assume that there exists a deterministic algorithm that, when given as input a circuit  $C \in C_{n,d,n^{1+O(1/d)}}$ , runs in time at most  $T(n) = 2^{n^{1/4^d}}$ , and for the parameter  $B(n) = 2^{n^{1-1/5d}}$  satisfies the following: If C accepts all but at most B(n) of its inputs then the algorithm accepts C, and if C rejects all but at most B(n) of its inputs then the algorithm rejects C.

Then, there exists an algorithm that for every  $k \in \mathbb{N}$  and  $d \in \mathbb{N}$ , when given as input a circuit  $C \in C_{m,d,m^k}$ , runs in time  $2^{m^{1-\Omega(1)}}$ , and satisfies the following: If C accepts at least 2/3 of its inputs then the algorithm accepts C, and if C rejects at least 2/3 of its inputs then the algorithm rejects C.

The gap between the algorithm constructed in Theorem 1.1 and the algorithm assumed in the hypothesis of Theorem 1.2 is very small: Specifically, the algorithm in Theorem 1.1 works when the number of wires in the input circuit *C* is  $n^{1+\exp(-d)}$ , whereas the algorithm in the hypothesis of Theorem 1.2 is required to work when the number of wires is  $n^{1+O(1/d)}$ . Moreover, Theorem 1.2 holds even if this improvement (in the number of wires) comes at the expense of a longer running time; specifically, the conclusion of Theorem 1.2 holds even if the algorithm runs in (sufficiently small) sub-exponential time.

As mentioned in the beginning of Section 1, a non-trivial derandomization of  $TC^0$  implies lower bounds for this class. Specifically, combining Theorem 1.2 with a recent result of Santhanam and Williams [SW13, Thm 1.5], we obtain the following corollary:

**Corollary 1.3** (quantified derandomization implies lower bounds for  $\mathcal{TC}^0$ ). Assume that there exists a deterministic algorithm as in the hypothesis of Theorem 1.2. Then,  $\mathcal{NEXP} \not\subseteq \mathcal{TC}^0$ .

The result that we actually prove is stronger and more general than the one stated in Theorem 1.2 (see Theorem 6.10). First, the result holds even if we limit ourselves only to the class  $\mathcal{TC}^0$ , rather than to the class of linear threshold circuits (i.e., if we interpret the class  $\mathcal{C}_{n,d,n^k}$  as the class of  $\mathcal{TC}^0$  circuits over *n* inputs of depth *d* and with  $n^k$  wires). And secondly, the hypothesis of the theorem can be modified via a trade-off between the number of exceptional inputs in the circuit *C* and the number of wires of *C*.

The proof of Theorem 1.2 is based on developing a very efficient method for errorreduction within  $\mathcal{TC}^0$ . Loosely speaking, we construct a seeded extractor (equivalently, an averaging sampler) that gets *n* input bits, outputs  $n^{.01}$  bits, works for min-entropy  $n^{.99}$ , has seed length  $t = 1.01 \cdot \log(n)$ , and satisfies the following: There exists a  $\mathcal{TC}^0$  circuit that gets input  $x \in \{0,1\}^n$  and outputs the  $2^t$  evaluations of the extractor with input *x* on all seeds (i.e., outputs the  $n^{1.01}$  strings  $\{E(x,z)\}_{z\in\{0,1\}^t}$ ) using only a super-linear number of wires. As far as we know, this is the first construction of a seeded extractor that is specific to  $\mathcal{TC}^0$ ; the construction extends the study of randomness extraction in weak computational models, which has so far focused on  $\mathcal{AC}^0$ , on  $\mathcal{AC}^0[\oplus]$ , and on streaming algorithms [BYRST02, Vio05, Hea08, GVW15, CL16]. The extractor construction is described in high-level in Section 3.2, and a precise statement appears in Proposition 6.9.

# **1.1.3** Restrictions for sparse $\mathcal{TC}^0$ circuits: A potential path towards $\mathcal{NEXP} \not\subseteq \mathcal{TC}^0$

Recall that the best-known lower bounds for  $TC^0$  circuits of arbitrary constant depth *d* are for circuits with  $n^{1+\exp(-d)}$  wires. Our results imply that a certain type of analysis of  $TC^0$  circuits *with only*  $n^{1+O(1/d)}$  *wires*, which is common when proving correlation bounds (i.e., average-case lower bounds), might suffice to deduce a lower bound for *all of*  $TC^0$ .

Specifically, a common technique to prove correlation bounds for a circuit *C* is the "restriction method", which (loosely speaking) consists of proving the existence of certain subsets of the domain on which *C* "simplifies" (i.e., *C* agrees with a simpler function on the subset; see Section 3.1.1 for a detailed description). We pose the following open problem: Construct a deterministic algorithm that gets as input a  $\mathcal{TC}^0$  circuit *C* with  $n^{1+O(1/d)}$  wires, runs in sufficiently small sub-exponential time, and finds a subset *S* of size larger than  $2^{n^{1-1/5d}}$  such that the acceptance probability of  $C \upharpoonright_S$  can be approximated in sufficiently small sub-exponential time (see Open Problem 1 in Section 7 for a precise statement). In Section 7 we show that a resolution of the foregoing problem would imply that  $\mathcal{NEXP} \not\subseteq \mathcal{TC}^0$ .

#### 1.2 Organization

In Section 2 we provide some useful background on  $TC^0$  circuits, on linear threshold circuits, and on lower bounds and derandomization algorithms for such circuits. We also include some background on quantified derandomization.

In Section 3 we give high-level overviews of the proofs of Theorems 1.1 and 1.2. After presenting preliminary formal definitions in Section 4, we prove Theorem 1.1 in Section 5 and Theorem 1.2 in Section 6. Finally, in Section 7 we pose the open problem that was mentioned in Section 1.1.3.

## 2 Background and previous work

A linear threshold function (or LTF, in short)  $\Phi : \{-1,1\}^n \to \{-1,1\}$  is a function of the form  $\Phi(x) = \text{sgn}(\langle x, w \rangle - \theta)$ , where  $w \in \mathbb{R}^n$  is a vector of real "weights", and  $\theta \in \mathbb{R}$  is a real number (the "threshold"), and  $\langle x, w \rangle = \sum_{i \in [n]} x_i \cdot w_i$  denotes the standard innerproduct over the reals. Indeed, the majority function is the special case where the weights are identical (e.g.,  $w_i = 1$  for all  $i \in [n]$ ) and the threshold is zero (i.e.,  $\theta = 0$ ).

Recall that  $\mathcal{TC}^0$  is the class of constant-depth, polynomial-sized circuits with majority gates. A common theme in the study of  $\mathcal{TC}^0$  is the use of an equivalent definition for the class, in which each gate in the circuit computes an LTF. We will use the name linear threshold circuits to denote constant-depth, polynomial-sized circuits with LTF gates. For some fixed sizes and depths, linear threshold circuits are known to be stronger than circuits with majority gates; however, linear threshold circuits can be simulated by circuits with majority gates with a polynomial size overhead and with one additional layer (see [GHR92, GK98]). Thus, the class  $\mathcal{TC}^0$  as a whole equals the class of linear threshold circuits.

#### 2.1 Lower bounds for linear threshold circuits

The best-known lower bounds for computing explicit functions by linear threshold circuits of a *fixed small depth* have been recently proved by Kane and Williams [KW16]. Specifically, they showed that any depth-two linear threshold circuit computing Andreev's function requires  $\tilde{\Omega}(n^{3/2})$  gates and  $\tilde{\Omega}(n^{5/2})$  wires. They also showed correlation bounds (i.e,.

average-case lower bounds with respect to the uniform distribution) for such circuits with Andreev's function. Extending their worst-case lower bounds to depth three, they proved that any depth-3 circuit with a *top majority gate* that computes a specific polynomial-time computable function also requires  $\tilde{\Omega}(n^{3/2})$  gates and  $\tilde{\Omega}(n^{5/2})$  wires (the "hard" function is a modification of Andreev's function).

For linear threshold circuits of arbitrary constant depth  $d \ge 2$ , the best-known lower bounds on the number of wires required to compute explicit functions are only slightly super-linear. Specifically, Impagliazzo, Paturi, and Saks [IPS97] proved that any linear threshold circuit of depth *d* requires at least  $n^{1+\exp(-d)}$  wires to compute the parity function; Chen, Santhanam, and Srinivasan [CSS16] strengthened this by showing correlation bounds for such circuits with parity (as well as with the generalized Andreev function). These lower bounds for parity are essentially tight, since Beame, Brisson, and Ladner [BBL92] (and later [PS94]) constructed a linear threshold circuit with  $n^{1+\exp(-d)}$  wires that computes parity. We also mention that linear lower bounds on the number of linear threshold *gates* required to compute explicit functions (e.g., the inner-product function) have been proved in several works during the early '90s, and these gate lower bounds apply even for circuits of unrestricted depth (see [Smo90, GT91, ROS94, Nis93]).

#### 2.2 Derandomization of LTFs and of functions of LTFs

There has been an intensive effort in the last decade to construct pseudorandom generators for a *single linear threshold function*. This problem was first considered by Diakonikolas *et al.* [DGJ<sup>+</sup>10], and the current state-of-the-art, following [MZ13, Kan11, Kan14, KM15], is the pseudorandom generator of Gopalan, Kane, and Meka [GKM15], which  $\epsilon$ -fools any LTF with *n* input bits using a seed of length  $\tilde{O}(\log(n/\epsilon))$ . Harsha, Klivans, and Meka [HKM12] considered a *conjunction* of linear threshold functions, and constructed a pseudorandom generator for a subclass of such functions (i.e., for a conjunction of *regular* LTFs; see Section 4.2 for a definition). Gopalan *et al.* [GOWZ10] constructed pseudorandom generators for small decision trees in which the leaves are linear threshold functions.

Very recently, Servedio and Tan [ST17] considered the problem of derandomizing *linear threshold circuits*. For every  $\epsilon > 0$ , they constructed a pseudorandom generator that 1/poly(n)-fools any *depth-2 linear threshold circuit with at most*  $n^{2-\epsilon}$  *wires*, using a seed of length  $n^{1-\delta}$ , where  $\delta = \delta_{\epsilon} > 0$  is a small constant that depends on  $\epsilon$ . This yields a derandomization of depth-2 linear threshold circuits with  $n^{2-\epsilon}$  wires in time  $2^{n^{1-\Omega(1)}}$ .

#### 2.3 Quantified derandomization

The quantified derandomization problem, which was introduced by Goldreich and Wigderson [GW14], is a generalization of the standard derandomization problem. For a circuit class C and a parameter B = B(n), the (C, B)-derandomization problem is the following: Given a description of a circuit  $C \in C$  over n input bits, deterministically distinguish between the case that C accepts all but B(n) of its inputs and the case that C rejects all but B(n) of its inputs. Indeed, the standard derandomization problem is represented by the parameter value  $B(n) = \frac{1}{3} \cdot 2^n$ . Similarly to standard derandomization, a solution for the quantified derandomization problem of a class C via a "black-box" algorithm (e.g., via a pseudorandom generator) yields a corresponding lower bound for C (see Appendix A).

Prior to this work, quantified derandomization algorithms have been constructed for  $\mathcal{AC}^0$ , for subclasses of  $\mathcal{AC}^0[\oplus]$ , for polynomials over  $\mathbb{F}_2$  that vanish rarely, and for a sub-

class of  $\mathcal{MA}$ . On the other hand, reductions of standard derandomization to quantified derandomization are known for  $\mathcal{AC}^0$ , for  $\mathcal{AC}^0[\oplus]$ , for polynomials over large finite fields, and for the class  $\mathcal{AM}$  (both the algorithms and the reductions appear in [GW14, Tel17]). In some cases, most notably for  $\mathcal{AC}^0$ , the parameters of the known quantified derandomization algorithms are very close to the parameters of quantified derandomization to which standard derandomization can be reduced (see [Tel17, Thms 1 & 2]).

# **3** Overviews of the proofs

#### 3.1 A quantified derandomization algorithm for linear threshold circuits

The high-level strategy of the quantified derandomization algorithm is as follows. Given a circuit  $C : \{-1,1\}^n \to \{-1,1\}$ , the algorithm deterministically finds a set  $S \subseteq \{-1,1\}^n$ of size  $|S| \gg B(n)$  on which the circuit *C* simplifies; that is, *C* agrees with a function from some "simple" class of functions on almost all points in *S*. If *C* accepts all but B(n)of its inputs, then the acceptance probability of  $C \upharpoonright_S$  will be very high, and similarly, if *C* rejects all but B(n) of its inputs, then the acceptance probability of  $C \upharpoonright_S$  will be very low. The algorithm then distinguishes between the two cases, by enumerating the seeds of a pseudorandom generator for the "simple" class of functions.

#### 3.1.1 Quantified derandomization, correlation bounds, and the restriction method

When using the foregoing strategy, the main challenge is to deterministically find a suitable set *S*. The key observation that allows us to do so is that there exists a *connection between quantified derandomization and certain proof techniques that are common when proving correlation bounds* (i.e., average-case lower bounds). This connection is implicit in [GW14, Tel17]), and we now explain the connection, in general.

A common technique to prove correlation bounds of a circuit  $C : \{-1,1\}^n \to \{-1,1\}$ with some "hard" function f can be called the restriction method: This method consists of showing the existence of a "uniform" cover  $S_1, ..., S_m$  of  $\{-1,1\}^n$  (i.e., a collection of subsets covering  $\{-1,1\}^n$  such that every point is covered the same number of times) such that for almost all  $i \in [m]$  it holds that  $C \upharpoonright_{S_i}$  can be computed (or approximated) by a function from some "simple" class  $C_{simple}$ , and that functions in  $C_{simple}$  have a poor correlation with f on  $S_i$ . (The cover  $S_1, ..., S_m$  may depend on the specific circuit C.)

Two crucial points when proving correlation bounds using the restriction method are that the sets  $S_i$  will be as large as possible, and that the functions in the class  $C_{simple}$  will be as simple as possible (these two points are typically useful in order to deduce that functions in  $C_{simple}$  have a poor correlation with f on  $S_i$ ). Similarly, two analogous goals are also crucial when using the strategy for quantified derandomization that was described above: In quantified derandomization we also want that the set S will be as large as possible (in order to maximize B(n)) and that  $C \upharpoonright_S$  will be as "simple" as possible (to "fool" it using a pseudorandom generator with short seed).

The key difference between the two settings is that in quantified derandomization, we only need *one suitable set S*, and we need an algorithm that *deterministically and efficiently finds the set S*. Thus, leveraging a proof that uses the restriction method to a quantified derandomization algorithm calls for efficient "derandomization"; that is, for the construction of a *deterministic algorithm* that *efficiently* finds a suitable set  $S = S_i$ .

#### 3.1.2 Derandomizing the restriction algorithm of [CSS16]

To obtain our quantified derandomization algorithm for linear threshold circuits, we leverage the techniques that underlie the recent correlation bounds of Chen, Santhanam, and Srinivasan [CSS16]. In their argument there is an implicit *randomized* "whitebox" algorithm that gets as input a depth-*d* linear threshold circuit, and gradually transforms it to a single linear threshold function, by restricting more and more variables and iteratively reducing the depth of the circuit. We call this algorithm a restriction algorithm; our main task is thus to *efficiently derandomize the restriction algorithm* of [CSS16]. <sup>3</sup>

Let us now describe both how the restriction algorithm works and how we are able to derandomize it. We begin with the main structural lemma that underlies the algorithm, which (loosely speaking) asserts that any *single LTF* is likely to become very biased under a random restriction.

**Derandomizing the main structural lemma of [CSS16].** Let  $\Phi = (w, \theta)$  be an LTF over n input bits, and consider a random restriction  $\rho$  that keeps each variable alive with probability  $p = n^{-\Omega(1)}$ . Peres' theorem (see, e.g., [O'D14, Sec. 5.5]) implies that the expected distance of  $\Phi \upharpoonright_{\rho}$  from a constant function is approximately  $\sqrt{p}$ .<sup>4</sup> The main structural lemma in [CSS16] shows a concentration of measure for this distribution; specifically, their result asserts that with probability at least  $1 - p^{\Omega(1)}$  it holds that  $\Phi \upharpoonright_{\rho}$  is  $\exp(-p^{-\Omega(1)})$ -close to a constant function.

As a first step towards proving our theorem, we prove that this result holds also when the restriction is chosen pseudorandomly, rather than uniformly. Along the way, we also refine the quantitative bound in the original result. As an illustrative example, consider the majority function  $\Phi(x) = \operatorname{sgn}(\sum_{i \in [n]} x_i)$ . In this case, for any  $t \ge 1$ , with probability roughly  $1 - t \cdot \sqrt{p}$  it holds that  $\Phi \upharpoonright_{\rho}$  is  $\exp(-t^2)$ -close to a constant function (see Fact 5.3). We construct a distribution over restrictions that can be sampled using  $\tilde{O}(\log(n))$  random bits such that for *any* LTF  $\Phi$ , and any  $t \ge p^{-1/8}$ , with probability at least  $1 - \tilde{O}(t^2) \cdot \sqrt{p}$ it holds that  $\Phi \upharpoonright_{\rho}$  is  $\exp(-t^2)$ -close to a constant function. (The actual statement that we prove is more general; see Proposition 5.8 for exact details.)

A high-level technical description of the proof appears in Section 5.1.1. Let us now briefly mention a few key ideas in the original proof and in our derandomized version. We say that an LTF  $\Phi = (w, \theta)$  is *t*-balanced if  $|\theta| \leq t \cdot ||w||_2$ ; indeed, if an LTF is *not t*-balanced, then it is  $\exp(-t^2)$ -close to a constant function (by Hoeffding's inequality). Therefore, to show that a restricted LTF  $\Phi \upharpoonright_{\rho}$  is  $\exp(-t^2)$ -close to a constant function, with high probability, it suffices to show that  $\Phi \upharpoonright_{\rho}$  is not *t*-balanced, with high probability.

Denote by  $I \subseteq [n]$  the set of variables that the restriction  $\rho$  keeps alive, and by  $z_{[n]\setminus I} \in \{-1,1\}^{[n]\setminus I}$  the values that  $\rho$  assigns to the fixed variables. Then, the restricted function is of the form  $\Phi \upharpoonright_{\rho} = (w_{I}, \theta - \langle w_{[n]\setminus I}, z_{[n]\setminus I} \rangle)$ , and the restricted function is *t*-balanced if and only if the sum  $\langle w_{[n]\setminus I}, z_{[n]\setminus I} \rangle$  falls in the interval  $\theta \pm 2t \cdot ||w_{I}||_{2}$ ; the proof boils down to showing that the sum  $\langle w_{[n]\setminus I}, z_{[n]\setminus I} \rangle$  is unlikely to fall in an interval of length  $O(t \cdot ||w_{I}||_{2})$ . The original proof of [CSS16] relied on a technical case analysis that is reminiscent of case

<sup>&</sup>lt;sup>3</sup>We mention that in [CSS16, Sec. 5] this restriction algorithm is used to construct a *randomized* algorithm for satisfiability of sparse linear threshold circuits.

<sup>&</sup>lt;sup>4</sup>Peres' theorem is usually phrased in terms of the noise sensitivity of  $\Phi$ , but the latter is proportional to its expected bias under a random restriction; for further details see [CSS16, Prop. 8].

analyses in several previous proofs regarding LTFs (e.g., in [Ser07, DGJ<sup>+</sup>10]), and is based on the notion of a *critical index* of a vector  $w \in \mathbb{R}^n$  (see Definitions 4.3 and 4.4).

To construct a pseudorandom distribution of restrictions for which the lemma still holds we rely on two main observations. We say that a distribution  $\mathbf{z}$  over  $\{-1,1\}^n$  is  $\epsilon$ -pseudorandomly concentrated if for any  $w \in \mathbb{R}^n$  and any interval  $J \subseteq \mathbb{R}$ , the probability that  $\langle w, \mathbf{z} \rangle$  falls in J is  $\epsilon$ -close to the probability that  $\langle w, \mathbf{u}_n \rangle$  falls in J (where  $\mathbf{u}_n$  is the uniform distribution over  $\{-1,1\}^n$ ). Our first observation is that the original case analysis of [CSS16] can be *modified such that the analysis only relies on the following properties* of the distribution of restrictions: For  $\epsilon = 1/\text{poly}(n)$ , the distribution of variables to keep alive is  $\epsilon$ -almost  $O(\log(1/\epsilon))$ -wise independent, the distribution of values for the fixed variables is  $\epsilon$ -pseudorandomly concentrated, and we sample from these two distributions independently. The second observation is that being  $\epsilon$ -pseudorandomly concentrated is essentially equivalent to being  $\epsilon$ -pseudorandom for LTFs (see Claim 4.11). <sup>5</sup> Thus, we can choose values for the fixed variables using known pseudorandom generators for LTFs; we will use the generator of Gopalan, Kane, and Meka [GKM15], which has seed length  $\tilde{O}(\log(n/\epsilon))$ .

**Lifting the derandomized structural lemma to a restriction algorithm.** The next step is to use the derandomized structural lemma in order to iteratively reduce the depth of a linear threshold circuit, until we obtain a single linear threshold function. Since this part of our argument is very similar to the corresponding part in [CSS16], let us now only briefly explain how to do so; full details appear in Section 5.1.2.

Consider the bottom layer of gates in a depth-*d* circuit (we indeed assume that the circuit is layered; see Section 4.2). We restrict the variables using the lemma above with the parameters  $p = n^{\Omega(1)}$  and  $t = p^{-\Omega(1)}$ . With high probability, after the restriction,  $1 - n^{-\Omega(1)}$  of the gates become very close to constants; we replace these gates by the corresponding constants, and obtain a circuit that almost always agrees with the original circuit. As for the  $n^{-\Omega(1)}$  fraction of gates that did not become close to constants, the expected number of wires incoming into such gates after the restriction is small (since there are few such gates, and the fan-in of each gate is expected to decrease by a factor of *p*, and the initial number of wires in the circuit is small). In particular, one can show that it is possible to apply an additional restriction that keeps  $n^{1-\Omega(1)}$  live variables and decreases the fan-in of each living gate to be at most one (see the proof of Proposition 5.9). After this additional restriction, we can simply replace each living gate in the bottom layer by the corresponding variable, and reduce the depth of the circuit by one. Iterating this construction d - 1 times, we obtain a circuit of depth one, which is just a linear threshold function.

**Preserving the closeness of the circuit to its approximations.** There remains a key challenge in the above approach that is subtle and that we have not addressed yet. In the argument above, whenever we reduce the depth of the circuit *C*, we actually replace the circuit by another circuit  $\tilde{C}$  that approximates *C* (this happens when we replace the gates that became close to constants by the corresponding constants). The circuit  $\tilde{C}$  disagrees with *C* on at most  $2^{n-n^{\Omega(1)}}$  points, where *n* is the number of living variables. However, in subsequent iterations (when we further reduce the depth of the circuit) we fix additional variables, such that the number of points in the final subcube (i.e.,  $2^{n^{1-\Omega(1)}}$ ) is much smaller than the number of points on which *C* and  $\tilde{C}$  potentially disagree. This implies that *C* and  $\tilde{C}$  might not be close at all in the final subcube.

<sup>&</sup>lt;sup>5</sup>This observation was communicated to us by Rocco Servedio, and is attributed to Li-Yang Tan.

We thus want to choose all our restrictions such that with high probability *C* and  $\tilde{C}$  will remain close even after applying the restriction. In fact, we will choose each restriction  $\rho$  such that the following holds: For every gate  $\Phi$  that was replaced by a constant  $\sigma \in \{-1,1\}$ , with high probability it holds that  $\Phi \upharpoonright_{\rho}$  is still  $\frac{1}{\operatorname{poly}(n)}$ -close to  $\sigma$  (the claim that *C* and  $\tilde{C}$  remain close with high probability follows by a union-bound on the gates). To do so, for any fixed choice of a set  $I \subseteq [n]$  of variables to keep alive, we will choose the values for the fixed variables from a *distribution that "fools" a test that checks whether or not*  $\Phi \upharpoonright_{\rho} \approx \sigma$ . That is, consider a test *T* that gets as input values  $z \in \{-1,1\}^{[n]\setminus I}$  for the fixed variables, and decides whether or not  $\Phi$  remains close to  $\sigma$  in the subcube corresponding to  $\rho = \rho_{I,z}$ . Observe that when *z* is chosen uniformly, then  $\Phi \upharpoonright_{\rho}$  remains close to  $\sigma$ , with high probability, values  $z \in \{-1,1\}^{[n]\setminus I}$  that is pseudorandom for *T* also yields, with high probability, values  $z \in \{-1,1\}^{[n]\setminus I}$  such that  $\Phi \upharpoonright_{\rho_{I_{\sigma}}}$  remains close to  $\sigma$ .

A deterministic test *T* for the task above might be very inefficient, since it needs to evaluate  $\Phi$  on all points in the subcube corresponding to  $\rho = \rho_{I,z}$  (and thus we might not be able to construct a pseudorandom generator with short seed to "fool" the test). To overcome this challenge, we use the following general technique that was introduced in our previous work [Tel17], which is called *randomized tests*.

Loosely speaking, a lemma from our previous work implies the following: Assume that there exists a *distribution* **T** *over tests*  $\{-1,1\}^{[n]\setminus I} \rightarrow \{-1,1\}$  such that for every *fixed input z* for which  $\Phi \upharpoonright_{\rho_{I,z}}$  is very close to  $\sigma$  it holds that  $\mathbf{T}(z) = 1$ , with high probability, and for every *fixed input z* for which  $\Phi \upharpoonright_{\rho_{I,z}}$  is far from  $\sigma$  it holds that  $\mathbf{T}(z) = 0$ , with high probability. That is, the distribution **T** constitutes a "randomized test" that distinguishes, with high probability, between "excellent" *z*'s (such that  $\Phi \upharpoonright_{\rho_{I,z}}$  is very close to  $\sigma$ ) and "bad" *z*'s (such that  $\Phi \upharpoonright_{\rho_{I,z}}$  is far from  $\sigma$ ). Also assume that almost all tests  $T : \{-1,1\}^{[n]\setminus I} \rightarrow \{-1,1\}$  in the support of **T** are "fooled" by a pseudorandom generator *G*. Then, with high probability over choice of seed for the pseudorandom generator *G*, the generator outputs *z* such that  $\Phi \upharpoonright_{\rho_{I,z}}$  is not far from  $\sigma$  (see Lemma 5.12 for a precise and general statement). The main point is that the distribution **T**, which may have very high entropy, is *only part of the analysis*; the actual algorithm that generates **z** is simply the pseudorandom generator *G*.

The distribution **T** that we will use is equivalent to the following random process: Given  $z \in \{-1,1\}^{[n]\setminus I}$ , uniformly sample poly(*n*) points in the subcube corresponding to  $\rho_{I,z}$ , and accept *z* if  $\Phi$  evaluates to the constant  $\sigma$  on all the sample points. We show that any distribution that is (1/poly(n))-pseudorandom for LTFs is also (1/poly(n))-pseudorandom for almost all tests in the support of the distribution **T** (see the proof of Lemma 5.13). Thus, if whenever we fix variables we choose their values them according to a distribution that is (1/poly(n))-pseudorandom for LTFs, with high probability the approximating circuit  $\tilde{C}$  will remain close to the original circuit *C* after applying the restriction.

#### 3.2 Reduction of standard derandomization to quantified derandomization

Given a  $\mathcal{TC}^0$  circuit *C* of depth *d* over *m* input bits, our goal is to construct a  $\mathcal{TC}^0$  circuit *C'* of depth *d'* > *d* over *n* = poly(*m*) input bits such that if *C* accepts (resp., rejects) at least 2/3 of its inputs then *C'* accepts (resp., rejects) all but  $B(n) = 2^{n^{0.99}}$  of its inputs. <sup>6</sup> The circuit *C'* will use its input in order to sample inputs for *C* by a seeded extractor, and then compute the majority of the evaluations of *C* on these inputs. Specifically, fixing an

<sup>&</sup>lt;sup>6</sup>Throughout the overview we will be somewhat informal with respect to the precise parameter values, e.g. we will use the value  $B(n) = 2^{n^{0.99}}$  instead of the more precise  $B(n) = 2^{n^{1-1/5d}}$ .

extractor  $E : \{0,1\}^n \times \{0,1\}^t \rightarrow \{0,1\}^m$ , the circuit C' gets input  $x \in \{0,1\}^n$ , and outputs the majority of the values  $\{C(E(x,z)) : z \in \{0,1\}^t\}$ .

The main technical challenge underlying this strategy is to construct an extractor E such that the mapping of input  $x \in \{0,1\}^n$  to the  $2^t$  outputs of the extractor on all seeds (i.e., the mapping  $x \mapsto \{E(x,z)\}_{z \in \{0,1\}^t}$ ) can be computed by a  $\mathcal{TC}^0$  circuit with *as few wires as possible*. Specifically, the number of output bits of this  $\mathcal{TC}^0$  circuit is  $2^t \cdot m \approx n^{1.01}$ , and we want that the number of wires in the circuit will also be super linear (i.e., only slightly larger than  $n^{1.01}$ ). Indeed, a crucial point in our construction is that we will efficiently compute the outputs of the extractor on all seeds in a "batch", rather than compute the extractor separately for each seed.

## **3.2.1** Our starting point: A construction of C' with $n^{3.01}$ wires

As our starting point, let us construct a suitable circuit C' that has  $n^{3.01}$  wires and relies on Trevisan's extractor [Tre01]. Given an input  $x \in \{0,1\}^n$  and seed  $z \in \{0,1\}^t$ , Trevisan's extractor first computes an encoding  $\bar{x}$  of x by an  $\epsilon$ -balanced error-correcting code (i.e., a code in which every non-zero codeword has relative Hamming weight  $1/2 \pm \epsilon$ ). <sup>7</sup> Fixing a suitable combinatorial design of m sets  $S_1, ..., S_m$  of size  $|S_i| = \log(|\bar{x}|)$  in a universe of size t, the output of E(x, z) is the m bits of  $\bar{x}$  in the coordinates specified by  $z \upharpoonright_{S_1}, ..., z \upharpoonright_{S_m}$ .

A key observation is that the circuit C' only needs to compute the encoding  $\bar{x}$  of x *once,* and then each of the  $2^t$  copies of C can take its inputs directly from the bits of  $\bar{x}$  (i.e., each copy of C corresponds to a seed z, and takes its inputs from locations in  $\bar{x}$  that are determined by z and by the fixed combinatorial design). This is indeed a form of "batch computation" of the extractor on all seeds.

Let us now see why this construction uses  $n^{3.01}$  wires. The encoding  $\bar{x}$  of x relies on an  $\epsilon$ -balanced code, with  $\epsilon = \Theta(1/m^2)$ ; we can use known polynomial-time constructions of suitable *linear* codes that map n bits to  $n \cdot \text{poly}(1/\epsilon) < n^{1.01}$  bits (e.g., [NN93, ABN<sup>+</sup>92, TS17]; the inequality is since  $m = n^{\Omega(1)}$ ). Since the code is linear in  $x \in \{0,1\}^n$ , each bit of  $\bar{x} \in \{0,1\}^{n^{1.01}}$  can be computed by a  $\mathcal{TC}^0$  circuit with  $n^{1.01}$  wires. Therefore, the number of wires that we use to compute  $\bar{x}$  is  $n^{2.02}$ . Now, in our setting of parameters, we want the extractor to work with min-entropy  $k = n^{0.99}$  (since the number B(n) of exceptional inputs for C' will be upper-bounded by  $2^k$ , and we want to have  $B(n) = 2^{n^{0.99}}$ ). Relying on Trevisan's proof and on standard constructions of combinatorial designs, the required seed length is  $t < 3 \cdot \log(n)$ . <sup>8</sup> Therefore, the number of copies of C in C' is  $2^t = n^3$ , and the overall number of wires in C' is  $n^{2.02} + n^3 \cdot \text{poly}(m) < n^{3.01}$ .

## **3.2.2** The actual construction of C' with $n^{1.01}$ wires

There are two parts in the construction above that led us to use a large number of wires: First, the seed length of the extractor is  $t = 3 \cdot \log(n)$ , which yields  $2^t = n^3$  copies of *C*; and secondly, the number of wires required to compute the encoding  $\bar{x}$  of *x* is super-quadratic, rather than super-linear. Let us now describe how to handle each of these two problems, and obtain a construction with only  $n^{1.01}$  wires.

<sup>&</sup>lt;sup>7</sup>Trevisan's extractor only needs a (1/2 - O(1/m), poly(m))-list-decodable code, but we will not rely on this potential relaxation.

<sup>&</sup>lt;sup>8</sup>Trevisan's proof requires a design such that  $|S_i \cap S_j| \leq \log(k/2m)$  (see [Tre01, Sec. 3.3]). Relying on standard constructions of combinatorial designs (see, e.g., [Tre01, Lem. 8]), a suitable design can be constructed with a universe size of  $t = e^{\ln(m)/\log(2k/m)+1} \cdot \frac{\log^2(|x|)}{\log(k/2m)} \approx 1.01 \cdot e \cdot \log(n) < 3 \cdot \log(n)$ .

To reduce the seed length *t* of the extractor, we follow the approach of Raz, Reingold, and Vadhan [RRV02]. They showed that Trevisan's extractor works even if we replace standard combinatorial designs by a more relaxed notion that they called *weak designs* (see Definition 6.1). Indeed, weak designs can be constructed with a smaller universe size *t*, which yields a smaller seed length for the extractor. Their construction yields  $t = 2 \cdot \log(n)$ , and we show a modified construction of weak designs that for our setting of parameters yields  $t = 1.01 \cdot \log(n)$  (see Lemma 6.2).

The second challenge is to construct an  $\epsilon$ -balanced error-correcting code that maps n bits to  $n \cdot \text{poly}(1/\epsilon)$  bits, and can be computed by a  $\mathcal{TC}^0$  circuit with  $n^{1.01}$  wires (this is the code that we will use to compute  $\bar{x}$  from x). To describe the code, we describe the encoding process of  $x \in \{0,1\}^n$ , which has two steps: First we encode x by a code with constant rate and constant relative distance, and then we amplify the distance of the code to  $1/2 - \epsilon$ .

**Computing a code with distance**  $\Omega(1)$ . In the first step, we encode *x* by a linear errorcorrecting code that has distance  $\Omega(1)$ , instead of  $1/2 - \epsilon$ , and also has rate  $\Omega(1)$  and can be computed in  $\mathcal{TC}^0$  with  $n^{1.01}$  wires. This will be done using *tensor codes* that are based on any (arbitrary) initial good linear error-correcting code.

To see why tensor codes are helpful, assume that  $n = r^2$ , for some  $r \in \mathbb{N}$ , and fix a linear code ECC that maps r bits to O(r) bits and has constant relative distance. Thinking of the input  $x \in \{0,1\}^n$  as an  $r \times r$  matrix, we first encode each row of the matrix x using ECC, to obtain an  $r \times O(r)$  matrix x', and then encode each column of x' using ECC, to obtain an  $O(r) \times O(r)$  matrix  $\hat{x}$ . By well-known properties of tensor codes, this yields a linear error-correcting code with constant rate and constant relative distance. Moreover, computing the code in  $\mathcal{TC}^0$  only requires  $n^{1.51}$  wires: This is because the strings that we encode with ECC (which are the rows of x in the first step and then the columns of x' in the second step) are each of length  $r = \sqrt{n}$ . Thus, each of the O(n) bits in  $\hat{x}$  is a linear function of  $\sqrt{n}$  bits, and the latter can be computed by  $\mathcal{TC}^0$  circuit with  $n^{.51}$  wires.

To obtain a code with  $n^{1.01}$  wires instead of  $n^{1.51}$  wires we can use a tensor code of higher order. Specifically, assume that  $n = r^c$ , for some large constant c, and think of x as a tensor of dimensions  $[r]^c$ . The encoding process will consist of c = O(1) iterations, and in each iteration we encode strings of length r in the tensor by ECC. The final codeword will be of length  $(O(r))^c = O(n)$ , will have constant relative distance, and can be computed by a  $TC^0$  circuit with only  $O(n) \cdot r^{1.01} < n^{1+2/c}$  wires. (See Section 6.2 for further details.)

Amplifying the distance from  $\Omega(1)$  to  $1/2 - \epsilon$ . Assume that the previous step mapped the input  $x \in \{0,1\}^n$  to  $\hat{x} \in \{0,1\}^{\hat{n}}$ , where  $\hat{n} = O(n)$ . If x was a non-zero message, then  $\hat{x}$  has relative Hamming weight  $\Omega(1)$ . Our goal now is to increase the Hamming weight of  $\hat{x}$  to  $1/2 - \epsilon$ , using as few wires as possible. To do so we rely on the strategy of Naor and Naor [NN93], which is based on expander random walks. (This strategy was also recently used by Ta-Shma [TS17] to construct almost-optimal  $\epsilon$ -balanced codes.)

Specifically, fix a graph *G* on  $\hat{n}$  vertices with constant degree and constant spectral gap. Associate the  $\hat{n}$  vertices of *G* with the coordinates of  $\hat{x}$ , and consider a random walk on *G* that starts at a uniformly-chosen vertex and walks  $\ell = O(\log(1/\epsilon))$  steps. With probability at least  $\epsilon$ , such a walk meets the set of coordinates in which  $\hat{x}$  is non-zero (since this set has constant density). Thus, if we take such a random walk on the coordinates of  $\hat{x}$ , and output the parity of a random subset of the bits of  $\hat{x}$  that we encountered, with probability at least  $1/2 - \epsilon$  we will output one.

The encoding  $\bar{x}$  of  $\hat{x}$  is thus the following. Every coordinate in  $\bar{x}$  is associated with a specific walk W of length  $\ell$  on G and with a subset  $S \subseteq [\ell]$ ; thus,  $\bar{x}$  has  $2^{\log(n)+O(\ell)} = n \cdot \operatorname{poly}(1/\epsilon)$  coordinates. The bit of  $\bar{x}$  at a coordinate associated with a walk W and with a subset  $S \subseteq [\ell]$  is the parity of the S bits of  $\hat{x}$  encountered in the walk W. By the preceding paragraph, if  $\hat{x}$  has Hamming weight  $\Omega(1)$  then  $\bar{x}$  has Hamming weight at least  $1/2 - \epsilon$ . Also, each coordinate in  $\bar{x}$  is the parity of at most  $\ell = O(\log(1/\epsilon)) = O(\log(n))$  bits, so computing  $\bar{x}$  from  $\hat{x}$  only requires  $n \cdot \operatorname{poly}(1/\epsilon) \cdot \ell^{1.01}$  wires. Recall that in our setting we need  $\epsilon = 1/m^2 = n^{-\Omega(1)}$ ; the number of wires is thus at most  $n^{1.01}$ .

# 4 Preliminaries

Throughout the paper, the letter *n* will always denote the number of inputs to a function or a circuit. We denote random variables by boldface letters, and denote by  $\mathbf{u}_n$  the uniform distribution on *n* bits.

We are interested in Boolean functions, represented as functions  $f : \{-1, 1\}^n \to \{-1, 1\}$ . We say that a function  $f : \{-1, 1\}^n \to \{-1, 1\}$  accepts an input  $x \in \{-1, 1\}^n$  if f(x) = -1. For two Boolean functions f and g over a domain  $\mathfrak{D}$ , we say that f and g are  $\delta$ -close if  $\Pr_{x \in \mathfrak{D}}[f(x) = g(x)] \ge 1 - \delta$ .

For a vector  $w = (w_1, ..., w_n) \in \mathbb{R}^n$ , we denote by  $||w||_2$  the standard  $\ell_2$ -norm  $||w||_2 = \sqrt{\sum_{i \in [n]} w_i^2}$ . For h < n, we denote  $w_{>h} = (w_{h+1}, ..., w_n) \in \mathbb{R}^{n-h}$  and  $w_{\geq h} = (w_h, ..., w_n) \in \mathbb{R}^{n-h+1}$ . For two vectors  $w, x \in \mathbb{R}^n$ , we denote  $\langle w, x \rangle = \sum_{i \in [n]} w_i \cdot x_i$ .

#### 4.1 Two probabilistic inequalities

We will rely on two standard facts from probability theory that assert concentration and anti-concentration bounds for certain distributions. Specifically, we will need a standard version of Hoeffding's inequality, and a corollary of the Berry-Esséen theorem:

**Theorem 4.1** (Hoeffding's inequality; for a proof see, e.g., [DP09, Sec. 1.7]). Let  $w \in \mathbb{R}^n$ , and let z be a uniformly-chosen random vector in  $\{-1,1\}^n$ . Then, for any t > 0 it holds that

$$\Pr\left[\left|\left\langle w, \mathbf{z} \right\rangle\right| \ge t \cdot \|w\|_2\right] \le \exp(-\Omega(t^2)).$$

**Theorem 4.2** (a corollary of the Berry-Esséen theorem; see, e.g.,  $[DGJ^+10, Thm 2.1, Cor 2.2]$ ). Let  $w \in \mathbb{R}^n$  and  $\mu > 0$  such that for every  $i \in [n]$  it holds that  $|w_i| \le \mu \cdot ||w||_2$ , and let  $\mathbf{z}$  be a uniformly-chosen random vector in  $\{-1,1\}^n$ . Then, for any  $\theta \in \mathbb{R}$  and t > 0 it holds that:

$$\Pr\left[\langle w, \mathbf{z} \rangle \in \theta \pm t \cdot \|w\|_{2}\right] \leq 2 \cdot (t+\mu) .$$

#### 4.2 Linear threshold functions and circuits

A linear threshold function, or LTF in short, is a function  $\Phi : \{-1,1\}^n \to \{-1,1\}$  of the form  $\Phi(x) = \text{sgn}(\langle w, x \rangle - \theta)$ , where  $w \in \mathbb{R}^n$  and  $\theta \in \mathbb{R}$ ; we typically describe such a function by the pair  $\Phi = (w, \theta)$ .<sup>9</sup> The following are standard definitions regarding LTFs:

<sup>&</sup>lt;sup>9</sup>When dealing with LTFs we can assume, without loss of generality, that  $\langle w, x \rangle \neq \theta$  for every  $x \in \{-1, 1\}^n$  (because for every Boolean function over  $\{-1, 1\}^n$  that is computable by an LTF there exists an LTF that computes the function such that  $\langle w, x \rangle \neq \theta$  for every  $x \in \{-1, 1\}^n$ ).

**Definition 4.3** (regularity). For  $\epsilon > 0$ , we say that a vector  $w \in \mathbb{R}^n$  is  $\epsilon$ -regular if for every  $i \in [n]$  it holds that  $|w_i| \le \epsilon \cdot ||w||_2$ . An LTF  $\Phi = (w, \theta)$  is  $\epsilon$ -regular if w is  $\epsilon$ -regular.

**Definition 4.4** (critical index). When  $w \in \mathbb{R}^n$  satisfies  $|w_1| \ge |w_2| \ge ... \ge |w_n|$ , the  $\epsilon$ -critical index of w is defined as the smallest  $h \in [n]$  such that  $w_{>h}$  is  $\epsilon$ -regular (and  $h = \infty$  if no such  $h \in [n]$  exists). The critical index of an LTF  $\Phi = (w, \theta)$  is the critical index of w', where  $w' \in \mathbb{R}^n$  is the vector that is obtained from w by permuting the coordinates in order to have  $|w_1'| \ge ... \ge |w_n'|$ .

**Definition 4.5** (balanced LTF). For  $t \in \mathbb{R}$ , we say that an LTF  $\Phi = (w, \theta)$  is t-balanced if  $|\theta| \le t \cdot ||w||_2$ ; otherwise, we say that  $\Phi$  is t-imbalanced.

We will be interested in linear threshold circuits, which are circuits that consist only of LTF gates with unbounded fan-in and fan-out. We assume that linear threshold circuits are *layered*, in the sense that for each gate  $\Phi$ , all the gates feeding into  $\Phi$  have the same distance from the inputs. For  $n, d, m \in \mathbb{N}$ , let  $C_{n,d,m}$  be the class of linear threshold circuits over n input bits of depth  $d \ge 1$  and with at most m wires.

**Representation of linear threshold circuits** The algorithm in Theorem 1.1 gets as input an explicit representation of a linear threshold circuit *C*, where the weights and thresholds of the LTFs in *C* may be arbitrary real numbers. Throughout the paper we will not be specific about how exactly *C* is represented as an input to the algorithm, since the algorithm works in any reasonable model. In particular, the algorithm only performs *addition*, *subtraction*, *and comparison operations* on the weights and thresholds of the LTFs in *C*.

Explicitly suggesting one convenient model, one may assume that the weights and threshold of each LTF are integers of unbounded magnitude (since the real numbers can be truncated at some finite precision without changing the function). In this case, the circuit C has a binary representation, and the required time to perform addition, subtraction, and comparison on these integers is linear in the representation size. <sup>10</sup>

#### 4.3 Pseudorandomness

We need the following two standard definitions of pseudorandom distributions and of pseudorandom generators (or PRGs, in short).

**Definition 4.6** (pseudorandom distribution). For  $\epsilon > 0$  and a domain  $\mathfrak{D}$ , we say that a distribution  $\mathbf{z}$  over  $\mathfrak{D}$  is  $\epsilon$ -pseudorandom for a class of functions  $\mathcal{F} \subseteq {\mathfrak{D} \to {-1,1}}$  if for every  $f \in \mathcal{F}$  it holds that  $\Pr_{z\sim \mathbf{z}} [f(z) = -1] \in \Pr_{z\in \mathfrak{D}} [f(z) = -1] \pm \epsilon$ .

**Definition 4.7** (pseudorandom generator). Let  $\mathcal{F} = \bigcup_{n \in \mathbb{N}} \mathcal{F}_n$ , where for every  $n \in \mathbb{N}$  it holds that  $\mathcal{F}_n$  is a set of functions  $\{-1,1\}^n \to \{-1,1\}$ , and let  $\epsilon : \mathbb{N} \to [0,1]$  and  $\ell : \mathbb{N} \to \mathbb{N}$ . An algorithm G is a pseudorandom generator for  $\mathcal{F}$  with error parameter  $\epsilon$  and seed length  $\ell$  if for every  $n \in \mathbb{N}$ , when G is given as input  $1^n$  and a random seed of length  $\ell(n)$ , the output distribution of G is  $\epsilon$ -pseudorandom for  $\mathcal{F}_n$ .

We will rely on the following recent construction of a pseudorandom generator for LTFs, by Gopalan, Kane, and Meka [GKM15]:

<sup>&</sup>lt;sup>10</sup>It is well-known that every LTF over *n* input bits has a representation with integer weights of magnitude  $2^{\tilde{O}(n)}$  (for proof see, e.g., [Hås94]), and therefore the circuit *C* actually has a representation of size poly(*n*). However, we do not know of a polynomial-time algorithm to find such a representation for a given circuit *C*.

**Theorem 4.8** (a PRG for LTFs; [GKM15, Cor. 1.2]). For every  $\epsilon > 0$ , there exists a polynomialtime pseudorandom generator for the class of LTFs with seed length  $O(\log(n/\epsilon) \cdot (\log\log(n/\epsilon))^2)$ .

A distribution  $\mathbf{z}$  over  $\{-1,1\}^n$  is  $\delta$ -almost t-wise independent if for every  $S \subseteq [n]$  of size |S| = t it holds that  $\mathbf{z}_S$  is  $\delta$ -close to the uniform distribution over  $\{-1,1\}^t$  in statistical distance. We will need the following standard tail bound for such distributions.

**Fact 4.9** (tail bound for almost t-wise independent distributions). Let  $t \ge 4$  be an even number, and let  $\delta : \mathbb{N} \to [0,1]$ . Let  $\mathbf{x}_1, ..., \mathbf{x}_n$  be variables in  $\{0,1\}$  that are  $\delta(n)$ -almost t-wise independent, and denote  $\mu = \mathbb{E}\left[\frac{1}{n} \cdot \sum_{i \in [n]} \mathbf{x}_i\right]$ . Then, for any  $\zeta > 0$  it holds that  $\Pr\left[\left|\frac{1}{n} \cdot \sum_{i \in [n]} \mathbf{x}_i - \mu\right| \ge \zeta\right] < 8 \cdot \left(\frac{t \cdot \mu \cdot n + t^2}{\zeta^2 \cdot n^2}\right)^{t/2} + (2 \cdot n)^t \cdot \delta(n)$ .

In particular, for  $t = \Theta(1)$  and  $\zeta = \mu/2$  and  $\delta(n) = 1/p(n)$ , where p(n) is a sufficiently large polynomial, we have that

$$\Pr\left[\frac{1}{n} \cdot \sum_{i \in [n]} \mathbf{x}_i \in \mu \pm (\mu/2)\right] = O\left((\mu \cdot n)^{-t/2}\right) \,.$$

We now define the notion of a distribution that is  $\epsilon$ -pseudorandomly concentrated, and show that it is essentially equivalent to the notion of being  $\epsilon$ -pseudorandom for LTFs. The equivalence was communicated to us by Rocco Servedio, and is attributed to Li-Yang Tan.

**Definition 4.10** ( $\epsilon$ -pseudorandomly concentrated distribution). For  $n \in \mathbb{N}$  and  $\epsilon > 0$ , we say that a distribution  $\mathbf{z}$  over  $\{-1,1\}^n$  is  $\epsilon$ -pseudorandomly concentrated if the following holds: For every  $w \in \mathbb{R}^n$  and every  $a < b \in \mathbb{R}$  it holds that  $\Pr[\langle w, \mathbf{z} \rangle \in [a, b]] \in \Pr[\langle w, \mathbf{u}_n \rangle \in [a, b]] \pm \epsilon$ .

**Claim 4.11** (being pseudorandomly concentrated is equivalent to being pseudorandom for LTFs). Let z be a distribution over  $\{-1,1\}^n$ . Then,

- 1. If **z** is  $\epsilon$ -pseudorandom for LTFs, then **z** is  $(2\epsilon)$ -pseudorandomly concentrated.
- 2. If  $\mathbf{z}$  is  $\epsilon$ -pseudorandomly concentrated, then  $\mathbf{z}$  is  $\epsilon$ -pseudorandom for LTFs.

**Proof.** Let us first prove Item (1). Fix  $w \in \mathbb{R}^n$  and  $I = [a,b] \subseteq \mathbb{R}$ . For any fixed  $z \in \{-1,1\}^n$ , exactly one of three events happens: Either  $\langle w, z \rangle \in I$ , or  $\langle w, z \rangle < a$ , or  $\langle w, z \rangle > b$ . Since the event  $\langle w, z \rangle < a$  can be tested by an LTF (i.e., by the LTF  $\Phi(z) = \operatorname{sgn}(a - \langle w, z \rangle))$ , this event happens with probability  $\operatorname{Pr}_{z \in \{-1,1\}^n} [\langle w, z \rangle < a] \pm \epsilon$  under a choice of  $z \sim z$ . Similarly, the event  $\langle w, z \rangle > b$  happens with probability  $\operatorname{Pr}_{z \in \{-1,1\}^n} [\langle w, z \rangle < b] \pm \epsilon$  under a choice of  $z \sim z$ . Thus, the probability under a choice of  $z \sim z$  that  $\langle w, z \rangle \in I$  is  $\operatorname{Pr}_{z \in \{-1,1\}^n} [\langle w, z \rangle \in I] \pm 2\epsilon$ .

To see that Item (2) holds, let  $\Phi = (w, \theta)$  be an LTF over *n* input bits, and let  $M = \|w\|_1 = \sum_{i \in [n]} |w_i|$ . Then, for every  $z \in \{-1, 1\}^n$  it holds that  $\Phi(z) = -1$  if and only if  $z \in [-M, \theta]$ . Thus,  $\Pr[\Phi(\mathbf{z}) = -1] = \Pr[\mathbf{z} \in [-M, \theta]] \in \Pr[\mathbf{u}_n \in [-M, \theta]] \pm \epsilon = \Pr[\Phi(\mathbf{u}_n) = -1] \pm \epsilon$ .

#### 4.4 Restrictions

A restriction for functions  $\{-1,1\}^n \to \{-1,1\}$  is a subset of  $\{-1,1\}^n$ . We will be interested in restrictions that are subcubes, and such restrictions can be described by a string  $\rho \in \{-1,1,\star\}^n$  in the natural way (i.e., the subcube consists of all strings  $x \in \{-1,1\}^n$  such that for every *i* such  $\rho_i \neq \star$  it holds that  $x_i = \rho_i$ ). We will sometimes describe a restriction by a pair  $\rho = (I, z)$ , where  $I = \{i \in [n] : \rho_i = \star\}$  is the set of variables that the restriction keeps alive, and  $z = (\rho_i)_{i \in ([n] \setminus I)} \in \{-1, 1\}^{[n] \setminus I}$  is the sequence of values that  $\rho$  assigns to the variables that are fixed.

We identify strings  $r \in \{-1,1\}^{(q+1)\cdot n}$ , where  $n,q \in \mathbb{N}$ , with restrictions  $\rho = \rho_r \in \{-1,1,\star\}^n$ , as follows: Each variable is assigned a block of q + 1 bits in the string; the variable remains alive if the first q bits in the block are all 1, and otherwise takes the value of the  $(q + 1)^{th}$  bit. When we refer to a "block" in the string that corresponds to a restriction, we mean a block of q + 1 bits that corresponds to some variable. When we say that a restriction is chosen from a distribution  $\mathbf{r}$  over  $\{-1,1\}^{(q+1)\cdot n}$ , we mean that a string is chosen according to  $\mathbf{r}$ , and interpreted as a restriction.

In addition, we will sometimes identify a *pair* of strings  $y \in \{-1, 1\}^{q \cdot n}$  and  $z \in \{-1, 1\}^n$  with a restriction  $\rho = \rho_{y,z}$ . In this case, the restriction  $\rho = \rho_{y,z}$  is the restriction  $\rho_r$  that is obtained by combining y and z to a string r in the natural way (i.e., appending a bit from z to each block of q bits in y). Note that the string y determines which variables  $\rho$  keeps alive, and the string z determines the values that  $\rho$  assigns to the fixed variables.

#### 4.5 Seeded extractors and averaging samplers

We recall the standard definitions of seeded extractors and of averaging samplers, and state the well-known equivalence between the two. In this context it will be more convenient to represent Boolean functions as functions  $\{0,1\}^n \rightarrow \{0,1\}$ .

**Definition 4.12** (seeded extractors). A function  $f : \{0,1\}^n \times \{0,1\}^t \rightarrow \{0,1\}^m$  is a  $(k,\epsilon)$ extractor if for every distribution  $\mathbf{x}$  on  $\{0,1\}^n$  such that  $\max_{x \in \{0,1\}^n} [\Pr[\mathbf{x}=x]] \leq 2^{-k}$  it holds
that the distribution  $f(\mathbf{x}, \mathbf{u}_t)$  is  $\epsilon$ -close to the uniform distribution on  $\mathbf{u}_m$  in statistical distance.

**Definition 4.13** (averaging samplers). A function  $f : \{0,1\}^n \times \{0,1\}^t \to \{0,1\}^m$  is an averaging sampler with accuracy  $\epsilon > 0$  and error  $\delta > 0$  if it satisfies the following. For every  $T \subseteq \{0,1\}^m$ , for all but a  $\delta$ -fraction of the strings  $x \in \{0,1\}^n$  it holds that  $\Pr_{z \in \{0,1\}^t}[f(x,z) \in T] = |T|/2^m \pm \delta$ .

**Proposition 4.14** (seeded extractors are equivalent to averaging samplers). Let  $f : \{0,1\}^n \times \{0,1\}^t \rightarrow \{0,1\}^m$ . Then, the following two assertions hold:

- 1. If f is a  $(k, \epsilon)$ -extractor, then f is an averaging sampler with accuracy  $\epsilon$  and error  $\delta = 2^{k-n}$ .
- 2. If f is an averaging sampler with accuracy  $\epsilon$  and error  $\delta$ , then f is an  $(n \log(\epsilon/\delta), 2\epsilon)$ -extractor.

For a proof of Proposition 4.14 see, e.g., [Vad12, Cor. 6.24]. In the current paper we will only use the first item of Proposition 4.14.

# 5 A quantified derandomization algorithm for linear threshold circuits

Let us now state a more general version of Theorem 1.1 and prove it.

**Theorem 5.1** (Theorem 1.1, restated). Let  $d \ge 1$ , let  $\epsilon > 0$ , and let  $\delta = d \cdot 30^{d-1} \cdot \epsilon$ . Then, there exists a deterministic algorithm that for every  $n \in \mathbb{N}$ , when given as input a circuit  $C \in C_{n,d,n^{1+\epsilon}}$ , runs in time  $n^{O(\log \log(n))^2}$ , and for the parameter  $B(n) = \frac{1}{10} \cdot 2^{n^{1-\delta}}$  satisfies the following:

- 1. If C accepts all but at most B(n) of its inputs, then the algorithm accepts C.
- 2. If C rejects all but at most B(n) of its inputs, then the algorithm rejects C.

To obtain the parameters of Theorem 1.1, for any  $d \ge 1$  let  $\epsilon = 2^{-10d}$ . Then, the algorithm from Theorem 5.1 works when the number of exceptional inputs of *C* is at most  $B(n) = \frac{1}{10} \cdot 2^{n^{1-\delta}} > 2^{n^{1-1/5d}}$ . The deterministic algorithm from Theorem 5.1 is based on the following *pseudorandom restriction algorithm*, whose construction and proof appear in Section 5.1.

**Proposition 5.2** (pseudorandom restriction algorithm). Let  $d \ge 1$ , let  $\epsilon > 0$  be a sufficiently small constant, and let  $\delta = d \cdot 30^{d-1} \cdot \epsilon$ . Then, there exists a polynomial-time algorithm that for every  $n \in \mathbb{N}$ , when given as input a circuit  $C \in C_{n,d,n^{1+\epsilon}}$  and a random seed of length  $O(\log(n) \cdot (\log\log(n))^2)$ , with probability at least  $1 - n^{-\epsilon/2}$  satisfies the following:

- 1. The algorithm outputs a restriction  $\rho \in \{-1, 1, \star\}^n$  that keeps at least  $n^{1-\delta}$  variables alive.
- 2. The algorithm outputs an LTF  $\Phi : \{-1,1\}^{\rho^{-1}(\star)} \to \{-1,1\}$  such that  $\Phi$  is 1/10-close to  $C \upharpoonright_{\rho}$  (i.e.,  $\Pr_{x \in \{-1,1\}^{\rho^{-1}(\star)}}[C(x) = \Phi(x)] \ge 9/10$ ).

Let us now prove the main result (i.e., Theorem 5.1) relying on Proposition 5.2.

**Proof of Theorem 5.1.** We iterate over all seeds for the algorithm from Proposition 5.2. For each seed that yields both a restriction  $\rho$  that keeps at least  $n^{1-\delta}$  variables alive and an LTF  $\Phi$  over  $\{-1,1\}^{\rho^{-1}(\star)}$ , we estimate the acceptance probability of  $\Phi$  up to an error of  $\frac{1}{5}$ ; this is done by iterating over the seeds of the pseudorandom generator from Theorem 4.8 (instantiated with error parameter 1/5). If for most of the seeds, our estimate of the acceptance probability of  $\Phi$  is at least  $\frac{3}{5}$ , then we accept *C*; and otherwise we reject *C*. The running time of the algorithm is  $2^{O(\log(n) \cdot (\log \log(n))^2)} = n^{O(\log \log(n))^2}$ .

Recall that all but  $O(n^{-\epsilon})$  of the seeds yield  $\rho$  and  $\Phi$  such that  $\rho$  keep at least  $n^{1-\delta} > \log(10 \cdot B(n))$  variables alive and such that  $\Phi$  is 1/10-close to  $C \upharpoonright_{\rho}$ ; we call such seeds *good* seeds. Now, if *C* accepts all but at most B(n) inputs, then for every good seed, the acceptance probability of  $C \upharpoonright_{\rho}$  is at least 9/10, and thus the acceptance probability of  $\Phi$  is at least  $\frac{4}{5}$ , which implies that our estimate of the latter will be at least 3/5. Thus, the algorithm will accept *C*. On the other hand, if *C* rejects all but at most B(n) inputs, then by a similar argument for all good seeds it holds that the estimate of the acceptance probability of  $\Phi$  will be at most 2/5, and thus the algorithm will reject *C*.

#### 5.1 Pseudorandom restriction algorithm

We prove Proposition 5.2 in three steps. The first step, in Section 5.1.1, will be to prove that a suitably-chosen pseudorandom restriction turns any single LTF to be very biased, with high probability. The second step, in Section 5.1.2, will leverage the first step to construct an algorithm that gets as input a linear threshold circuit, and applies pseudorandom restrictions to reduce the depth of the circuit by one layer. And the final step, in Section 5.1.3, will be to iterate the construction of the second step in order to prove Proposition 5.2.

#### 5.1.1 Pseudorandom restrictions and a single LTF

As mentioned in the introduction, an illustrative example for the effects of restrictions on LTFs is the majority function  $\Phi(x) = \operatorname{sgn}(\sum_{i \in [n]} x_i)$ . For  $p \in (0, 1)$ , denote by  $\mathcal{R}_p$  the distribution of restrictions on n variables such that for every  $i \in [n]$  independently it holds that the  $i^{th}$  variable remains alive with probability p, and is otherwise assigned a uniform random bit. Then, we have the following:

**Fact 5.3** (a random restriction and the majority function). Let  $\Phi(x) = \operatorname{sgn}(\sum_{i \in [n]} x_i)$ , and let  $p = n^{-\Omega(1)}$ . Then, for every  $t \ge 1$ , with probability at least  $1 - O(t \cdot \sqrt{p})$  over  $\rho \sim \mathcal{R}_p$  it holds that  $\Phi \upharpoonright_{\rho}$  is t-imbalanced

**Proof.** Let  $I \subseteq [n]$  be the set of variables that  $\rho$  keeps alive. With probability  $1 - \exp(-n^{\Omega(1)})$  it holds that  $||w_I||_2 \in \sqrt{pn} \pm \sqrt{pn}/2$ . Conditioned on  $||w_I||_2 \leq 2 \cdot \sqrt{pn}$ , it also holds that  $||w_{[n]\setminus I}||_2 \geq \sqrt{n}/2$ , which implies that for every  $i \in ([n] \setminus I)$  it holds that  $|w_i| = 1 \leq (2/\sqrt{n}) \cdot ||w_{[n]\setminus I}||_2$ . In this case, by the Berry-Esséen theorem (i.e., by Theorem 4.2), for any  $t \geq 1$ , the probability that  $\langle w_{[n]\setminus I}, z_{[n]\setminus I} \rangle$  falls in the interval  $\pm 4t \cdot \sqrt{p} \cdot ||w_{[n]\setminus I}||_2$  (which contains the interval  $\pm t \cdot ||w_I||_2$ ) is at most  $O(t \cdot \sqrt{p} + \frac{2}{\sqrt{n}}) = O(t \cdot \sqrt{p})$ .

Our goal in this section is to prove a statement that is similar to Fact 5.3, but that holds for an *arbitrary LTF*  $\Phi$ , and holds also when the restriction  $\rho$  is sampled pseudorandomly, rather than uniformly. For simplicity, we only state the proposition informally at the moment (for a formal statement see Proposition 5.8):

**Proposition 5.4** (pseudorandom restriction lemma for a single LTF; informal). Let  $n \in \mathbb{N}$ , let  $p = n^{-\Omega(1)}$ , and let  $t = p^{-\Omega(1)}$ . Let **y** be a distribution over  $\{-1,1\}^{\log(1/p)\cdot n}$  that is p-almost  $O(\log(1/p))$ -wise independent, and let **z** be a distribution over  $\{-1,1\}^n$  that is  $p^{\Omega(1)}$ -pseudorandomly concentrated. Then, for any LTF  $\Phi$  over n input bits, the probability over choice of restriction  $\rho \sim (\mathbf{y}, \mathbf{z})$  that  $\Phi \upharpoonright_{\rho}$  is t-balanced is at most  $p^{\Omega(1)}$ .

A high-level description of the proof. Let  $\Phi = (w, \theta)$  be an LTF over n input bits, and without loss of generality assume that  $|w_1| \ge |w_2| \ge ... \ge |w_n|$ . Recall that  $\Phi|_{\rho}$  is t-balanced if and only if the sum  $\langle w_{[n]\setminus I}, \mathbf{z}_{[n]\setminus I} \rangle$  falls in the interval  $\theta \pm t \cdot ||w_I||_2$ , where  $I \subseteq [n]$  is the set of variables that  $\mathbf{y}$  keeps alive. The proof is based on a modification of the case analysis that appears in [CSS16, Lem. 34, Sec. 4.2, Apdx. C.]. Specifically, for  $\mu = \Omega(1/t)$  and  $k = \tilde{O}(t^2)$ , we will consider two separate cases.

*Case 1: The*  $\mu$ -*critical index of*  $\Phi$  *is at most* k. Let  $h \leq k$  be the  $\mu$ -critical index of  $\Phi$ , and denote  $T = [n] \setminus [h]$ . We first claim that with probability  $1 - p^{\Omega(1)}$  over choice of  $y \sim \mathbf{y}$  it holds that  $\|w_I\|_2 \leq p^{\Omega(1)} \cdot \|w_T\|_2$ . This is the case since with probability at least  $1 - h \cdot p = 1 - p^{\Omega(1)}$ , all the first h variables are fixed by  $\rho$ , and since the expected value of  $\|w_{I\cap T}\|_2$  is  $\sqrt{p} \cdot \|w_T\|_2$ .

Condition on any fixed choice of  $y \sim \mathbf{y}$  such that  $||w_I||_2 \leq p^{\Omega(1)} \cdot ||w_T||_2$ . We will prove that with probability  $1 - p^{\Omega(1)}$  over a *uniform choice of*  $z \in \{-1,1\}^n$  it holds that  $\langle w_{[n]\setminus I}, z_{[n]\setminus I} \rangle$  does not fall in the interval  $\theta \pm t \cdot p^{\Omega(1)} \cdot ||w_T||_2$  (which contains the interval  $\theta \pm t \cdot ||w_I||_2$ ). Since  $\mathbf{z}$  is  $p^{\Omega(1)}$ -pseudorandomly concentrated, it will follow that this event also holds with probability  $1 - p^{\Omega(1)}$  under a choice of  $z \sim \mathbf{z}$ . To prove the claim about a uniform choice of  $z \in \{-1,1\}^n$ , condition *any arbitrary fixed* values  $z_{[h]} \in \{-1,1\}^h$  for the first h variables. Then, the probability that  $\left\langle w_{[n]\setminus I}, z_{[n]\setminus I} \right\rangle$  falls in the interval  $\theta \pm t \cdot p^{\Omega(1)} \cdot \|w_T\|_2$  (which is what we want to bound) equals the probability that  $\left\langle w_{T\setminus I}, z_{T\setminus I} \right\rangle_2$  falls in the interval  $\theta' \pm t \cdot p^{\Omega(1)} \cdot \|w_T\|_2$ , where  $\theta' = \theta - \left\langle w_{[h]}, z_{[h]} \right\rangle$ . Since h is the  $\mu$ -critical index of w we have that  $w_T$  is  $\mu$ -regular; also, since  $\|w_I\|_2 \leq p^{\Omega(1)} \cdot \|w_T\|_2$ , it follows that  $w_{T\setminus I}$  is also  $(2\mu)$ -regular and that  $\|w_T\|_2 \approx \|w_{T\setminus I}\|_2$ . By the Berry-Esséen theorem, the probability that  $\left\langle w_{T\setminus I}, z_{T\setminus I} \right\rangle$  falls in an interval of length  $t \cdot p^{\Omega(1)} \cdot \|w_{T\setminus I}\|_2$  is at most  $O(t \cdot p^{\Omega(1)} + \mu) = p^{\Omega(1)}$  (see Lemma 5.5).

*Case 2: The*  $\mu$ -*critical index of*  $\Phi$  *is larger than* k. Similarly to the previous case, with probability at least  $1 - p^{\Omega(1)}$  it holds that all the first k variables are fixed by  $\rho$ . Condition on any fixed  $y \sim \mathbf{y}$  that fixes all the first k variables. What we will show is that with high probability over  $z \sim \mathbf{z}$ , the sum  $\langle w_{[n]\setminus I}, \mathbf{z}_{[n]\setminus I} \rangle$  falls outside the interval  $\theta \pm (1/4\mu) ||w_{>k}||_2$ , which contains the interval  $\theta \pm t \cdot ||w_I||_2$  (since  $I \subseteq ([n] \setminus [k])$  and  $\mu = \Omega(1/t)$ ).

As before, we first analyze the case in which z is chosen uniformly in  $\{-1,1\}^n$ . To do so we rely on a lemma of Servedio [Ser07], which asserts that the weights in w decrease exponentially up to the critical index. Intuitively, since the critical index is large (i.e., more than k), the exponential decay of the weights implies that  $||w_{>k}||_2$  is small. Thus, when uniformly choosing  $z \in \{-1,1\}^n$ , the sum  $\langle w_{[n]\setminus I}, z_{[n]\setminus I} \rangle$  is unlikely to fall in the small interval  $\theta \pm (1/4\mu) \cdot ||w_{>k}||_2$ ; specifically, this happens with probability at most  $\mu = p^{\Omega(1)}$ (see Claim 5.7.1 for a precise statement).

Since the event  $\langle w_{[n]\setminus I}, z_{[n]\setminus I} \rangle \in \theta \pm (1/4\mu) \cdot ||w_{>k}||_2$  happens with probability  $p^{\Omega(1)}$  when  $z \in \{-1, 1\}^n$  is chosen uniformly, and the distribution z is  $p^{\Omega(1)}$ -pseudorandomly concentrated, the event also happens with probability at most  $p^{\Omega(1)}$  over a choice of  $z \sim z$ .

**The full proof.** We will first prove an auxiliary lemma, which analyzes the effect of uniformly-chosen restrictions on regular LTFs (see Lemma 5.5). Then, we will prove a version of Proposition 5.4 that only holds for LTFs with *bounded critical index* (see Lemma 5.6), and a version of Proposition 5.4 that only holds for LTFs with *large critical index* (see Lemma 5.7). Finally, we will formally state a more general version of Proposition 5.4 and prove it (see Proposition 5.8).

The following auxiliary lemma considers a regular vector  $w \in \mathbb{R}^m$ , a fixed set of variables  $I \subseteq [m]$  that will be kept alive, and a uniformly-chosen assignment  $z \in \{-1, 1\}^m$  for the fixed variables. The lemma will be used in the proof of Lemma 5.6.

**Lemma 5.5** (pseudorandom restriction lemma for regular LTFs). Let  $m \in \mathbb{N}$ , let  $\mu \in (0,1)$ , and let  $\lambda \leq 3/4$ . Let  $w' \in \mathbb{R}^m$  be a  $\mu$ -regular vector, and let  $I \subseteq [m]$  such that  $||w'_I||_2 < \lambda \cdot ||w'||_2$ . Then, for any  $\theta' \in \mathbb{R}$  and t > 0, the probability over uniform choice of  $z \in \{-1,1\}^m$  that  $\langle w'_{[m]\setminus I}, z_{[m]\setminus I} \rangle \in \theta' \pm t \cdot \lambda \cdot ||w'||_2$  is at most  $O(t \cdot \lambda + \mu)$ .

**Proof.** Note that  $\left\|w'_{[m]\setminus I}\right\|_{2}^{2} > \|w'\|_{2}^{2}/4$ ; this is the case because  $\|w'_{I}\|_{2}^{2} < \lambda \cdot \|w'\|_{2}^{2} \leq \frac{3}{4} \cdot \|w'\|_{2}^{2}$ . It follows that  $w'_{[m]\setminus I}$  is  $2\mu$ -regular, since for every  $i \in [m]$  we have that  $\left|w'_{i}\right| \leq \mu \cdot \|w'\|_{2} \leq 2\mu \cdot \|w'_{[m]\setminus I}\|_{2}$ . It also follows that the interval  $\theta \pm t \cdot \lambda \cdot \|w'\|_{2}$  is contained in

the interval  $\theta \pm 2t \cdot \lambda \cdot \|w'_{[m]\setminus I}\|_2$ . By the Berry-Esséen theorem (i.e., by Theorem 4.2), the probability over a uniform choice of  $z \in \{-1,1\}^m$  that the sum  $\langle w_{[m]\setminus I}, z_{[m]\setminus I} \rangle$  falls in a fixed interval of length  $2t \cdot \lambda \cdot \|w_{[m]\setminus I}\|$  is at most  $O(t \cdot \lambda + \mu)$ .

The following lemma asserts that a suitably-chosen pseudorandom restriction turns every LTF with *bounded critical index* to be very biased, with high probability. The specific parameters that are chosen for the lemma will be useful for us when proving the general case (i.e., Proposition 5.8, which holds for arbitrary LTFs).

**Lemma 5.6** (pseudorandom restriction lemma for LTFs with small critical index). Let  $n \in \mathbb{N}$ , let  $p \in [0,1]$  be a power of two, let  $c \in \mathbb{N}$  be a constant, and let  $t \leq p^{-1/(3c-2)}$  and  $\mu = 1/4t^c$ . Let **y** be a distribution over  $\{-1,1\}^{\log(1/p)\cdot n}$  that is p-almost  $O(\log(1/p))$ -wise independent, and let **z** be a distribution over  $\{-1,1\}^n$  that is  $\mu$ -pseudorandomly concentrated. Then, for any LTF  $\Phi$  over n input bits with  $\mu$ -critical index at most  $k = 10^3 \cdot \mu^{-2} \cdot \log^2(1/\mu)$ , the probability over choice of  $\rho \sim (\mathbf{y}, \mathbf{z})$  that  $\Phi \upharpoonright_{\rho}$  is t-balanced is at most  $\tilde{O}(t^{1+c/2}) \cdot \sqrt{p} + O(t^{-c})$ .

**Proof.** Let  $\Phi = (w, \theta)$  be an LTF gate over *n* input bits with critical index  $h \le k$ , and without loss of generality assume that  $|w_1| \ge |w_2| \ge ... \ge |w_n|$ . Let  $I \subseteq [n]$  be the random variable that is the set of live variables under **y**; then, it holds that:

**Claim 5.6.1.** With probability at least  $1 - O(\mu + p \cdot k)$  over  $y \sim \mathbf{y}$  it holds that  $I \subseteq ([n] \setminus [h])$ and that  $||w_I||_2 \leq \sqrt{p/\mu} \cdot ||w_{[n] \setminus [h]}||_2$ .

*Proof.* Since **y** is *p*-almost  $O(\log(1/p))$ -wise independent, each variable is kept alive with probability at most 2p. Thus, the probability over  $y \sim \mathbf{y}$  that the first *h* variables are all fixed is at least  $1 - 2p \cdot h$ . Also, the expected value of  $\left\|w_{I \cap ([n] \setminus [h])}\right\|_{2}^{2}$  is at most  $2p \cdot \left\|w_{[n] \setminus [h]}\right\|_{2}^{2}$ , and hence with probability at least  $1 - 2\mu$  it holds that  $\left\|w_{I \cap ([n] \setminus [h])}\right\|_{2} \leq \sqrt{p/\mu} \cdot \left\|w_{[n] \setminus [h]}\right\|_{2}^{2}$ . By a union-bound, with probability at least  $1 - O(\mu + p \cdot h) > 1 - O(\mu + p \cdot k)$  it holds that  $I \subseteq ([n] \setminus [h])$  and that  $\left\|w_{I}\right\|_{2} = \left\|w_{I \cap ([n] \setminus [h])}\right\|_{2} \leq \sqrt{p/\mu} \cdot \left\|w_{[n] \setminus [h]}\right\|_{2}$ .

Fix any  $y \sim \mathbf{y}$  such that the first h variables are all fixed, and such that  $||w_I||_2 \leq \sqrt{p/\mu} \cdot ||w_{[n] \setminus [h]}||_2$ . Our goal will be to prove that with high probability over  $z \sim \mathbf{z}$  it holds that  $\langle w_{[n] \setminus I}, \mathbf{z}_{[n] \setminus I} \rangle \notin \theta \pm t \cdot \sqrt{p/\mu} \cdot ||w_{[n] \setminus [h]}||_2$ ; this suffices to prove the lemma, since  $t \cdot \sqrt{p/\mu} \cdot ||w_{[n] \setminus [h]}|| \geq t \cdot ||w_I||_2$ . To do so, we first analyze the setting in which  $z \in \{-1, 1\}^n$  is chosen uniformly, rather than from the distribution  $\mathbf{z}$ :

**Claim 5.6.2.** The probability over a uniform choice of  $z \in \{-1,1\}^n$  that  $\left\langle w_{[n]\setminus I}, z_{[n]\setminus I} \right\rangle \in \theta \pm t \cdot \sqrt{p/\mu} \cdot \left\| w_{[n]\setminus [h]} \right\|_2$  is at most  $O(t \cdot \sqrt{p/\mu} + \mu)$ .

*Proof.* The claim is trivial for  $\mu \leq 2p$ , so it suffices to prove the claim under the assumption that  $\mu > 2p$ . Condition on any arbitrary assignment  $z_{[h]} \in \{-1,1\}^h$  for the first h variables, and note that the vector  $w_{>h} \in \{-1,1\}^{n-h}$  is  $\mu$ -regular (since h is the  $\mu$ -critical index of  $\Phi$ ).

Let  $T = [n] \setminus [h]$ . Observe that when conditioning on  $z_{[h]}$ , the event  $\left\langle w_{[n]\setminus I}, z_{[n]\setminus I} \right\rangle \in \theta \pm t \cdot \sqrt{p/\mu} \cdot \left\| w_{[n]\setminus [h]} \right\|_2$  happens if and only if the event  $\left\langle w_{T\setminus I}, z_{T\setminus I} \right\rangle \in \theta' \pm t \cdot \sqrt{p/\mu} \cdot \|w_T\|_2$ 

happens, where  $\theta' = \theta - \langle w_{[h]}, z_{[h]} \rangle$ . Since  $w_T$  is  $\mu$ -regular, we can invoke Lemma 5.5 with  $w' = w_T$  and with  $\lambda = \sqrt{p/\mu} \le 3/4$  (the inequality is since  $\mu > 2p$ ), and deduce the probability of the event  $\langle w_{T\setminus I}, z_{T\setminus I} \rangle \in \theta' \pm t \cdot \sqrt{p/\mu} \cdot ||w_T||_2$  is at most  $O(t \cdot \sqrt{p/\mu} + \mu)$ .  $\Box$ 

Since **z** is  $\mu$ -pseudorandomly concentrated, it follows from Claim 5.6.2 that the probability over  $z \sim \mathbf{z}$  that  $\left\langle w_{[n]\setminus I}, \mathbf{z}_{[n]\setminus I} \right\rangle \in \theta \pm t \cdot \sqrt{p/\mu} \cdot \left\| w_{[n]\setminus [h]} \right\|_2$  is at most  $O(t \cdot \sqrt{p/\mu} + \mu)$ . Thus, the probability over choice of  $\rho \sim (\mathbf{y}, \mathbf{z})$  that  $\Phi \upharpoonright_{\rho}$  is *t*-balanced is at most  $O(t \cdot \sqrt{p/\mu} + \mu + p \cdot k) = \tilde{O}(t^{1+c/2}) \cdot \sqrt{p} + O(t^{-c})$ , where the last equality relied on the hypothesis that  $t \leq p^{-1/(3c-2)}$ .

The following lemma is similar to Lemma 5.6, but holds for LTFs with *large critical index*.

**Lemma 5.7** (pseudorandom restriction lemma for LTFs with large critical index). Let  $n \in \mathbb{N}$ , let  $p \in [0,1]$  be a power of two, and let  $\mu > 0$ . Let **y** be a distribution over  $\{-1,1\}^{\log(1/p)\cdot n}$  that is *p*-almost  $O(\log(1/p))$ -wise independent, and let **z** be a distribution over  $\{-1,1\}^n$  that is *µ*-pseudorandomly concentrated. Then, for any LTF  $\Phi$  over *n* input bits with *µ*-critical index larger than  $k = 10^3 \cdot \mu^{-2} \cdot \log^2(1/\mu)$ , the probability over choice of  $\rho \sim (\mathbf{y}, \mathbf{z})$  that  $\Phi \upharpoonright_{\rho}$  is  $(1/4\mu)$ -balanced is  $\tilde{O}(\mu^{-2}) \cdot p + O(\mu)$ .

**Proof.** Let  $\Phi = (w, \theta)$  be an LTF gate over *n* input bits with  $\mu$ -critical index larger than *k*, and without loss of generality assume that  $|w_1| \ge |w_2| \ge ... \ge |w_n|$ . Also, let  $I \subseteq [n]$  be the random variable that is the set of live variables under **y**. Note that the probability over  $y \sim \mathbf{y}$  that  $I \cap [k] \neq \emptyset$  is at most  $2p \cdot k = \tilde{O}(\mu^{-2}) \cdot p$  (since **y** keeps each variable alive with probability at most 2p).

Condition on any arbitrary  $y \sim \mathbf{y}$  such that  $[k] \cap I = \emptyset$ . Our goal now is to show that the probability over  $z \sim \mathbf{z}$  that  $\Phi \upharpoonright_{\rho}$  is  $(1/4\mu)$ -balanced is  $O(\mu)$ . We will actually prove a stronger claim: We will show that with probability at least  $1 - O(\mu)$  it holds that  $\langle w_{[n]\setminus I}, \mathbf{z}_{[n]\setminus I} \rangle \notin \theta \pm (1/4\mu) \cdot ||w_{>k}||_2$  (this claim is stronger, since  $I \subseteq ([n] \setminus [k])$ , which implies that  $||w_{>k}||_2 \ge ||w_I||_2$ ). To prove this assertion we will rely on the following claim, which is essentially from [CSS16, Prop. 45] and generalizes [DGJ+10, Lem. 5.8]. (Since the proof is sketched in [CSS16], we include a full proof.)

**Claim 5.7.1.** Let  $\mu > 0$ , let  $r \in \mathbb{N}$ , and let  $k_{r,\mu} = \frac{4r \cdot \ln(3/\mu^2)}{\mu^2}$ . Let  $\Phi = (w,\theta)$  be an LTF over n input bits with  $\mu$ -critical index larger than  $k_{r,\mu}$  such that  $|w_1| \ge ... \ge |w_n|$ , and let  $J \subseteq [n]$  such that  $J \supseteq [k_{r,\mu}]$ . Then, the probability under uniform choice of  $z \in \{0,1\}^n$  that  $\langle w_J, z_J \rangle \in \theta \pm (1/4\mu) \cdot \|w_{>k_{r,\mu}}\|_2$  is at most  $2^{-r}$ .

*Proof.* Since the critical index of  $\Phi$  is larger than  $k_{r,\mu}$ , a lemma of Servedio [Ser07, Lem. 3] asserts that for any  $1 \le i < j \le k_{r,\mu}$  it holds that

$$|w_j| \le ||w_{\ge j}||_2 \le (1-\mu^2)^{(j-i)/2} \cdot ||w_{\ge i}||_2 \le (1-\mu^2)^{(j-i)/2} \cdot |w_i|/\mu.$$
(5.1)

(For an equivalent statement of the lemma see [DGJ<sup>+</sup>10, Lem. 5.5].) In particular, fixing  $\gamma = \frac{2 \ln(3/\mu^2)}{\mu^2}$ , for any  $i \in \mathbb{N}$  such that  $i \cdot \gamma < k_{r,\mu}$  it holds that  $|w_{i\cdot\gamma}| < |w_1|/3^i$ .

Let  $R = 1, \gamma, ..., r \cdot \gamma < k_{r,\mu}$ , and consider any arbitrary fixed value of  $z_{J\setminus R}$ . Then, by a claim of Diakonikolas *et al.* [DGJ<sup>+</sup>10, Clm. 5.7], there exists at most a single value

 $z_R \in \{-1,1\}^r$  such that  $\langle w_R, z_R \rangle \in (\theta - \langle w_{J \setminus R}, z_{J \setminus R} \rangle) \pm |w_{r \cdot \gamma}|/4$ . Thus, the probability under a uniform choice of  $z \in \{0,1\}^n$  that  $\langle w_J, z_J \rangle \in \theta \pm |w_{r \cdot \gamma}|/4$  is at most  $2^{-r}$ .

The claim follows since  $\|w_{>k_{r,\mu}}\|_2 \le \|w_{\ge (r+1)\cdot\gamma}\|_2 \le \mu \cdot |w_{r\cdot\gamma}|$ , where the first inequality is since  $k_{r,\mu} > (r+1)\cdot\gamma$  and the second inequality is due to Eq. (5.1).

We invoke Claim 5.7.1 with the value  $r = \log(1/\mu)$  and with the set  $J = [n] \setminus I$ , while noting that the critical index of  $\Phi$  is indeed larger than  $k \ge k_{r,\mu}$ . Since the interval  $\theta \pm (1/4\mu) \cdot ||w_{>k}||_2$  is contained in the interval  $\theta \pm (1/4\mu) \cdot ||w_{>k_{r,\mu}}||_2$  (because  $k \ge k_{r,\mu}$ ), we deduce that the event  $\langle w_{[n]\setminus I}, z_{[n]\setminus I} \rangle \in \theta \pm (1/4\mu) \cdot ||w_{>k}||_2$  happens with probability at most  $\mu$  under a uniform choice of  $z \in \{0,1\}^n$ . Since z is  $\mu$ -pseudorandomly concentrated, this event happens with probability at most  $O(\mu)$  also under a choice of  $z \sim z$ .

Finally, we are ready to state a more general version of Proposition 5.4 and to prove it. The proof will rely on Lemmas 5.6 and 5.7.

**Proposition 5.8** (pseudorandom restriction lemma for an arbitrary LTF). Let  $n \in \mathbb{N}$ , let  $p \in [0,1]$  be a power of two, let  $c \in \mathbb{N}$  be a constant, and let  $t \leq p^{-1/(3c-2)}$ . Let **y** be a distribution over  $\{-1,1\}^{\log(1/p)\cdot n}$  that is p-almost  $O(\log(1/p))$ -wise independent, and let **z** be a distribution over  $\{-1,1\}^n$  that is  $(1/4t^c)$ -pseudorandomly concentrated. Then, for any LTF  $\Phi$  over n input bits, the probability over choice of  $\rho \sim (\mathbf{y}, \mathbf{z})$  that  $\Phi \upharpoonright_{0}$  is t-balanced is at most  $\tilde{O}(t^{1+c/2}) \cdot \sqrt{p} + O(t^{-c})$ .

To obtain the parameters that were stated in Section 3.1.2, invoke Proposition 5.8 with c = 2. (When c = 2, the hypothesis that  $t \le p^{-1/(3c-2)} = p^{-1/4}$  is not required, since for  $t > p^{-1/4}$  the probability bound in the lemma's statement is trivial.)

**Proof of Proposition 5.8.** Let  $\Phi = (w, \theta)$  be an LTF gate over *n* input bits, let  $\mu = 1/4t^c$ , and let  $k = 10^3 \cdot \mu^{-2} \cdot \log^2(1/\mu)$ . If the  $\mu$ -critical index of  $\Phi$  is at most *k*, the asserted probability bound follows immediately from Lemma 5.6. On the other hand, if the  $\mu$ -critical index of  $\Phi$  is larger than *k*, we can rely on Lemma 5.7. The lemma asserts that the probability that  $\Phi \upharpoonright_{\rho}$  is  $(1/4\mu)$ -balanced is at most  $\tilde{O}(\mu^{-2}) \cdot p + O(\mu) < \tilde{O}(t^{1+c/2}) \cdot \sqrt{p} + O(t^{-c})$ , where the inequality relies on the hypothesis that  $t \le p^{-1/(3c-2)}$ . Since  $(1/4\mu) \ge t$ , whenever  $\Phi \upharpoonright_{\rho}$  is  $(1/4\mu)$ -imbalanced it is also *t*-imbalanced.

#### 5.1.2 Pseudorandom restriction algorithm for a "layer" of LTFs

The next step is to construct a pseudorandom restriction algorithm that transforms a depth*d* linear threshold circuit into a depth-(d - 1) linear threshold circuit. The key part in this step is an application of Proposition 5.8.

**Proposition 5.9** (pseudorandom restriction algorithm for a "layer" of LTFs). For every three constants  $d \ge 2$  and  $\epsilon > 0$  and c > 0, there exists a polynomial-time algorithm that gets as input a circuit  $C \in C_{n,d,n^{1+\epsilon}}$  and a random seed of length  $O(\log(n) \cdot (\log \log(n))^2)$ , and with probability at least  $1 - n^{-\epsilon}$  outputs the following:

- 1. A restriction  $\rho \in \{-1, 1, \star\}^n$  that keeps at least  $n' = \Omega(n^{1-24 \cdot \epsilon})$  variables alive.
- 2. A circuit  $\widetilde{C} \in C_{n',d-1,(n')^{1+30\epsilon}}$  that agrees with C on at least  $1 n^{-c}$  of the inputs in the subcube that corresponds to  $\rho$  (i.e.,  $\Pr_{x \in \{-1,1\}^{|\rho^{-1}(\star)|}}[C \upharpoonright_{\rho}(x) = \widetilde{C}(x)] > 1 n^{-c}$ ).

**High-level overview of the proof.** The key step of the algorithm is to apply Proposition 5.8 with parameters  $p = n^{-\beta}$  and c = 1 and  $t = p^{-1/5}$ , where  $\beta = O(\epsilon)$ . The lemma asserts that, in expectation, all but approximately  $n^{-\beta/5}$  of the gates will become *t*-imbalanced (for simplicity, ignore polylogarithmic factors for now). Such imbalanced gates are extremely close to a constant function, so we can replace the gates by the corresponding constants and get a circuit that agrees with the original circuit on almost all inputs.

As for the other  $n^{-\beta/5}$ -fraction of the gates, we expect that the number of wires feeding into them will decrease by a factor of *p* after the restriction. Specifically, assume that indeed the fan-in of each gate decreased by a factor of at least *p*; then, the expected number of wires feeding into the balanced gates after the restriction is at most

$$\sum_{\Phi \text{ gate}} \Pr[\Phi \text{ balanced}] \cdot p \cdot (\# \text{ wires incoming to } \Phi) \le n^{-\beta/5} \cdot p \cdot n^{1+\epsilon} .$$
(5.2)

Thus, with probability at least  $1 - n^{-\beta/10}$ , the number of wires feeding into balanced gates is at most  $(n^{\epsilon-\beta/10}) \cdot p \cdot n$ , which is much smaller than the expected number of living variables (i.e., than  $p \cdot n$ ) if  $\beta > 10\epsilon$ . When this happens, we can afford to simply fix all the variables that feed into balanced gates, making those gates constant too.

The argument above relied on the assumption that the fan-in of each gate  $\Phi$  decreased by a factor of at least p. We can argue that this indeed holds with high probability for all gates with fan-in at least  $n^{\alpha}$ , where  $\alpha > \beta$ , but we will need to separately handle gates with fan-in at most  $n^{\alpha}$ . This will be done in two steps: The first is an initial preprocessing step (before applying Proposition 5.8), in which we fix every variable with fan-out more than  $2 \cdot n^{\epsilon}$ ; since there are at most  $n^{1+\epsilon}$  wires, this step fixes at most n/2 variables. Then, after applying Proposition 5.8 and fixing the variables that feed into balanced gates with fan-in at least  $n^{\alpha}$ , we show that there exists a set I of variables of size approximately  $n^{-(\alpha+\epsilon)} \cdot (p \cdot n)$ such that after fixing all variables outside I, each gate with fan-in at most  $n^{\alpha}$  has fan-in at most one (see Claim 5.10.1). Thus, we can fix the variables outside I, and then replace each gate with fan-in at most  $n^{\alpha}$  with the corresponding variable (or with its negation). At this point all the gates in the bottom layer have been replaced by constants or by variables.

**Proof of Proposition 5.9.** Let  $G = \{\Phi_1, ..., \Phi_r\}$  be the set of gates in the bottom layer of *C*. For  $\alpha = 12\epsilon$ , let  $S \subseteq G$  be the set of gates with fan-in at most  $n^{\alpha}$ , and let  $L = G \setminus S$  be the set of gates with fan-in more than  $n^{\alpha}$ .

The restriction  $\rho$  will be composed of four restrictions  $\rho_1, ..., \rho_4$ . When describing the construction of each restriction, we will always assume that all previous restrictions were successful (we will describe exactly what "successful" means for each restriction). Also, after each restriction, we fix additional variables if necessary, in order to obtain an exact number of living variables in the end of the step.

Let **z** be a distribution over  $\{-1,1\}^n$  that is (1/q(n))-pseudorandom for LTFs, where q is a sufficiently large polynomial. We mention in advance that for each  $i \in [4]$ , the values for variables that are fixed by  $\rho_i$  will always be decided by sampling from **z**.

The first restriction  $\rho_1$ : Reduce the fan-out of input gates. We sample  $z \sim z$ , and fix all variables with fan-out more than  $2 \cdot n^{\epsilon}$  to values according to z. Since the number of wires between the bottom-layer gates and the input variables is at most  $n^{1+\epsilon}$ , and each fixing of a variable eliminates  $2 \cdot n^{\epsilon}$  wires, we will fix no more than n/2 variables in this step. Let  $n_1 = n/2$  be the number of living variables after the first step.

**The second restriction**  $\rho_2$ **: Applying Proposition 5.8.** We use Proposition 5.8 with the values  $p = n^{-\beta}$ , where  $\beta = 11\epsilon$ , and c = 1, and  $t = p^{-1/5}$ . <sup>11</sup> The distributions that we use are a (1/poly(n))-almost  $O(\log(1/p))$ -wise independent distribution **y** over  $\{-1,1\}^{\log(1/p)\cdot n}$  and the aforementioned distribution **z** over  $\{-1,1\}^n$ .

Let  $\mathcal{E}$  be the event in which  $\rho_2$  keeps at least  $(p \cdot n_1)/2$  variables alive, and for every gate  $\Phi \in L$  it holds that fan-in $(\Phi \upharpoonright_{\rho_2}) \leq 2p \cdot \text{fan-in}(\Phi)$ . We claim that  $\mathcal{E}$  happens with probability at least 1 - 1/poly(n). To see that this is the case, note that the expected number of living variables is  $p \cdot n_1 = n^{\Omega(1)}$ , and that for each gate  $\Phi \in G$ , the expected fan-in of  $\Phi \upharpoonright_{\rho_2}$  is  $n^{\alpha - \beta} = n^{\Omega(1)}$ . Since the choice of variables to keep alive is  $\frac{1}{\text{poly}(n)}$ -almost O(1)-independent, we can use Fact 4.9 to deduce that  $\Pr[\mathcal{E}] \geq 1 - \frac{1}{\text{poly}(n)}$ .

Now, assume without loss of generality that  $L = \{\Phi_1, ..., \Phi_{r'}\}$ , for some  $r' \leq r$ . For any  $i \in [r']$ , denote by  $\mathcal{B}_i$  the event that  $\Phi_i$  is *t*-balanced. Note that when conditioning on  $\mathcal{E}$ , the probability of each  $\mathcal{B}_i$  is at most  $\tilde{O}(n^{-\beta/5})$ . Therefore, conditioned on  $\mathcal{E}$ , the expected number of wires feeding into *t*-balanced gates in *L* after the restriction is

$$\mathbb{E}\left[\sum_{i\in[r']} \mathbf{1}_{\mathcal{B}_{i}} \cdot \operatorname{fan-in}(\Phi_{i}\restriction_{\rho_{2}}) \middle| \mathcal{E}\right] = \sum_{i\in[r']} \Pr[\mathcal{B}_{i}|\mathcal{E}] \cdot \mathbb{E}[\operatorname{fan-in}(\Phi_{i}\restriction_{\rho_{2}})|\mathcal{E},\mathcal{B}_{i}]$$
$$\leq \sum_{i\in[r']} \tilde{O}(n^{-\beta/5}) \cdot (2p \cdot \operatorname{fan-in}(\Phi_{i}))$$
$$= \tilde{O}(n^{-\beta/5}) \cdot p \cdot n^{1+\epsilon}.$$

Hence, conditioned on  $\mathcal{E}$ , the probability that the number of wires feeding into *t*-balanced gates in *L* after the restriction is more than  $\tilde{O}(n^{-\beta/10}) \cdot p \cdot n^{1+\epsilon} = \tilde{O}(n^{\epsilon-\beta/10}) \cdot n^{1-\beta}$  is at most  $O(n^{-\beta/10})$ . We consider the restriction  $\rho_2$  successful if  $\mathcal{E}$  happens and if the number of wires between *t*-balanced gates in *L* and input gates is at most  $\tilde{O}(n^{\epsilon-\beta/10}) \cdot n^{1-\beta}$ . In this case, the number of currently-living variables is  $n_2 = p \cdot n_1/2 = \frac{1}{4} \cdot n^{1-\beta}$ .

After applying  $\rho_2$ , we replace any *t*-imbalanced gate  $\Phi_i \in L$  with its most probable value  $\sigma_i \in \{-1, 1\}$ . Note that by Theorem 4.1, each *t*-imbalanced gate  $\Phi_i$  is  $(\exp(-n^{\Omega(1)}))$ -close to  $\sigma_i$  in the subcube that corresponds to the currently-living variables.

The third restriction  $\rho_3$ : Eliminate *L*-gates that remained unbiased. In this step we sample  $z \sim z$  again, and fix all the variables that feed into *t*-balanced gates according to *z*. Assuming that  $\rho_2$  was successful, the number of such variables is at most  $\tilde{O}(n^{\epsilon-\beta/10}) \cdot n^{1-\beta} = o(n_2)$ , where we used the fact that  $\beta > 10\epsilon$ . Denote the restriction applied in this step by  $\rho_3$ , and note that the number of living variables after applying  $\rho_3$  is  $n_3 = \Omega(n_2) = \Omega(n^{1-11\epsilon})$ .

Our goal now is to claim that for each gate  $\Phi_i$  that was replaced by a constant  $\sigma \in \{-1,1\}$  prior to applying  $\rho_3$ , it still holds that  $\Phi_i$  is close to  $\sigma$  in the subcube  $\{-1,1\}^{\rho_3^{-1}(\star)}$ . To do so we will rely on a lemma that asserts the following: If an LTF  $\Phi_i$  is  $\delta$ -close to a constant function, then with probability  $1 - \gamma$  over choice of  $z \sim z$  it holds that  $\Phi_i|_{\rho}$  is  $\delta'$ -close to the same constant function, as long as  $\delta \leq \text{poly}(\delta', \gamma)$  and that z is poly( $\gamma$ )-pseudorandom for LTFs.

<sup>&</sup>lt;sup>11</sup>For simplicity, we assume that  $p = n^{-11\epsilon}$  is a power of two. Otherwise, we can choose  $\beta$  to be a value very close to 11 $\epsilon$  such that p will be a power of two, with no meaningful change to the rest of the proof (the proof only relies on the fact that  $10\epsilon < \beta < \alpha$ ).

**Lemma 5.10** (bias preservation lemma). Let  $n \in \mathbb{N}$ , and let  $\delta, \delta', \gamma > 0$  such that  $\delta \leq (\gamma \cdot \delta')^{10}$ . Let  $\Phi = (w, \theta)$  be an LTF over n input bits that is  $\delta$ -close to a constant function  $\sigma \in \{-1, 1\}$ , let  $I \subseteq [n]$ , and let  $\mathbf{z}$  be a distribution over  $\{-1, 1\}^{[n] \setminus I}$  that is  $(\delta' \cdot \gamma^2)$ -pseudorandom for LTFs. Then, with probability  $1 - O(\gamma)$  over choice of  $z \sim \mathbf{z}$  it holds that  $\Phi \upharpoonright_{(Lz)}$  is  $\delta'$ -close to  $\sigma$ .

The proof of Lemma 5.10 is deferred to Section 5.2. We invoke Lemma 5.10 with *I* being the set of variables that are kept alive by  $\rho_3$ , and  $\delta = \exp(-n^{\Omega(1)})$ , and  $\gamma = 1/\operatorname{poly}(n)$ , and  $\delta' = n^{-10\cdot(2+4\epsilon+c)}$ . After union-bounding over at most  $r \leq n^{1+\epsilon}$  gates that were replaced by constants, with probability  $1 - 1/\operatorname{poly}(n)$  it holds that all these gates are  $\delta'$ -close to constants in the subcube  $\{-1,1\}^{\rho_3^{-1}(\star)}$ .

The fourth restriction  $\rho_4$ : Eliminate gates with small fan-in. We will rely on the following claim, which is an algorithmic version of [CSS16, Prop. 36]:

**Claim 5.10.1.** For  $k' = 2 \cdot n^{\alpha+\epsilon}$ , we can deterministically find in poly(*n*) time a set I of at least  $n_3/k'$  living variables such that when fixing all variables not in I to any arbitrary values, the fan-in of each gate in S is at most one.

*Proof.* Consider the graph in which the vertices are the input gates  $x_1, ..., x_{n_3}$ , and two vertices  $x_i$  and  $x_j$  are connected (in the graph) if and only if there exists a gate  $\Phi_i \in S$  that is connected (in the circuit) to both  $x_i$  and  $x_j$ . Note that this graph has degree at most k', since every living variable has fan-out at most  $2 \cdot n^{\epsilon}$ , and every gate in S has fan-in at most  $n^{\alpha}$ . Therefore, we can greedily construct an independent set I in the graph of size at least  $n_3/k'$ , which is indeed the set of variables that we wanted.

The algorithm finds a set *I* using Claim 5.10.1, samples  $z \sim z$ , and fixes all the variables outside *I* according to *z*. This yields a restriction that reduces the fan-in of each gate in *S* to one. Thus, each gate  $\Phi \in S$  now simply takes the value of an input gate (or its negation), which implies that the gates that are connected to  $\Phi$  (in the layer above it) can be connected immediately to the corresponding input gate, and we can remove  $\Phi$  from the circuit. The number of living variables is  $n_4 = n_3/k' = \Omega(n^{1-24\epsilon})$ .

To conclude, we claim that the gates that were previously replaced by constants are still close to constants in the new subcube. This is done by invoking Lemma 5.10 with *I* being the aforementioned set of size  $n_4$ , and with parameter values  $\delta = n^{-10 \cdot (2+4\epsilon+c)}$ , and  $\gamma = n^{-(1+3\epsilon)}$ , and  $\delta' = n^{-(c+1+\epsilon)}$ . After union-bounding over the gates that were replaced by constants, with probability at least  $1 - n^{-2\epsilon}$  it holds that all these gates are  $\delta'$ -close to constants in the final subcube. It follows that the original circuit is  $\delta''$ -close to the new circuit in the final subcube, where  $\delta'' \leq \delta' \cdot n^{1+\epsilon} \leq n^{-c}$ .

Accounting for the parameters. We obtained a circuit in  $\tilde{C} \in C_{n_4,d-1,n^{1+\epsilon}}$ . Since  $n^{1+\epsilon} = O(n_4^{\frac{1+\epsilon}{1-24\epsilon}}) < n_4^{(1+\epsilon)(1+25\epsilon)} \le n_4^{1+30\epsilon}$ , we have that  $\tilde{C} \in C_{n_4,d-1,n_4^{1+30\epsilon}}$ . To sample the restriction  $\rho = \rho_4 \circ \ldots \circ \rho_1$ , we sampled from the distribution  $\mathbf{z}$  four times, and from the distribution  $\mathbf{y}$  a single time. A sample from  $\mathbf{y}$  can obtained with seed length  $O(\log(n))$ , and relying on Theorem 4.8, each sample from  $\mathbf{z}$  can be obtained with seed length  $O(\log(n) \cdot (\log \log(n))^2)$ .

Finally, let us account for the error probability. The first step is deterministic and always succeeds. In the second step, the algorithm is unable to simplify the circuit if the event  $\mathcal{E}$  does not happen, or if the number of wires between *t*-balanced gates in *L* and input gates is too large. Denoting the latter event by  $\mathcal{E}'$ , the probability of error is at most

 $\Pr[\neg \mathcal{E}] + \Pr[\mathcal{E}'|\mathcal{E}] \leq O(n^{-\beta/10})$ . The last type of error to account for is the probability that  $\tilde{C}$  is not  $n^{-c}$ -close to C in  $\{-1, 1\}^{\rho^{-1}(\star)}$ ; as detailed above, this happens with probability at most  $n^{-2\epsilon}$ . The overall error is thus  $O(n^{-\beta/10} + n^{-2\epsilon}) < n^{-\epsilon}$ .

#### 5.1.3 Pseudorandom restriction algorithm for linear threshold circuits

We are now ready to construct the pseudorandom restriction algorithm that simplifies any linear threshold circuit to a single LTF gate (i.e., Proposition 5.2). The proof will consist of d - 1 applications of Proposition 5.9. In each application, we will use Lemma 5.10 to claim that all the approximations in previous applications of Proposition 5.9 still hold.

**Proposition 5.11** (Proposition 5.2, restated). Let  $d \ge 1$ , let  $\epsilon > 0$  be a sufficiently small constant, and let  $\delta = d \cdot 30^{d-1} \cdot \epsilon$ . Then, there exists a polynomial-time algorithm that for every  $n \in \mathbb{N}$ , when given as input a circuit  $C \in C_{n,d,n^{1+\epsilon}}$  and a random seed of length  $O(\log(n) \cdot (\log \log(n))^2)$ , with probability at least  $1 - n^{-\epsilon/2}$  satisfies the following:

- 1. The algorithm outputs a restriction  $\rho \in \{-1, 1, \star\}^n$  that keeps at least  $n^{1-\delta}$  variables alive.
- 2. The algorithm outputs an LTF  $\Phi : \{-1,1\}^{\rho^{-1}(\star)} \to \{-1,1\}$  such that  $\Phi$  is 1/10-close to  $C \upharpoonright_{\rho}$  (i.e.,  $\Pr_{x \in \{-1,1\}^{\rho^{-1}(\star)}}[C(x) = \Phi(x)] \ge 9/10$ ).

**Proof.** We repeatedly invoke Proposition 5.9, for d-1 times. For  $i \in [d-1]$ , let  $\rho^{(i)}$  be the restriction that is obtained in the  $i^{th}$  invocation of Proposition 5.9, and let  $\rho = \rho^{(d-1)} \circ ... \circ \rho^{(1)}$  be the final restriction. Let  $C_0 = C$ , and for  $i \in [d-1]$ , let  $C_i$  be the circuit that is obtained after the  $i^{th}$  invocation of Proposition 5.9. Also let  $\epsilon_0 = \epsilon$  and  $\epsilon_i = 30 \cdot \epsilon_{i-1} = 30^i \cdot \epsilon$ , and let  $n_0 = n$  and  $n_i = \Omega((n_{i-1})^{1-24\epsilon_{i-1}})$ .

We say that an invocation of Proposition 5.9 is *successful* if the two items in the proposition's statement are satisfied (i.e., the algorithm outputs a restriction that keeps sufficiently many live variables, and a circuit of smaller depth that agrees with the original circuit on almost all inputs). Assuming all invocations of Proposition 5.9 are successful, for each  $i \in [d-1]$  it holds that  $C_i \in C_{n_i,d-i,n_i^{1+\epsilon_i}}$ , and in particular  $C_{d-1}$  is a single LTF  $\Phi$ . Also, in this case, the number of living variables after all invocations is

$$n_{d-1} = n^{\prod_{i=0}^{d-2}(1-24\epsilon_i)} > n^{1-24 \cdot \sum_{i=0}^{d-2} \epsilon_i} > n^{1-24 \cdot d \cdot \epsilon_{d-2}} > n^{1-\delta} .$$
(5.3)

The required seed length for the d-1 invocations of Proposition 5.9 is  $\tilde{O}(\log(n))$ . To bound the probability of error, for each  $i \in [d-1]$ , assume that all previous i-1 invocations were successful, and note that the probability that the  $i^{th}$  invocation of Proposition 5.9 fails is at most  $n_{i-1}^{-\epsilon_{i-1}} < (n^{1-\delta})^{-\epsilon}$  (the inequality is since we assumed that the previous invocations of Proposition 5.9 were successful, which implies that  $n_{i-1} \ge n^{1-\delta}$ , by a calculation similar to Eq. (5.3)). Thus, the accumulated probability of error is at most  $d \cdot (n^{1-\delta})^{-\epsilon} < n^{-\epsilon/2}$ , where the inequality relied on the fact that  $\epsilon$  is sufficiently small.

Condition on all the d-1 invocations of Proposition 5.9 being successful. Recall that in this case, for every  $i \in [d-1]$  it holds that  $C_i$  is  $n^{-c}$ -close to  $C_{i-1}|_{\rho(i)}$ ; we now claim that, with high probability, this approximation continues to hold even in the subcube that corresponds to the final restriction  $\rho$ .

**Claim 5.11.1.** For every  $i \in [d-1]$ , with probability  $1 - 1/\operatorname{poly}(n)$  it holds that  $(C_{i-1}) \upharpoonright_{\rho}$  is 1/10d-close to  $(C_i) \upharpoonright_{\rho}$ .

*Proof.* For each  $j \in \{i, ..., d-1\}$ , recall that  $\rho^{(j)}$  is the composition of four restrictions, denoted by  $\rho_1^{(j)}, ..., \rho_4^{(j)}$ . Fix  $i \in [d-1]$ , condition on any fixed choice for  $\rho_1^{(i)}$  and  $\rho_2^{(i)}$ , and let  $C' = (C_{i-1}) \upharpoonright_{\rho_1^{(i)}, \rho_2^{(i)}}$ . Recall that immediately after applying  $\rho_2^{(i)}$ , the algorithm from Proposition 5.9 replaces a set of  $m \le n^{1+\epsilon_{d-(i-1)}}$  LTF gates, denoted  $\Phi_1, ..., \Phi_m$ , with a corresponding set of constants  $\sigma_1, ..., \sigma_m \in \{-1, 1\}$ . Let  $\tilde{C'}$  be the circuit that is obtained from C' by the aforementioned replacement. Finally, note that for every choice of final restriction  $\rho$  it holds that  $(C_{i-1}) \upharpoonright_{\rho} = C' \upharpoonright_{\rho}$  and  $(C_i) \upharpoonright_{\rho} = \tilde{C'} \upharpoonright_{\rho}$ .

Our goal now will be to show that for every fixed  $k \in [m]$ , with probability 1 - 1/poly(n) over choice of  $\rho$  it holds that  $(\Phi_k) \upharpoonright_{\rho}$  is 1/(10dm)-close to  $\sigma_k$ . This suffices to conclude the proof, since it follows (by a union-bound over the *m* gates) that with probability 1 - 1/poly(n), for every  $k \in [m]$  it holds that  $(\Phi_k) \upharpoonright_{\rho}$  is 1/(10dm)-close to  $\sigma_k$ ; and whenever the latter event happens we have that  $C' \upharpoonright_{\rho}$  is 1/(10d)-close to  $\widetilde{C'} \upharpoonright_{\rho}$ .

Towards the aforementioned goal, fix  $k \in [m]$ , and recall that  $\Phi_k$  is  $\delta_0$ -close to some constant function  $\sigma_k \in \{-1, 1\}$ , where  $\delta_0 = \exp\left(n_{i-1}^{-\Omega(1)}\right) = \exp\left(n^{-\Omega(1)}\right)$ , where the inequality is since  $n_{i-1} = n^{\Omega(1)}$  (recall that we conditioned on all invocations of Proposition 5.9 being successful). Observe that the final restriction  $\rho$  is composed of  $t \stackrel{\text{def}}{=} 4 \cdot (d - i - 1) + 2$  additional restrictions on the domain of  $\Phi_k$ : Two additional restrictions  $\rho_3^{(i)}$  and  $\rho_4^{(i)}$  in the  $i^{th}$  invocation of Proposition 5.9, and for each  $j \in \{i + 1, ..., d - 1\}$ , four restrictions  $\rho_1^{(j)}, ..., \rho_4^{(j)}$  in the  $j^{th}$  invocation of Proposition 5.9. Recall that each of the t restrictions is chosen by first choosing (deterministically or pseudorandomly) a set of variables to keep alive, and then *independently* choosing values for the fixed variables. Therefore, we will now repeatedly use Lemma 5.10, to claim that each restriction preserves the closeness of  $\Phi_k$  to  $\sigma_k$ .

For convenience, rename the *t* restrictions  $\rho_3^{(i)}, \rho_4^{(i)}, \rho_1^{(i+1)}, ..., \rho_4^{(d-1)}, ..., \rho_4^{(d-1)}$ , and denote them by  $\rho'^{(1)}, ..., \rho'^{(t)}$ . Let  $\gamma = n^{-c}$  for a sufficiently large constant c > 1. Note that  $\delta_0 < n^{-10^{2t} \cdot c}$ , and for every  $r \in [t]$  let  $\delta_r = \delta_{r-1}^{1/10^2}$ ; it follows that for every  $r \in [t]$ it holds that  $\delta_{r-1} \leq (\gamma \cdot \delta_r)^{10}$ . We prove by induction on  $r \in [t]$  that with probability at least  $1 - O(n^{-c})$  it holds that  $(\Phi_k) \upharpoonright_{\rho'^{(1)} \circ ... \circ \rho'^{(r)}}$  is  $\delta_r$ -close to  $\sigma_k$ . For the base case r = 1we rely on the hypothesis that  $\Phi_k$  is  $\delta_0$ -close to  $\sigma_k$ , and use Lemma 5.10 with the values  $\delta = \delta_0$  and  $\delta' = \delta_1$  and  $\gamma = n^{-c}$  as above. For the induction step r > 1, we condition on  $(\Phi_k) \upharpoonright_{\rho'^{(1)} \circ ... \circ \rho'^{(r-1)}}$  being  $\delta_{r-1}$ -close to  $\sigma_k$ , and again use Lemma 5.10 with the values  $\delta = \delta_{r-1}$ and  $\delta' = \delta_r$  and  $\gamma = n^{-c}$ . Hence, with probability at least  $1 - O(n^{-c})$  it holds that  $(\Phi_k) \upharpoonright_{\rho}$ is  $\delta_t$ -close to  $\sigma_k$ , where  $\delta_t = n^{-c} < 1/(10dm)$ .

Thus, with probability 1 - 1/poly(n), for every  $i \in [d-1]$  it holds that  $(C_{i-1})\upharpoonright_{\rho}$  is 1/10d-close to  $(C_i)\upharpoonright_{\rho}$ . Whenever this holds, by a union-bound it follows that  $C\upharpoonright_{\rho} = (C_0)\upharpoonright_{\rho}$  is 1/10-close to  $(C_{d-1})\upharpoonright_{\rho} = C_{d-1} = \Phi$ .

#### 5.2 Proof of the bias preservation lemma

In this section we prove Lemma 5.10. Loosely speaking, the lemma asserts that an LTF  $\Phi$  that is close to a constant  $\sigma \in \{-1, 1\}$  remains close to  $\sigma$  when the domain is restricted by a restriction  $\rho$  in which the values for the fixed variables are chosen from a distribution that is pseudorandom for LTFs. For the proof we will need the following lemma from [Tel17, Lem. 15] (the original notations are adapted for the current context).

**Lemma 5.12** (randomized tests). Let  $n \in \mathbb{N}$ , and let  $\epsilon_1, \epsilon_2, \epsilon_3, \epsilon_4, \epsilon_5 > 0$  be error parameters.

- Let  $G \subseteq \{-1,1\}^n$ , and let  $E \subseteq G$  such that  $\Pr_{z \in \{-1,1\}^n}[z \in E] \ge 1 \epsilon_1$ .
- Let **T** be a distribution over functions  $T : \{-1,1\}^n \to \{-1,1\}$  such that for every  $z \in E$  it holds that  $\Pr_{T \sim \mathbf{T}}[T(z) = -1] \ge 1 \epsilon_2$ , and for every  $z \notin G$  it holds that  $\Pr_{T \sim \mathbf{T}}[T(z) = 1] \ge 1 \epsilon_3$ .
- Let  $\mathbf{z}$  be a distribution that is  $\epsilon_5$ -pseudorandom for all but an  $\epsilon_4$ -fraction of the tests in  $\mathbf{T}$ ; that is, the probability over  $T \sim \mathbf{T}$  that  $\left| \Pr[T(\mathbf{u}_n) = -1] \Pr[T(\mathbf{z}) = -1] \right| > \epsilon_5$  is at most  $\epsilon_4$ .

*Then, the probability that*  $\mathbf{z} \in G$  *is at least*  $1 - (\epsilon_1 + \epsilon_2 + \epsilon_3 + 2\epsilon_4 + \epsilon_5)$ *.* 

Fix a set  $I \subseteq [n]$  of variables that the restriction keeps alive. Relying on Lemma 5.12, the proof idea for Lemma 5.10 is to design a distribution **T** over tests that gets as input  $z \in \{-1, 1\}^{[n]\setminus I}$ , and tests whether or not  $\Phi$  is close to  $\sigma$  in the subcube corresponding to the restriction  $\rho = \rho_{I,z}$ .

**Lemma 5.13** (Lemma 5.10, restated). Let  $n \in \mathbb{N}$ , and let  $\delta, \delta', \gamma > 0$  such that  $\delta \leq (\gamma \cdot \delta')^{10}$ . Let  $\Phi = (w, \theta)$  be an LTF over n input bits that is  $\delta$ -close to a constant function  $\sigma \in \{-1, 1\}$ , let  $I \subseteq [n]$ , and let  $\mathbf{z}$  be a distribution over  $\{-1, 1\}^{[n] \setminus I}$  that is  $(\delta' \cdot \gamma^2)$ -pseudorandom for LTFs. Then, with probability  $1 - O(\gamma)$  over choice of  $z \sim \mathbf{z}$  it holds that  $\Phi \upharpoonright_{(Lz)}$  is  $\delta'$ -close to  $\sigma$ .

A high-level description of the proof. For every  $z \in \{-1,1\}^{[n]\setminus I}$ , consider the corresponding subcube  $\mathfrak{C}_z = \{y \in \{-1,1\}^n : \forall i \in ([n] \setminus I), y_i = z_i\}$ . Our goal is to show that with high probability over  $z \sim z$  it holds that  $\Phi$  is close to  $\sigma$  in  $\mathfrak{C}_z$ . To do so, we will construct a distribution **T** of tests such that for any fixed  $z \in \{-1,1\}^{[n]\setminus I}$ , the distribution **T**(z) is equivalent to the following random process: Sample t = poly(n) random points  $y^{(1)}, ..., y^{(t)}$  in  $\mathfrak{C}_z$ , and accept if and only if  $\Phi(y^{(i)}) = \sigma$  for every  $i \in [t]$ .

To construct the distribution **T**, for every  $x \in \{-1,1\}^{|I|}$  we define a corresponding test  $T_x$  as follows: The test  $T_x$  gets input  $z \in \{-1,1\}^{[n]\setminus I}$ , extends z to an n-bit string  $y \in \{-1,1\}^n$  using the values specified in x (i.e.,  $y_i = x_i$  for every  $i \in I$ , and  $y_i = z_i$  otherwise), and accepts z if and only if  $\Phi(y) = \sigma$ . Observe that  $T_x$  simply computes an LTF of its input z (see Eq. (5.4)). Also note that for any *fixed input*  $z \in \{-1,1\}^{[n]\setminus I}$ , a uniform choice of  $x \in \{-1,1\}^{[I]}$  yields a uniform point  $y \in \mathfrak{C}_z$ . Each test in **T** corresponds to a tuple  $\bar{x} = (x^{(1)}, ..., x^{(t)}) \in \{-1,1\}^{t \cdot |I|}$ , and computes the function  $T_{\bar{x}}(z) = \wedge_{i \in [t]} T_{x^{(i)}}(z)$ .

Assume that  $\Phi$  is initially  $\delta$ -close to  $\sigma$ , for  $\delta \leq 1/\operatorname{poly}(n)$ . We say that an input  $z \in \{-1,1\}^{[n]\setminus I}$  is excellent if  $\Phi$  is  $\sqrt{\delta}$ -close to  $\sigma$  in  $\mathfrak{C}_z$ , and we say that z is bad if  $\Phi$  is not  $\delta'$ -close to  $\sigma$  in  $\mathfrak{C}_z$ , where  $\delta' = \delta^{\Omega(1)}$ . Let E be the set of excellent inputs, and let B be the set of bad inputs. If we choose the parameter t (i.e., the number of sample points) such that  $\frac{O(\log(n))}{\delta'} < t < \frac{1}{\sqrt{\delta} \cdot \operatorname{poly}(n)}$ , then the distribution **T** accepts every  $z \in E$  with probability  $1 - 1/\operatorname{poly}(n)$ , and rejects every  $z \in B$ , with probability  $1 - 1/\operatorname{poly}(n)$ .

What remains to show is that a distribution z that is (1/poly(n))-pseudorandom for LTFs is also (1/poly(n))-pseudorandom for almost all tests in the support of T. To do so, note that almost all inputs  $z \in \{-1, 1\}^{[n]\setminus I}$  are excellent, and each excellent input is accepted with high probability by a random test  $T_{\bar{x}} \sim T$ . Thus, almost all of the residual deterministic tests  $T_{\bar{x}}$  in the support of T accept almost all of their inputs; in particular, at least 1 - 1/poly(n) of the residual tests have acceptance probability at least 1 - 1/poly(n).

Every such test is the conjunction of t = poly(n) LTFs, and each of these LTFs has acceptance probability at least 1 - 1/poly(n). By a union-bound over the *t* LTFs, the acceptance probability of such  $T_{\bar{x}}$  under **z** is also  $1 - t \cdot (1/poly(n)) = 1 - 1/poly(n)$ .

**Proof of Lemma 5.13.** Without loss of generality, assume that  $\Phi$  is  $\delta$ -close to the constant  $\sigma = -1$ . For any Boolean function f over a domain  $\mathfrak{D}$ , let  $\operatorname{acc}(f) = \operatorname{Pr}_{x \sim \mathfrak{D}}[f(x) = -1]$ . Also, denote  $J = [n] \setminus I$  and n' = |J|, and for any  $z \in \{0, 1\}^{n'}$ , denote by  $\rho_z$  the restriction  $\rho_z = (I, z)$  (i.e., we suppress I in the notation  $\rho_z$ , since I is fixed).

Let  $G = \left\{ z \in \{0,1\}^{n'} : \operatorname{acc}(\Phi \upharpoonright_{\rho_z}) \ge 1 - \delta' \right\}$ . Our goal is to show that  $\operatorname{Pr}_{z \sim \mathbf{z}}[z \in G] \ge 1 - O(\gamma)$ . Let  $E = \left\{ z \in \{0,1\}^{n'} : \operatorname{acc}(\Phi \upharpoonright_{\rho_z}) \ge 1 - \sqrt{\delta} \right\}$ . Note that when  $z \in \{-1,1\}^{n'}$  is chosen uniformly it holds that  $\mathbb{E}_{z \in \{-1,1\}^{n'}} \left[ \operatorname{acc}(\Phi \upharpoonright_{\rho_z}) \right] = \operatorname{Pr}_{x \in \{-1,1\}^n}[\Phi(x) = -1] \ge 1 - \delta$ . Therefore,  $\operatorname{Pr}_{z \in \{-1,1\}^{n'}}[z \in E] \ge 1 - \sqrt{\delta}$ .

We now construct a distribution **T** over tests  $\{-1,1\}^{n'} \rightarrow \{-1,1\}$  that distinguishes, with high probability, between  $z \in E$  and  $z \notin G$ . For  $x \in \{0,1\}^{|I|}$ , let  $T_x$  be the function that gets as input  $z \in \{0,1\}^{n'}$ , and outputs the value  $\Phi(y)$ , where  $y_I = z$  and  $y_I = x$ . Note that for any fixed  $z \in \{-1,1\}^{n'}$ , when uniformly choosing  $x \in \{-1,1\}^{|I|}$  it holds that  $\Pr[T_x(z) = -1] = \operatorname{acc}(\Phi|_{\rho_z})$ . Also,  $T_x$  is an LTF of its input z, because

$$T_{x}(z) = \operatorname{sgn}\left(\langle y, w \rangle - \theta\right) = \operatorname{sgn}\left(\langle z, w_{J} \rangle - \left(\theta - \langle x, w_{I} \rangle\right)\right) \,. \tag{5.4}$$

For  $t = O\left(\frac{\log(1/\gamma)}{\delta'}\right)$  and  $\bar{x} = (x^{(1)}, ..., x^{(t)}) \in \{0, 1\}^{t \cdot |I|}$ , let  $T_{\bar{x}} : \{-1, 1\}^{n'} \to \{-1, 1\}$  be the function such that  $T_{\bar{x}}(z) = -1$  if and only if for every  $i \in [t]$  it holds that  $T_{x^{(i)}}(z) = -1$  (i.e.,  $T_{\bar{x}}$  is the conjunction  $\wedge_{i \in [t]} T_{x^{(i)}}$ ). Our distribution **T** is the uniform distribution over the set  $\{T_{\bar{x}} : \bar{x} \in \{0, 1\}^{t \cdot |I|}\}$ . Observe that:

- For any fixed  $z \in E$  it holds that  $\Pr_{T_{\bar{x}} \sim \mathbf{T}} [T_{\bar{x}}(z) = -1] \ge 1 t \cdot \sqrt{\delta}$ .
- For any fixed  $z \notin G$  it holds that  $\Pr_{T_{\bar{x}} \sim \mathbf{T}} [T_{\bar{x}}(z) = -1] \leq \gamma$ .

We want to show that almost all of the tests  $\{T_{\bar{x}}\}_{\bar{x}\in\{0,1\}^{t\cdot|I|}}$  in the support of **T** accept almost all of their inputs. To see that this is the case, observe that

$$\mathbb{E}_{\bar{x}}\left[ \arccos(T_{\bar{x}}) \right] = \Pr_{\bar{x},z}[T_{\bar{x}}(z) = -1] \ge \Pr_{z}[z \in E] \cdot \min_{z \in E} \left\{ \Pr_{\bar{x}}[T_{\bar{x}}(z) = -1] \right\} ,$$

which is lower-bounded by  $1 - \xi^2$ , where  $\xi^2 = (t+1) \cdot \sqrt{\delta}$ . Therefore, the fraction of tests  $T_{\bar{x}}$  that reject more than  $\xi$  of their inputs is at most  $\xi$ .

Now, let  $T_{\bar{x}}$  be a test such that  $\operatorname{acc}(T_{\bar{x}}) \geq 1 - \xi$ . Since  $T_{\bar{x}}$  is a conjunction of  $T_{x^{(1)}}, ..., T_{x^{(t)}}$ , for each  $i \in [t]$  it holds that  $\operatorname{acc}(T_{x^{(i)}}) \geq 1 - \xi$ . Also, for each  $i \in [t]$  it holds that  $\mathbf{z}$  is  $\eta$ -pseudorandom for  $T_{x^{(i)}}$ , where  $\eta \leq (\gamma^2 \cdot \delta')$ , and therefore  $\operatorname{Pr}_{z \sim \mathbf{z}}[T_{x^{(i)}}(z) = -1] \geq 1 - \xi - \eta$ . It follows that  $\operatorname{Pr}_{z \sim \mathbf{z}}[T_{\bar{x}}(z) = -1] \geq 1 - t \cdot (\xi + \eta)$ .

We invoke Lemma 5.12 with the parameters  $\epsilon_1 = \sqrt{\delta}$ ,  $\epsilon_2 = t \cdot \sqrt{\delta}$ ,  $\epsilon_3 = \gamma$ ,  $\epsilon_4 = \xi$ , and  $\epsilon_5 = t \cdot (\xi + \eta)$ , and deduce that

$$\begin{split} \Pr_{z\sim\mathbf{z}}[z\notin G] &\leq (t+1)\cdot\sqrt{\delta} + \gamma + 2\cdot\sqrt{t+1}\cdot\delta^{1/4} + t\cdot(\sqrt{t+1}\cdot\delta^{1/4} + \eta) \\ &= O\left(\gamma + t^{3/2}\cdot\delta^{1/4} + t\cdot\eta\right) \\ &= O\left(\gamma + (\gamma\cdot\delta')^{-3/2}\cdot\delta^{1/4} + \eta/(\gamma\cdot\delta')\right) \,, \end{split}$$

which is  $O(\gamma)$  since  $\eta \leq (\gamma^2 \cdot \delta')$  and by our hypotheses regarding  $\gamma$ ,  $\delta$ , and  $\delta'$ .

# 6 Reduction of standard derandomization to quantified derandomization

In this section we prove Theorem 1.2. The core of the proof is the construction of a suitable averaging sampler (equivalently, seeded extractor) that is computable by a  $\mathcal{TC}^0$  circuit with a super-linear number of wires. We therefore start by describing this construction. In the current section, as in Section 4.5, it will be more convenient to represent Boolean functions as functions  $\{0,1\}^n \rightarrow \{0,1\}$ , rather than  $\{-1,1\}^n \rightarrow \{-1,1\}$ .

In Section 6.1 we recall the definition of weak combinatorial designs, and construct such designs that are suitable for our parameter setting. In Section 6.2 we show how to compute a code with distance 1/2 - o(1) by a  $TC^0$  circuit with a super-linear number of wires. In Section 6.3 we combine the two preceding ingredients to construct an averaging sampler in  $TC^0$ . Finally, in Section 6.4 we prove Theorem 1.2.

#### 6.1 Weak combinatorial designs for Trevisan's extractor

Let us recall the notion of weak combinatorial designs, which was introduced by Raz, Reingold, and Vadhan [RRV02].

**Definition 6.1** (weak designs). For positive integers  $m, \ell, t \in \mathbb{N}$  and an integer  $\rho > 1$ , an  $(m, \ell, t, \rho)$  weak design is a collection of sets  $S_1, ..., S_m \subseteq [t]$  such that for every  $i \in [m]$  it holds that  $|S_i| = \ell$  and  $\sum_{j < i} 2^{|S_i \cap S_j|} \leq (m-1) \cdot \rho$ .

Raz, Reingold, and Vadhan [RRV02] showed a construction of weak designs with universe size  $t = \left\lceil \frac{\ell}{\ln(\rho)} \right\rceil \cdot \ell$ . In our parameter setting we will have  $\log(\rho) \approx 0.99 \cdot \ell$ , and for such value the construction in [RRV02] yields  $t = 2 \cdot \ell$ . We want to have  $t \approx 1.01 \cdot \ell$ , and therefore now show a more refined construction.

**Lemma 6.2** (constructing weak designs). There exists an algorithm that gets as input  $m \in \mathbb{N}$  and  $\ell \in \mathbb{N}$  and  $\rho \in \mathbb{N}$  such that  $\log(\rho) = (1 - \alpha) \cdot \ell$ , where  $\alpha \in (0, 1/4)$ , and satisfies the following. The algorithm runs in time  $\operatorname{poly}(m, 2^{\ell})$  and outputs an  $(m, \ell, t, \rho)$  weak design, where  $t = \lceil (1 + 4\alpha) \cdot \ell \rceil$ .

**Proof.** Let  $t = \lceil (1 + 4\alpha) \cdot \ell \rceil$ . The algorithm constructs the sets  $S_1, ..., S_m \subseteq [t]$  in iterations. In each iteration  $i \in [m]$  the algorithm finds  $S_i$  such that  $\sum_{j < i} 2^{|S_i \cap S_j|} \leq (i - 1) \cdot \rho$ . To do so, the algorithm initially fixes a partition of [t] into  $\ell$  blocks. The first  $t - \ell$  blocks, denoted  $B_1, ..., B_{t-\ell}$ , are each comprised of two elements (i.e., for  $j \in [t - \ell]$  it holds that  $B_j = \{2j - 1, 2j\}$ ). The remaining  $2\ell - t$  blocks, denoted  $B_{t-\ell+1}, ..., B_\ell$ , each consist of a single element (i.e., for  $j \in \{t - \ell + 1, ..., \ell\}$  it holds that  $B_j = \{t - \ell + j\}$ ).

For  $i \in [m]$ , let us describe the  $i^{th}$  iteration, after  $S_1, ..., S_{i-1}$  were already chosen in previous iterations. Consider a set  $S_i$  that is chosen by independently choosing one random element from each of the  $\ell$  blocks to include in  $S_i$ .<sup>12</sup> For  $j \in [i-1]$  and  $k \in [\ell]$ , let  $Y_{j,k}$  be the indicator variable of whether the element from the  $k^{th}$  block that is included in  $S_i$  is

<sup>&</sup>lt;sup>12</sup>That is, for each  $k \in [\ell]$  let  $X_k$  be a random element from the block  $B_k$ , such that for  $k \neq k' \in [\ell]$  it holds that  $X_k$  and  $X_{k'}$  are independent. Then,  $S_i = \bigcup_{k \in [\ell]} X_k$ .

also included in  $S_i$  (i.e.,  $Y_{j,k} = 1$  iff  $B_k \cap S_j \cap S_i \neq \emptyset$ ). Note that for  $k \neq k' \in [m]$  it holds that  $Y_{i,k}$  and  $Y_{i,k'}$  are independent. Thus, the expected value of  $\sum_{i < i} 2^{|S_i \cap S_j|}$  is

$$\mathbb{E}\left[\sum_{j < i} 2^{|S_i \cap S_j|}\right] = \sum_{j < i} \mathbb{E}\left[2^{\sum_{k \in [\ell]} Y_{j,k}}\right]$$
$$= \sum_{j < i} \mathbb{E}\left[\prod_{k \in [\ell]} 2^{Y_{j,k}}\right]$$
$$= \sum_{j < i} \prod_{k \in [\ell]} \mathbb{E}\left[2^{Y_{j,k}}\right]$$
$$= (i-1) \cdot (3/2)^{t-\ell} \cdot 2^{2\ell-t} , \qquad (6.1)$$

where the last equality is because for every  $k \in [t - \ell]$  it holds that  $\Pr[Y_{j,k} = 1] = 1/2$ (since  $|B_k| = 2$ ), and for every  $k \in \{t - \ell + 1, ..., \ell\}$  it holds that  $Y_{j,k} \equiv 1$  (since  $B_k$  is a singleton). Now, plugging-in  $t = \lceil (1 - 4\alpha) \cdot \ell \rceil$  and  $\ell = \frac{\log(\rho)}{1 - \alpha}$  into Eq. (6.1), we can upperbound the expression by  $(i-1) \cdot \rho$ . <sup>13</sup> Hence, the algorithm can find a set  $S_i$  such that  $\sum_{j < i} 2^{|S_i \cap S_j|} \le (i-1) \cdot \rho$  by trying out all  $2^{t-\ell} < 2^{\ell}$  possibilities.

As shown in [RRV02], Trevisan's proof [Tre01] that the Nisan-Wigderson construction [NW94] yields an extractor also extends to the setting when the combinatorial design is a weak design as in Definition 6.1. Specifically:

**Theorem 6.3** (extractors from weak designs [*RRV02*, *Prop.* 10]). Let m < k < n be three integers, and let  $\epsilon > 0$ . Let ECC :  $\{0,1\}^n \to \{0,1\}^{\bar{n}}$  be a code such that in every Hamming ball of radius  $1/2 - \delta$  in  $\{0,1\}^{\tilde{n}}$  there exist at most  $1/\delta^2$  codewords, where  $\delta = \epsilon/4m$ . Let  $S_1, ..., S_m \subseteq [t]$  be an  $(m, \ell, t, \rho)$  weak design with  $\ell = \log(\bar{n})$  and  $\rho = \frac{k-3 \cdot \log(m/\epsilon) - t - 3}{m}$ . Then, the function  $E : \{0, 1\}^n \times \{0, 1\}^t \rightarrow \{0, 1\}^m$  that is defined by E(x, z) = 0

 $(\text{ECC}(x)_{z_{S_1}}, ..., \text{ECC}(x)_{z_{S_m}})$  is a  $(k, \epsilon)$ -extractor.

By combining Theorem 6.3 and Proposition 4.14, we obtain the following:

**Corollary 6.4** (samplers from weak designs). Let m < k < n be three integers, and let  $\epsilon > 0$ . Let ECC :  $\{0,1\}^n \to \{0,1\}^{\bar{n}}$  be a code such that in every Hamming ball of radius  $1/2 - \delta$  in  $\{0,1\}^{\bar{n}}$ there exist at most  $1/\delta^2$  codewords, where  $\delta = \epsilon/4m$ . Let  $S_1, ..., S_m \subseteq [t]$  be an  $(m, \ell, t, \rho)$  weak design with  $\ell = \log(\bar{n})$  and  $\rho = \frac{k-3 \cdot \log(m/\epsilon) - t - 3}{m}$ . Then, the function Samp :  $\{0,1\}^n \times \{0,1\}^t \to \{0,1\}^m$  that is defined by Samp $(x,z) = \frac{1}{2} \cdot \log(m/\epsilon) - \frac{1}{2} \cdot \log(m/\epsilon)$ 

 $(ECC(x)_{z_{S_1}}, ..., ECC(x)_{z_{S_m}})$  is an averaging sampler with accuracy  $\epsilon$  and error  $2^{k-n}$ .

#### An $\epsilon$ -balanced code in sparse $\mathcal{TC}^0$ 6.2

Following Corollary 6.4, our goal now is to construct a  $TC^0$  circuit with a super-linear number wires that computes an error-correcting code that is list-decodable up to distance  $1/2 - \delta$  with list size poly $(1/\delta)$  and rate poly $(1/\delta)$ . We will do this by constructing a code with distance  $1/2 - \epsilon$ , where  $\epsilon = \delta^2$ , and then relying on the Johnson bound. In fact, we

<sup>&</sup>lt;sup>13</sup>Denoting  $c = \log(e)/2$  and  $t = (1+4\beta) \cdot \ell$ , where  $\beta \ge \alpha$ , we have that  $2^{2\ell-t} \cdot (3/2)^{t-\ell} < 2^{2\ell-t} \cdot e^{(t-\ell)/2} = 1$  $2^{2\ell - t + c \cdot (t - \ell)} \leq 2^{\frac{1 - 4(1 - c) \cdot \beta}{1 - \alpha} \cdot \log(\rho)} < \rho.$ 

will actually construct an  $\epsilon$ -balanced code (i.e., a linear code such that all codewords have relative Hamming weight  $1/2 \pm \epsilon$ ).

As described in the introduction, the construction will consist of two parts. We will first construct a code with constant relative distance, and then show how to amplify the distance from  $\Omega(1)$  to  $1/2 - \epsilon$ .

**Proposition 6.5** (a code with constant relative distance in sparse  $\mathcal{TC}^0$ ). There exists a polynomialtime algorithm that is given as input  $1^n$  and a constant  $d \in \mathbb{N}$ , and outputs a  $\mathcal{TC}^0$  circuit C that satisfies the following:

- 1. The circuit C maps n input bits to  $\hat{n} = O(n)$  input bits.
- 2. For every  $x \in \{0,1\}^n$  such that  $x \neq 0^n$ , the relative Hamming weight of C(x) is at least  $3^{-d}$ .
- 3. Each output bit of C is a linear function of the input bits.
- 4. The circuit C has depth 2d and  $n^{1+O(1/d)}$  wires.

**Proof.** Assume that *n* is of the form  $r^d$ , for  $r \in \mathbb{N}$  (if necessary, pad the input with zeroes such that the input length will be a power of  $2^d$ ). Fix a linear code ECC that maps strings of length *r* to strings of length  $\bar{r} = O(r)$  and has relative distance at least 1/3 (e.g., we can use the  $\epsilon$ -balanced codes of [NN93, TS17]).

Let  $x \in \{0,1\}^n$  be an input for the circuit *C*. We think of *x* as a tensor  $M^{(0)}$  of dimensions  $[r]^d$ ; that is, for every  $\vec{t} \in [r]^d$ , the  $\vec{t}^{th}$  entry of  $M^{(0)}$  is denoted by  $M_{\vec{t}}^{(0)} \in \{0,1\}$ . The circuit *C* will iterative compute a sequence  $M^{(1)}, ..., M^{(d)}$  of tensors, and the message  $x = M^{(0)}$  will be mapped to the final codeword  $\hat{x} = M^{(d)}$ .

For each  $i \in [d]$ , the tensor  $M^{(i)}$  is defined as follows. The dimensions of  $M^{(i)}$  are  $[\vec{r}]^i \times [r]^{d-i}$ . For every pair  $(\vec{t} \le i-1, t \ge i+1) \in [\vec{r}]^{i-1} \times [r]^{d-i}$ , we denote by  $M^{(i-1)}_{\vec{t} \le i-1, \star, \vec{t} \ge i+1}$  the *r*-bit vector  $M^{(i-1)}_{\vec{t} \le i-1, \star, \vec{t} \ge i+1} \stackrel{\text{def}}{=} M^{(i-1)}_{(\vec{t} \le i-1, 1, \vec{t} \ge i+1)}, \dots, M^{(i-1)}_{(\vec{t} \le i-1, m, \vec{t} \ge i+1)} \in \{0, 1\}^r$ . Then, for every  $\vec{t} \in [\vec{r}]^i \times [r]^{d-i}$ , we think of  $\vec{t}$  as a triplet  $\vec{t} = (\vec{t} \le i-1, u, \vec{t} \ge i+1) \in [\vec{r}]^{i-1} \times [\vec{r}] \times [r]^{d-i}$ , and define  $M^{(i)}_{\vec{t}} = \left(\text{ECC}\left(M^{(i-1)}_{\vec{x} \le i-1, \star, \vec{x} \ge i+1}\right)\right)_v$  (i.e.,  $M^{(i)}_{(\vec{t} \le i-1, v, \vec{t} \ge i+1)}$  is the  $v^{th}$  coordinate of the encoding of  $M^{(i-1)}_{\vec{t} \le i-1, \star, \vec{t} \ge i+1}$  by ECC).

The final codeword  $\hat{x} = M^{(d)}$  is of dimensions  $[\bar{r}]^d$ , which means that it represents a string of length  $\hat{n} = (O(r))^d = O(n)$ . The fact that every non-zero message  $x \in \{0,1\}^n$  is mapped to a codeword  $\hat{x} \in \{0,1\}^{\hat{n}}$  with relative Hamming weight at least  $(1/3)^d$  follows from the properties of ECC and from well-known properties of tensor codes; for completeness, we include a proof in Appendix B. Also note that each bit of  $\hat{x}$  is indeed a linear function of x, because ECC is linear (which means that in each iteration  $i \in [d]$ , every bit of  $M^{(i)}$  is a linear function of  $M^{(i-1)}$ ).

Finally, let us fix  $i \in [d]$ , and describe how to compute  $M^{(i)}$  from  $M^{(i-1)}$  in depth two with  $O(n \cdot r^2)$  wires. Since ECC is linear, for each  $\vec{t} = (\vec{t}_{\leq i-1}, v, \vec{t}_{\geq i+1}) \in [\vec{r}]^{i-1} \times [\vec{r}] \times [r]^{d-i}$  it holds that  $M_{\vec{t}}^{(i)} = \text{ECC} \left( M_{\vec{t}_{\leq i-1},\star,\vec{t}_{\geq i+1}}^{(i-1)} \right)_v$  is a linear function of the *r*-bit string  $M_{\vec{t}_1,\star,\vec{t}_2}^{(i-1)} \in \{0,1\}^r$ . Thus, each entry of  $M^{(i)}$  can be computed from  $M^{(i-1)}$  by a depth-2  $\mathcal{TC}^0$  circuit with  $O(r^2)$  wires (see, e.g., [PS94, Sec. 3]), which means that  $M^{(i)}$  can be computed from  $M^{(i-1)}$  by a depth-2  $\mathcal{TC}^0$  circuit with  $O(n \cdot r^2)$  wires. Overall, the final circuit C is of depth 2*d* (since it is comprised of *d* circuits of depth two), and the number of wires in *C* is at most  $O(n \cdot r^2) < n^{1+O(1/d)}$ .

We now show how to amplify the distance of the code from Proposition 6.5 from  $\Omega(1)$  to  $1/2 - \epsilon$ .

**Proposition 6.6** (amplifying the distance of the code from Proposition 6.5). There exists a polynomialtime algorithm that is given as input  $1^{\hat{n}}$ , a constant  $\rho > 0$ , and  $\epsilon = \epsilon(\hat{n}) > 0$ , and outputs a  $\mathcal{TC}^0$ circuit C such that:

- 1. The circuit C maps  $\hat{n}$  input bits to  $\bar{n} = \hat{n} \cdot (1/\epsilon)^{O(1/\rho)}$  output bits.
- 2. For every  $\hat{x} \in \{0,1\}^{\hat{n}}$  with relative Hamming weight at least  $\rho$ , the relative Hamming weight of  $\bar{x} = C(\hat{x})$  is between  $1/2 \epsilon$  and 1/2.
- 3. Each output bit of C is a linear function of the input bits.
- 4. The circuit C has depth two and  $\hat{n} \cdot (1/\epsilon)^{O(1/\rho)}$  wires.

**Proof.** The algorithm first constructs an expander graph *G* on  $\hat{n}$  vertices; that is, a  $d_G$ -regular graph over the vertex-set  $[\hat{n}]$  vertices with constant spectral gap. <sup>14</sup> Consider a random walk that starts from a uniform  $i \in [\hat{n}]$  and walks  $\ell - 1$  steps, where  $\ell = \frac{c_G}{\rho} \cdot \log(1/\epsilon)$  and  $c_G$  is a sufficiently large constant that depends only on *G*. By the hitting property of expander random walks (see, e.g., [Gol08, Thm 8.28]), with probability at least  $1 - \epsilon$  such a walk hits  $i \in [\hat{n}]$  such that  $x_i \neq 0$  (this is because the set  $\{i \in [\hat{n}] : x_i \neq 0\}$  has density at least  $\rho$ ). Thus, if we first take such a random walk, and then output a random parity of the values of  $\hat{x}$  at the coordinates corresponding to the vertices in the walk, the output will equal one with probability at least  $1/2 - \epsilon$  and at most 1/2.

The mapping of  $\hat{x}$  to  $\bar{x} = C(\hat{x})$  is obtained by considering all the possible outcomes of the random process above. Specifically, for every random walk  $W = (i_1^{(W)}, ..., i_{\ell}^{(W)})$  of length  $\ell - 1$  on G, and every subset  $S \subseteq [\ell]$ , we have a corresponding coordinate (W, S) in  $C(\hat{x})$ . The value of  $C(\hat{x})$  at coordinate (W, S) is the parity of the bits of  $\hat{x}$  in the locations corresponding to S in walk W; that is,  $C(\hat{x})_{(W,S)} = \bigoplus_{j \in S} \hat{x}_{i_j^{(W)}}$ .

Note that the length of  $C(\hat{x})$  is  $\hat{n} \cdot (d_G)^{\ell-1} \cdot 2^{\ell} = \hat{n} \cdot (1/\epsilon)^{c'_G/\rho}$ , where  $c'_G$  is a large constant that only depends on G. Also, the mapping of  $\hat{x}$  to  $C(\hat{x})$  is linear, and moreover every coordinate of  $C(\hat{x})$  is the parity of  $\ell$  coordinates of  $\hat{x}$ . Thus,  $C(\hat{x})$  can be computed by a  $\mathcal{TC}^0$  circuit of depth two using at most  $\hat{n} \cdot (1/\epsilon)^{c/\rho} \cdot \ell^2 < \hat{n} \cdot (1/\epsilon)^{2c/\rho}$  wires.

By combining Propositions 6.5 and 6.6 we obtain the following:

**Proposition 6.7** (an  $\epsilon$ -balanced code in sparse  $\mathcal{TC}^0$ ). There exists a polynomial-time algorithm that gets inputs  $1^n$  and  $\epsilon = \epsilon(n)$  and a constant  $d \in \mathbb{N}$ , and outputs a  $\mathcal{TC}^0$  circuit such that:

- 1. The circuit computes a linear code that maps messages of length n to codewords of length  $\bar{n} = n \cdot (1/\epsilon)^{O(3^d)}$  such that every codeword has relative Hamming weight  $1/2 \pm \epsilon$ .
- 2. The circuit has depth 2d and  $n^{1+O(1/d)} \cdot (1/\epsilon)^{O(3^d)}$  wires.

Relying on the Johnson bound, we obtain the list-decodable code that is needed for Corollary 6.4 as a corollary of Proposition 6.7:

<sup>&</sup>lt;sup>14</sup>For a suitable construction see, e.g., [Gol08, Thm E.10]. This specific construction requires  $\hat{n}$  to be a square, so we might need to pad the input  $x \in \{0, 1\}^{\hat{n}}$  with zeroes such that it will be of length  $4^k = (2^k)^2$ , for  $k \in \mathbb{N}$ . Since such a padding will not affect the rest of the argument, we ignore this issue.

**Corollary 6.8** (a list-decodable code in sparse  $\mathcal{TC}^0$ ). There exists a polynomial-time algorithm that gets inputs  $1^n$  and  $\delta = \delta(n)$  and a constant  $d \in \mathbb{N}$ , and outputs a  $\mathcal{TC}^0$  circuit such that:

- 1. The circuit computes a linear code mapping messages of length n to codewords of length  $\bar{n} = n \cdot (1/\delta)^{O(3^d)}$  such that in any Hamming ball of radius  $1/2 \delta$  in  $\{0,1\}^{\bar{n}}$  there exist at most  $O(1/\delta^2)$  codewords.
- 2. The circuit has depth 2d and  $n^{1+O(1/d)} \cdot (1/\delta)^{O(3^d)}$  wires.

**Proof.** We invoke Proposition 6.7 with  $\epsilon = \delta^2$ . The code that the circuit computes has distance  $1/2 - \delta^2$ . Relying on the Johnson bound (see, e.g., [AB09, Thm 19.23]), in such a code every Hamming ball of radius  $\delta$  contains at most  $1/\delta^2$  codewords.

# 6.3 An averaging sampler in sparse $TC^0$

We now combine Lemma 6.2, Corollary 6.4, and Corollary 6.8, to get an averaging sampler that can be computed by a  $TC^0$  circuit with a super-linear number of wires. The sampler will get an input of length n, and for two constants  $0 < \gamma \ll \beta < 1$ , the sampler will output  $m = n^{\gamma}$  bits and will have accuracy 1/m and error  $2^{n^{\beta}-n}$ .

**Proposition 6.9** (an averaging sampler in sparse  $\mathcal{TC}^0$ ). There exists a polynomial-time algorithm that gets inputs  $1^n$  and  $\epsilon = \epsilon(n)$  and three constants  $d \in \mathbb{N}$  and  $\gamma \leq \frac{1}{c \cdot d \cdot 3^d}$  (where c > 1 is some universal constant) and  $\beta \geq 4/5$ , and outputs a  $\mathcal{TC}^0$  circuit C that satisfies the following:

- 1. The circuit C gets input  $x \in \{0,1\}^n$  and outputs  $2^t < n^{(1+O(1/d))\cdot(5-4\beta)}$  strings of length  $m = n^{\gamma}$ .
- 2. The function Samp :  $\{0,1\}^n \times \{0,1\}^t \to \{0,1\}^m$  such that  $Samp(x,i) = C(x)_i$  (i.e.,  $Samp(x,i) \in \{0,1\}^m$  is the *i*<sup>th</sup> output string of C(x)) is an averaging sampler with accuracy  $\epsilon = 1/m$  and error  $\delta = 2^{n^{\beta}-n}$ .
- 3. The depth of C is 2d + 1 and its number of wires is at most  $n^{(1+O(1/d))\cdot(5-4\beta)}$ .

In particular, if  $\beta \ge 1 - 1/5d$ , then both the number of outputs of C (i.e., 2<sup>t</sup>) and the number of wires in C are less than  $n^{1+O(1/d)}$ .

**Proof.** We first use Corollary 6.8 with the parameter value  $\delta = \epsilon/4m$  to construct a circuit  $C_0$  of depth 2*d* that encodes its input  $x \in \{0,1\}^n$  to a codeword  $\bar{x}$  of length  $\bar{n}$ . Then, we use Lemma 6.2 to construct an  $(m, \ell, t, \rho)$  weak design  $S_1, ..., S_m \subseteq [t]$  with the following parameters: For  $\alpha = 1 - \beta + (c \cdot 3^{d+1}) \cdot \gamma < 1/4$  (the inequality is since  $\beta > 4/5$  and  $\gamma$  is sufficiently small), we construct a design with  $\ell = \log(\bar{n})$  and  $\rho = 2^{(1-\alpha)\cdot\ell}$  and  $t = \lceil (1+4\alpha) \cdot \ell \rceil$ . Now, define a function  $Samp : \{0,1\}^n \times \{0,1\}^t \to \{0,1\}^m$  as in Corollary 6.4; that is, for  $x \in \{0,1\}^n$  and  $z \in \{0,1\}^t$ , the *m*-bit string Samp(x,z) is the projection of  $\bar{x}$  to the coordinates  $z_{S_1}, ..., z_{S_m}$ . The circuit *C* outputs the 2<sup>t</sup> strings corresponding to  $\{Samp(x,z)\}_{z \in \{0,1\}^t}$ , where each output string is a projections of *m* bits of  $\bar{x}$ .

Let  $k = n^{\beta}$ . An elementary calculation shows that  $\rho = 2^{(1-\alpha) \cdot \ell} < \frac{k-3 \cdot \log(m/\epsilon) - t - 3}{m}$ . <sup>15</sup> Thus, relying on Corollary 6.4, the function *Samp* is an averaging sampler with accuracy

<sup>&</sup>lt;sup>15</sup>To see that this holds, let c' > 1 be the universal constant such that  $\bar{n} \le n \cdot (m/\epsilon)^{c' \cdot 3^d}$ . Then, note that  $\alpha = 1 - \beta + (c' \cdot 3^{d+1}) \cdot \gamma > \frac{1 - \beta + (2c' \cdot 3^d + 1) \cdot \gamma}{1 + 2c' \cdot \gamma \cdot 3^d} = 1 - \frac{\beta - \gamma}{1 + 2c' \cdot \gamma \cdot 3^d}$ . It follows that  $\log(\rho) = (1 - \alpha) \cdot \ell < \log(k/2m)$ , since  $1 - \alpha < \frac{\beta - \gamma - 1/\log(n)}{1 + 2c' \cdot \gamma \cdot 3^d}$ . We can thus deduce that  $\rho \le k/2m < \frac{k - 3 \cdot \log(m/\epsilon) - t - 3}{m}$ .

 $\epsilon$  and error  $2^{k-n}$ . The depth of *C* is 2d + 1 (since the depth of  $C_0$  is 2d, and the  $2^t$  outputs are projections of  $\bar{x}$ ). Finally, the number of wires in  $C_0$  is at most  $n^{1+O(1/d)} \cdot (m/\epsilon)^{O(3^d)} = n^{1+O(1/d)}$ , and the number of wires between  $\bar{x}$  and the outputs is  $2^t \cdot m = 2^{\lceil (1+4\alpha) \cdot \log(\bar{n}) \rceil} \cdot m = n^{(1+O(\gamma \cdot 3^d))(1+4\alpha)} = n^{(1+O(1/d)) \cdot (5-4\beta)}$ .

### 6.4 Proof of Theorem 1.2

Let us now formally state Theorem 1.2 and prove it using the averaging sampler from Proposition 6.9. Towards stating the theorem, for any  $n, d, k \in \mathbb{N}$ , denote by  $C_{n,d,n^k}$  either the class of linear threshold circuits over n input bits of depth d and with at most  $n^k$  wires.

**Theorem 6.10** (Theorem 1.2, restated). Assume that for every  $d \in \mathbb{N}$  and for some  $\beta = \beta_d \ge 4/5$  there exists an algorithm that gets as input a circuit  $C' \in C_{n,d,n^{(1+O(1/d))\cdot(5-4\beta)}}$ , runs in time T(n), and satisfies the following: If C' rejects all but at most  $2^{n^{\beta}}$  of its inputs, then the algorithm rejects C', and if C' accepts all but at most  $2^{n^{\beta}}$  of its inputs, then the algorithm accepts C'.

Then, there exists an algorithm that for every  $k \in \mathbb{N}$  and  $d \in \mathbb{N}$ , when given as input a circuit  $C \in C_{m,d,m^k}$ , runs in time  $T(m^{O(k \cdot d \cdot 3^d)})$  (where the O-notation hides some fixed universal constant), and satisfies the following: If C accepts at least 2/3 of its inputs then the algorithm accepts C, and if C rejects at least 2/3 of its inputs then the algorithm rejects C.

To obtain the parameters of Theorem 1.2, use the value  $\beta_d = 1 - 1/5d$ , in which case the number of wires of C' is  $n^{1+O(1/d)}$ ; and for every  $k \in \mathbb{N}$ , we can assume that d is sufficiently large such that  $O(k \cdot d \cdot 3^d \cdot 4^{-d}) < 1$ , in which case the running time of the algorithm is at most  $T(m^{O(k \cdot d \cdot 3^d)}) = 2^{m^{1-\Omega(1)}}$  (due to the hypothesis that  $T(n) = 2^{n^{1/4^d}}$ ).

**Proof of Theorem 6.10.** Let  $C \in C_{m,d,m^k}$  be an input to the algorithm, let  $\gamma = 1/c \cdot k \cdot d \cdot 3^d$  for a sufficiently large universal constant c > 1, and let  $\beta = \beta_{3d+2}$ . We will construct a circuit  $C' \in C_{n,3d+2,n^{(1+O(1/d))} \cdot (5-4\beta)}$ , where  $n = m^{1/\gamma}$ , such that the following holds: If C rejects at least a 2/3 fraction of its inputs, then C' rejects all but at most  $2^{n^{\beta}}$  inputs; and if C accepts at least a 2/3 fraction of its inputs, then C' accepts all but  $2^{n^{\beta}}$  of its inputs. Then, we can use the quantified derandomization algorithm for C', which runs in time  $T(n) = T(m^{c \cdot k \cdot d \cdot 3^d})$ , to decide whether the acceptance probability of C is at least 2/3 or at most 1/3.

To construct *C'*, we first use Proposition 6.9 to construct a  $\mathcal{TC}^0$  circuit  $Samp : \{0,1\}^n \times \{0,1\}^t \to \{0,1\}^m$  that is an averaging sampler with the following properties: The input length is n, the output length is  $m = n^{\gamma}$ , the accuracy is  $\epsilon = n^{\Omega(1)} < 1/100$ , and the error is  $\delta = 2^{n^{\beta}-n}$ ; the number of wires in Samp is at most  $n^{(1+O(1/d))\cdot(5-4\beta)}$ , and its depth is 2d + 1. The circuit *C'* first computes the sampler Samp, then evalutes *C* in parallel on each of the  $2^t < n^{(1+O(1/d))\cdot(5-4\beta)}$  outputs of the sampler, and finally computes the majority of the  $2^t$  evaluations of *C*. That is,  $C'(x) = MAJ_{z \in \{0,1\}^t} [C(Samp(x,z))]$ . The circuit *C'* is of depth (2d+1) + d + 1 = 3d + 2, and its number of wires is at most  $n^{(1+O(1/d))\cdot(5-4\beta)} + m^k = n^{(1+O(1/d))\cdot(5-4\beta)}$ , where we relied on the fact that  $m^k < n$ .

Note that for any  $x \in \{0,1\}^n$  such that  $\Pr_{z \in \{0,1\}^t} [C(Samp(x,z)) = 1] \in \Pr[C(\mathbf{u}_n) = 1] \pm \epsilon$ , we have that C'(x) outputs the most frequent value of *C*. Since the accuracy of the sampler is  $2^{n^{\beta}-n}$ , the number of strings in  $\{0,1\}^n$  such that  $\Pr_{z \in \{0,1\}^t} [C(Samp(x,z)) = 1] \notin \Pr[C(\mathbf{u}_n) = 1] \pm \epsilon$  is at most  $2^{n^{\beta}}$ . Thus, the number of strings  $x \in \{0,1\}^n$  such that C'(x) does not output the most frequent value of *C* is at most  $2^{n^{\beta}}$ .

Observe that the circuit C' that we constructed in the proof of Theorem 6.10 consists of the sampler from Proposition 6.9, which only uses majority gates; of copies of the initial circuit C; and of an additional majority gate. Thus, the statement of Theorem 6.10 holds even if we interpret  $C_{n,d,w}$  as the class of circuits with majority gates (rather than linear threshold circuits) over n input bits of depth d and with at most w wires.

# 7 Restrictions for sparse $TC^0$ circuits: A potential path towards $NEXP \not\subseteq TC^0$

Recall that the best currently-known lower bounds for  $\mathcal{TC}^0$  circuits of arbitrary constant depth *d* are for circuits with  $n^{1+\exp(-d)}$  wires. We now present an open problem that involves restrictions for  $\mathcal{TC}^0$  circuits with only  $n^{1+O(1/d)}$  wires, and show that a resolution of this open problem would imply that  $\mathcal{NEXP} \not\subseteq \mathcal{TC}^0$ .

Towards presenting the problem, fix some class  $C_{simple}$  of "simple" functions such that the following holds: There exists a deterministic algorithm that gets as input  $C' \in C_{simple}$ , runs in sufficiently small sub-exponential time, and distinguishes between the case that the acceptance probability of C' is at least 2/3 and the case that the acceptance probability of C' is at most 1/3. Then, the problem is the following:

**Open Problem 1** (deterministic restriction algorithm for sparse  $\mathcal{TC}^0$  circuits). Construct a deterministic algorithm that gets as input a  $\mathcal{TC}^0$  circuit  $C : \{-1,1\}^n \to \{-1,1\}$  of depth d with  $n^{1+O(1/d)}$  wires, runs in time at most  $2^{n^{1/4^d}}$ , and finds a set  $S \subseteq \{-1,1\}^n$  and  $C' \in C_{simple}$  such that  $|S| \ge 10 \cdot 2^{n^{1-1/5d}}$  and  $C \upharpoonright_S$  is (1/10)-close to C'.

To see that a resolution of Open Problem 1 would imply that  $\mathcal{NEXP} \not\subseteq \mathcal{TC}^0$ , recall that (as explained in the beginning of Section 3.1) a resolution of Open Problem 1 would imply that there exists an algorithm for quantified derandomization of  $\mathcal{TC}^0$  circuits of depth *d* with  $n^{1+O(1/d)}$  wires and  $B(n) = 2^{n^{1-1/5d}}$  exceptional inputs that runs in sufficiently small sub-exponential time (i.e., in time  $2^{n^{1/4^d}}$ ). Relying on Corollary 1.3, the existence of such a quantified derandomization algorithm implies that  $\mathcal{NEXP} \not\subseteq \mathcal{TC}^0$ .

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# Appendix A Quantified derandomization and lower bounds

In this appendix we prove that "black-box" *quantified* derandomization of a class C yields lower bounds for C, in the same way that standard derandomization does. For simplicity, we focus on the case of derandomization with one-sided error. Let us first recall the notion of a hitting-set generator, which yields a "black-box" quantified derandomization with one-sided error of a circuit class.

**Definition A.1** (hitting-set generator). Let  $\mathcal{F} = \bigcup_{n \in \mathbb{N}} \mathcal{F}_n$ , where for every  $n \in \mathbb{N}$  it holds that  $\mathcal{F}_n$  is a set of functions  $\{0,1\}^n \to \{0,1\}$ , and let  $\ell : \mathbb{N} \to \mathbb{N}$ . An algorithm H is a hitting-set generator for  $\mathcal{F}$  with seed length  $\ell$  if for every  $n \in \mathbb{N}$  and every  $f \in \mathcal{F}_n$  there exists  $s \in \{0,1\}^{\ell(n)}$  such that f(H(s)) = 1.

In the following proposition, we assume that there exists a hitting-set generator with non-trivial seed length  $\ell(n) < n$  for circuits with  $B(n) \ge 2^{\ell}$  exceptional inputs, and show that this implies lower bounds for the corresponding circuit class.

**Proposition A.2** (quantified derandomization implies lower bounds). Let  $\ell : \mathbb{N} \to \mathbb{N}$  such that  $\ell(n) < n$ , and let  $B : \mathbb{N} \to \mathbb{N}$  such that  $B(n) \ge 2^{\ell(n)}$ . Let C be a circuit class, and let  $C^{\leq B} \subseteq C$  be the subclass of circuits that reject at most B(n) of their inputs. Assume that there exists a  $2^{O(\ell)}$ -time computable hitting-set generator H with seed length  $\ell$  for  $C^{\leq B}$ . Then, there exists a function in  $DTIME(2^{O(\ell(n))})$  that cannot be computed by any circuit in C.

**Proof.** The "hard" function for C, denoted f, is the indicator function of  $\{0,1\}^n \setminus \{H(s) : s \in \{0,1\}^{\ell(n)}\}$ ; that is, f(x) = 0 if and only if there exists  $s \in \{0,1\}^{\ell(n)}$  such that x = H(s). Note that any  $C \in C$  that computes f rejects at most  $2^{\ell} \leq B(n)$  inputs, and thus  $C \in C^{\leq B}$ . However, this means that H is a hitting-set generator for C, and so there exists  $s \in \{0,1\}^{\ell(n)}$  such that C(H(s)) = 1. Since f(H(s)) = 0, we obtain a contradiction to the hypothesis that C computes f.

# Appendix B Proof of a technical claim from Section 6

In the proof of Proposition 6.5, we omitted the proof of the following claim: For every  $x \in \{0,1\}^n$  such that  $x \neq 0^n$ , the relative Hamming weight  $\hat{x} = C(x)$  is at least  $(1/3)^d$ . The proof of this claim, which we now detail, follows from a standard property of tensor codes: If a code ECC has distance  $\delta > 0$ , then the tensor code of order *d* that is based on ECC has distance  $\delta^d$ .

**Claim B.1.** Let C be the circuit constructed in the proof of Proposition 6.5, and let  $x \in \{0,1\}^n$  such that  $x \neq 0^n$ . Then, the relative Hamming weight  $\hat{x} = C(x)$  is at least  $(1/3)^d$ .

**Proof.** Recall that the code ECC maps any non-zero message of length m to a codeword of length  $\bar{m}$  with at least  $r \stackrel{\text{def}}{=} \bar{m}/3$  non-zero entries. Our hypothesis is that  $x = M^{(0)}$  is not the all-zero message, and we will now prove that for each  $i \in [d]$  it holds that  $M^{(i)}$  has at least  $r^i$  non-zero entries. The proof is by induction, and will rely on a stronger induction hypothesis: We prove that for each  $i \in \{0, ..., d\}$  there exists  $\vec{x}_{\geq i+1} \in [m]^{d-i}$  such that the number of vectors  $\vec{x}_{\leq i} \in [\bar{m}]^i$  for which  $M_{\vec{x}_{\leq i}, \vec{x}_{\geq i+1}}^{(i)} \neq 0$  is at least  $r^i$ .

For the base case i = 1, note that by our hypothesis there exists  $\vec{x} \in [m]^d$  such that  $M_{\vec{x}}^{(0)} \neq 0$ . Therefore, the *m*-bit vector  $M_{\star,\vec{x}\geq 2}^{(0)} = M_{1,\vec{x}_2,...,\vec{x}_d}^{(0)}, ..., M_{m,\vec{x}_2,...,\vec{x}_d}^{(0)}$  is non-zero. By the properties of ECC it holds that ECC  $\left(M_{\star,\vec{x}\geq 2}^{(0)}\right)$  has at least *r* non-zero entries. The bits of ECC  $\left(M_{\star,\vec{x}\geq 2}^{(0)}\right)$  appear in  $M^{(i)}$  in locations  $(1, \vec{x}_2, ..., \vec{x}_d), ..., (\bar{m}, \vec{x}_2, ..., \vec{x}_d)$ . Therefore, the claim is proved for i = 1 with the vector  $\vec{x}_{\geq 2} = \vec{x}_2, ..., \vec{x}_d \in [m]^{d-1}$ .

For the induction step, let  $i \ge 2$ . By the induction hypothesis, for some  $\vec{x}_{\ge i} \in [m]^{d-(i-1)}$ there exist at least  $r^{i-1}$  vectors  $\vec{x}_{\le i-1}^{(1)}, ..., \vec{x}_{\le i-1}^{(r^{i-1})} \in [\bar{m}]^{i-1}$  such that  $M_{\vec{x}_{\le i-1}^{(j)}, \vec{x}_{\ge i}}^{(i-1)} \neq 0$  for all  $j \in [r^{i-1}]$ . Fix  $j \in [r^{i-1}]$ . Since  $M_{\vec{x}_{\le i-1}^{(j)}, \vec{x}_{\ge i}}^{(i-1)} \neq 0$ , it follows that the string  $M_{\vec{x}_{\le i-1}^{(j)}, \star, \vec{x}_{\ge i+1}}^{(i-1)} = M_{\vec{x}_{\le i-1}^{(j)}, 1, \vec{x}_{\ge i+1}}^{(i-1)}, ..., M_{\vec{x}_{\le i-1}^{(j)}, m, \vec{x}_{\ge i+1}}^{(i-1)} \in \{0, 1\}^m$  is non-zero. Thus, by the properties of ECC, the string ECC  $\left(M_{\vec{x}_{\le i-1}^{(j)}, \star, \vec{x}_{\ge i+1}}^{(i-1)}\right)$  contains at least r non-zero entries.

Now, for every  $j \in [r^{i-1}]$ , let  $\vec{X}^{(j)} \stackrel{\text{def}}{=} \left\{ \left( \vec{x}_{\leq i-1}^{(j)}, 1, \vec{x}_{\geq i+1}^{(j)} \right), ..., \left( \vec{x}_{\leq i-1}^{(j)}, \vec{m}, \vec{x}_{\geq i+1}^{(j)} \right) \right\}$  be the set of  $\vec{m}$  locations in  $M^{(i)}$  in which the string ECC  $\left( M_{\vec{x}_{\leq i-1}^{(j)}, \vec{x}, \vec{x}_{\geq i+1}^{(j)}}^{(i)} \right)$  appears. Note that for every  $j \neq j' \in [r^{i-1}]$  it holds that all locations in  $X^{(j)}$  and  $X^{(j)}$  are distinct; that is, for every  $k, k' \in [\vec{m}]$  it holds that  $\left( \vec{x}_{\leq i-1}^{(j)}, k, \vec{x}_{\geq i+1}^{(j)} \right) \neq \left( \vec{x}_{\leq i-1}^{(j)}, k', \vec{x}_{\geq i+1}^{(j)} \right)$ . Since for each  $j \in [r^{i-1}]$  it holds that  $X^{(j)}$  contains at least r locations in which  $M^{(i)}$  is non-zero, we deduce that  $M^{(i)}$  has at least  $r^i$  non-zero entries.

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